

## 22 Optimizations II: Closures

In some cases, the construction of closures can be avoided, namely for

- Basic values,
- Variables,
- Functions.

## Basic Values:

The construction of a closure for the value is at least as expensive as the construction of the B-object itself!

Therefore:

$$\text{code}_C b \rho \text{sd} = \text{code}_V b \rho \text{sd} = \begin{array}{l} \text{loadc b} \\ \text{mkbasic} \end{array}$$

This replaces:

mkvec 0		jump B	mkbasic	B:	...
mkclos A	A:	loadc b	update		

## Variables:

Variables are either bound to values or to C-objects. Constructing another closure is therefore superfluous. Therefore:

$$\text{code}_C x \rho \text{sd} = \text{getvar } x \rho \text{sd}$$

This replaces:

<code>getvar</code> $x$ $\rho$ <code>sd</code>	<code>mkclos</code> A	A:	<code>pushglob</code> 0	<code>update</code>
<code>mkvec</code> 1	<code>jump</code> B		<code>eval</code>	B: ...

## Example:

Consider  $e \equiv \mathbf{let\ rec\ } a = b \mathbf{\ and\ } b = 7 \mathbf{\ in\ } a.$

$\mathbf{code}_V e \ \emptyset \ 0$  produces:

0	alloc 2	3	rewrite 2	3	mkbasic	2	pushloc 1
2	pushloc 0	2	loadc 7	3	rewrite 1	3	eval
						3	slide 2

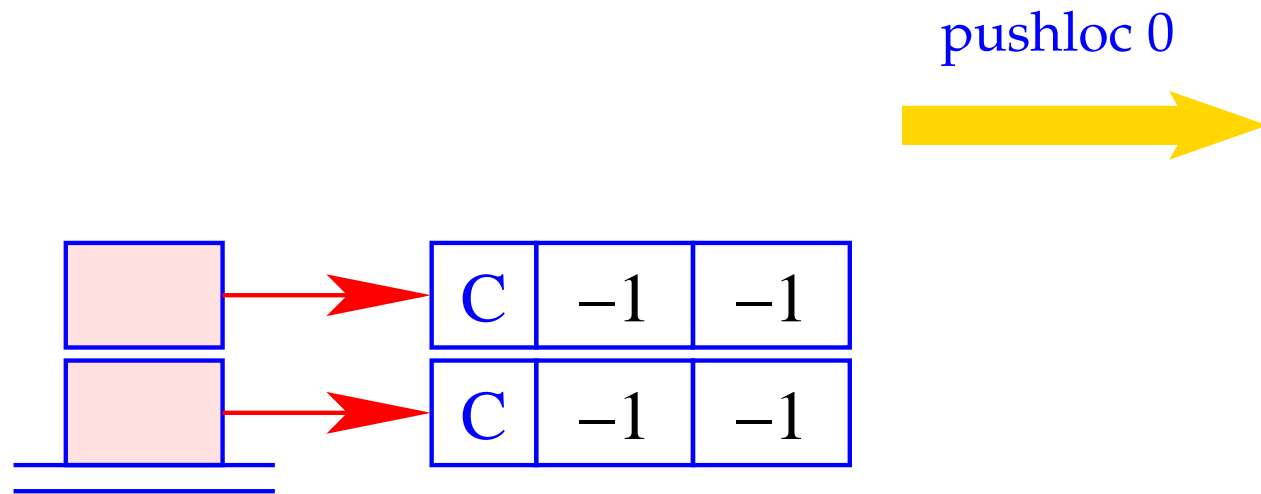
The execution of this instruction sequence should deliver the basic value 7 ...

0	alloc 2	3	rewrite 2	3	mkbasic	2	pushloc 1
2	pushloc 0	2	loadc 7	3	rewrite 1	3	eval
						3	slide 2

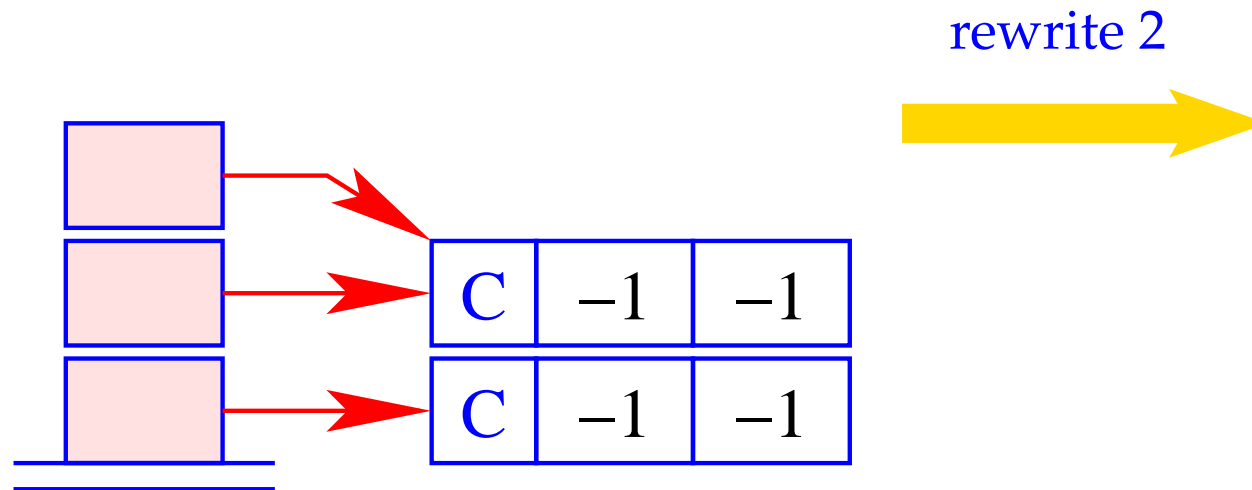
alloc 2



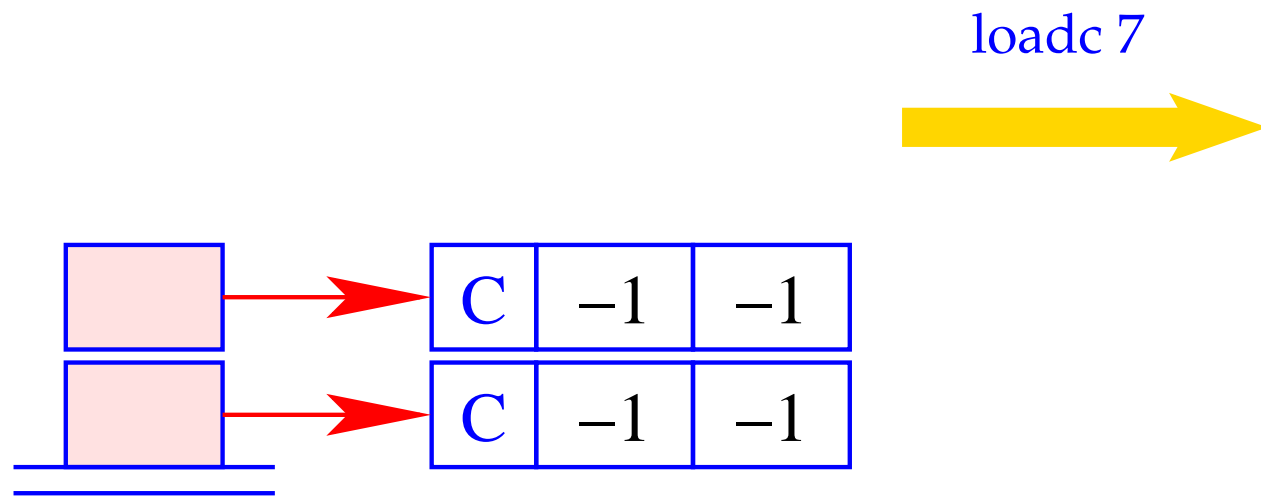
0	alloc 2	3	rewrite 2	3	mkbasic	2	pushloc 1
2	pushloc 0	2	loadc 7	3	rewrite 1	3	eval
						3	slide 2



0	alloc 2	3	rewrite 2	3	mkbasic	2	pushloc 1
2	pushloc 0	2	loadc 7	3	rewrite 1	3	eval
						3	slide 2

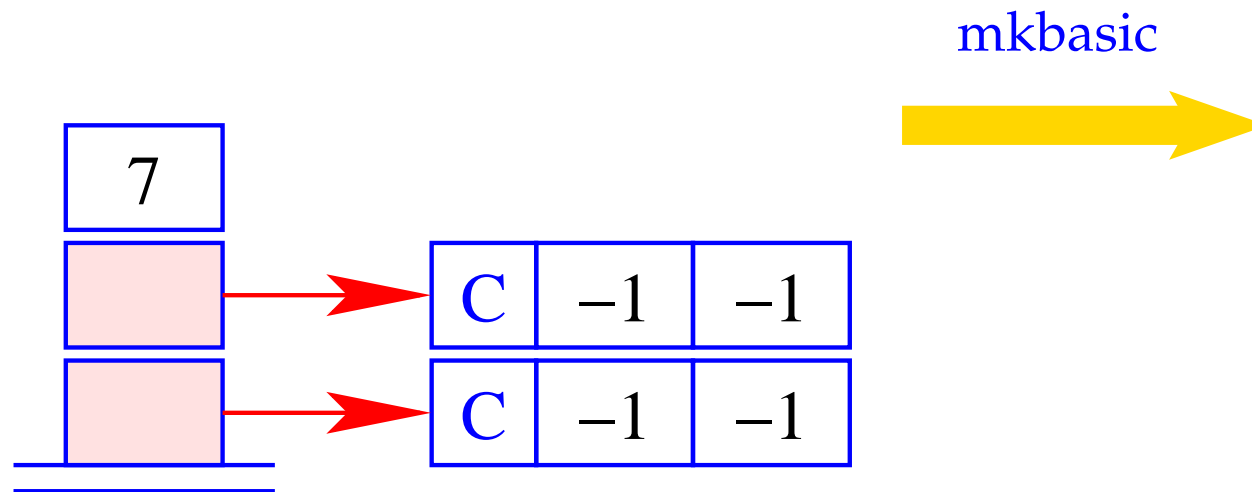


0	alloc 2	3	rewrite 2	3	mkbasic	2	pushloc 1
2	pushloc 0	2	loadc 7	3	rewrite 1	3	eval
						3	slide 2

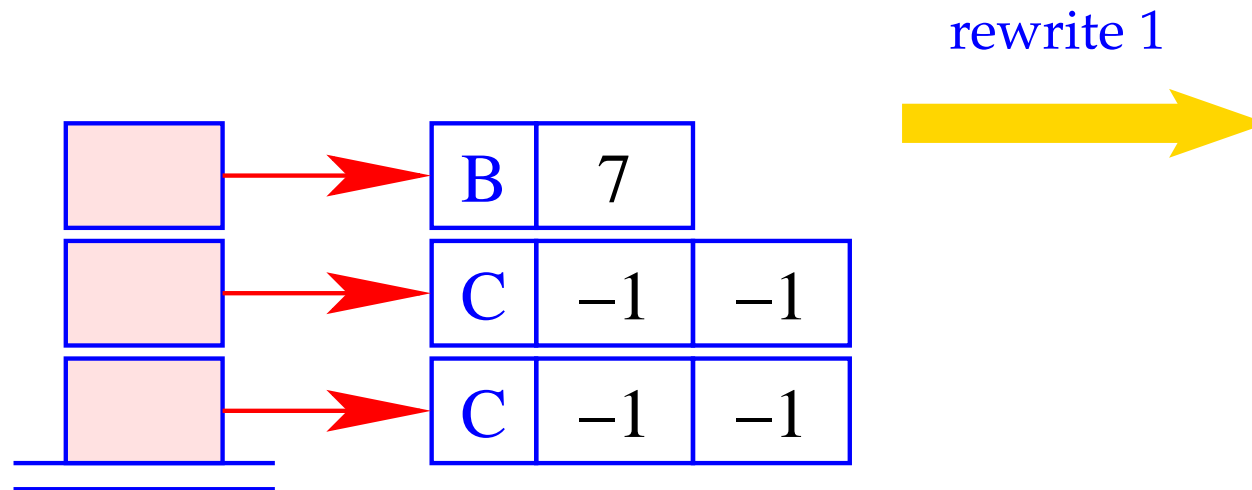




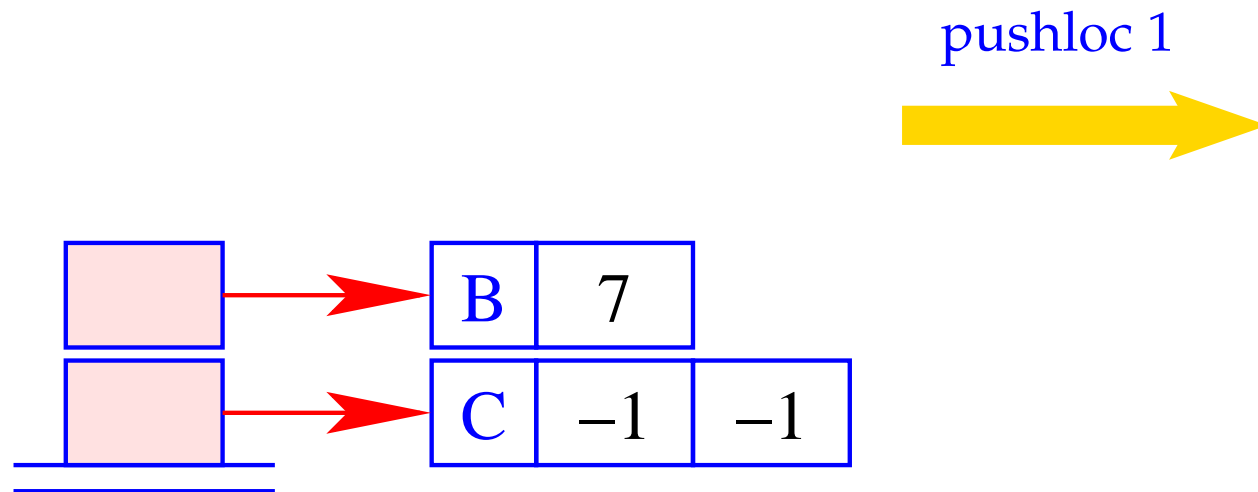
0	alloc 2	3	rewrite 2	3	mkbasic	2	pushloc 1
2	pushloc 0	2	loadc 7	3	rewrite 1	3	eval
						3	slide 2



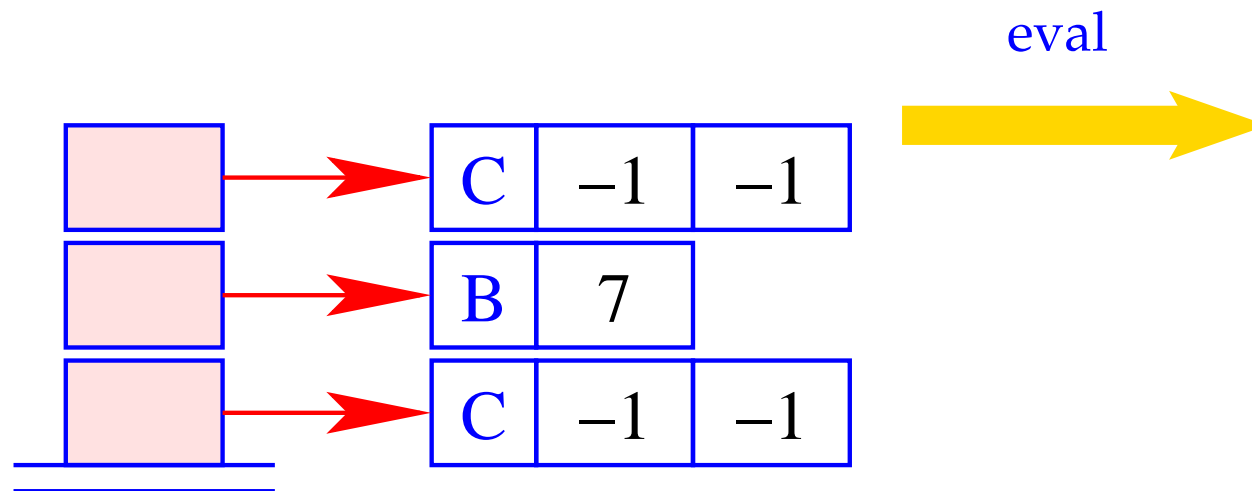
0	alloc 2	3	rewrite 2	3	mkbasic	2	pushloc 1
2	pushloc 0	2	loadc 7	3	rewrite 1	3	eval
						3	slide 2



0	alloc 2	3	rewrite 2	3	mkbasic	2	pushloc 1
2	pushloc 0	2	loadc 7	3	rewrite 1	3	eval
						3	slide 2



0	alloc 2	3	rewrite 2	3	mkbasic	2	pushloc 1
2	pushloc 0	2	loadc 7	3	rewrite 1	3	eval
						3	slide 2



0	alloc 2	3	rewrite 2	3	mkbasic	2	pushloc 1
2	pushloc 0	2	loadc 7	3	rewrite 1	3	eval
						3	slide 2

Segmentation Fault !!

Apparently, this optimization was not quite **correct** :-)

## The Problem:

Binding of variable  $y$  to variable  $x$  **before**  $x$ 's dummy node is replaced!!



## The Solution:

**cyclic definitions:** reject sequences of definitions like

**let**  $a = b; \dots b = a$  **in** ....

**acyclic definitions:** order the definitions  $y = x$  such that the dummy node for the right side of  $x$  is already overwritten.

## Functions:

Functions are values, which are not evaluated further. Instead of generating code that constructs a closure for an F-object, we generate code that constructs the F-object directly.

Therefore:

$$\text{code}_C (\text{fun } x_0 \dots x_{k-1} \rightarrow e) \rho \text{ sd} = \text{code}_V (\text{fun } x_0 \dots x_{k-1} \rightarrow e) \rho \text{ sd}$$

## 23 The Translation of a Program Expression

Execution of a program  $e$  starts with

$$PC = 0 \quad SP = FP = GP = -1$$

The expression  $e$  must not contain free variables.

The value of  $e$  should be determined and then a `halt` instruction should be executed.

$$\text{code } e = \text{code}_V e \ \emptyset \ 0 \\ \text{halt}$$



## Remarks:

- The code schemata as defined so far produce **Spaghetti code**.
- Reason: Code for function bodies and closures placed directly behind the instructions **mkfunval** resp. **mkclos** with a jump over this code.
- Alternative: Place this code somewhere else, e.g. **following** the **halt**-instruction:

**Advantage:** Elimination of the direct jumps following **mkfunval** and **mkclos**.

**Disadvantage:** The code schemata are more complex as they would have to accumulate the code pieces in a **Code-Dump**.



## Solution:

Disentangle the Spaghetti code in a subsequent optimization phase :-)

**Example:**      **let**  $a = 17$  **in** **let**  $f = \text{fun } b \rightarrow a + b$  **in**  $f$  42

Disentanglement of the jumps produces:

0	loadc 17	2	mark B	3	B:	slide 2	1	pushloc 1
1	mkbasic	5	loadc 42	1		halt	2	eval
1	pushloc 0	6	mkbasic	0	A:	targ 1	2	getbasic
2	mkvec 1	6	pushloc 4	0		pushglob 0	2	add
2	mkfunval A	7	eval	1		eval	1	mkbasic
		7	apply	1		getbasic	1	return 1

## 24 Structured Data

In the following, we extend our functional programming language by some datatypes.

### 24.1 Tuples

**Constructors:**  $(., \dots, .)$ ,  $k$ -ary with  $k \geq 0$ ;

**Destructors:**  $\#j$  for  $j \in \mathbb{N}_0$  (Projections)

We extend the syntax of expressions correspondingly:

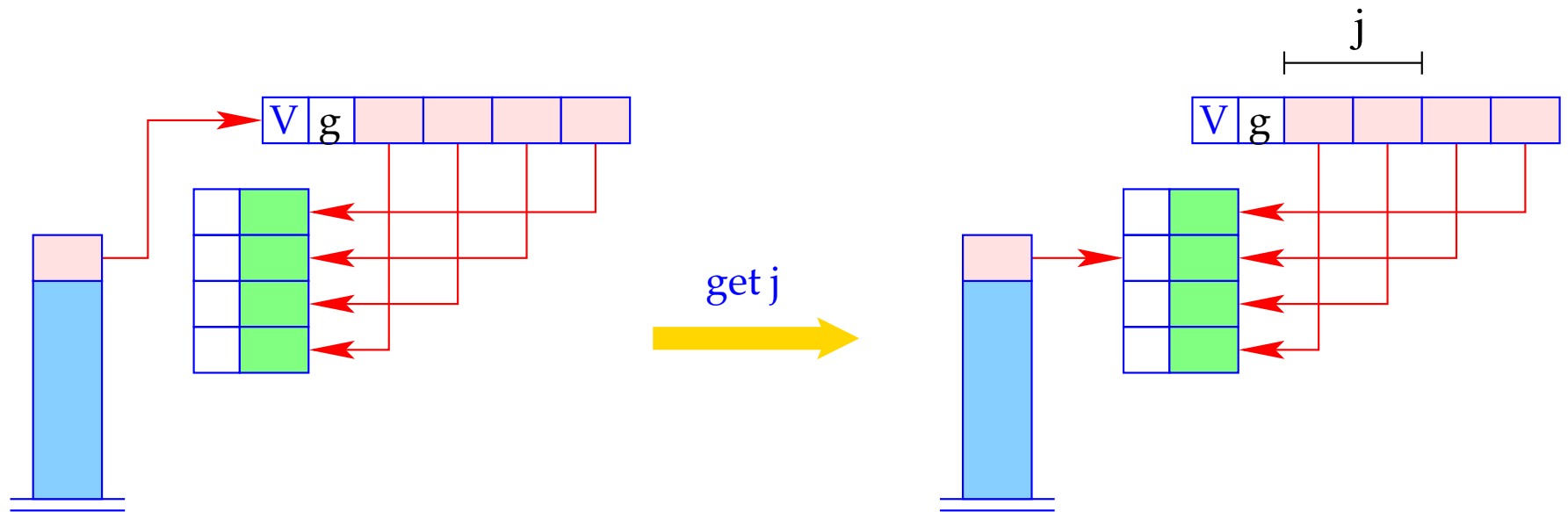
$$\begin{aligned} e \quad ::= \quad & \dots \mid (e_0, \dots, e_{k-1}) \mid \#j \, e \\ & \mid \mathbf{let} \, (x_0, \dots, x_{k-1}) = e_1 \, \mathbf{in} \, e_0 \end{aligned}$$

- In order to **construct** a tuple, we collect sequence of references on the stack.  
Then we construct a vector of these references in the heap using **mkvec**
- For returning **components** we use an indexed access into the tuple.

$$\begin{aligned}
 \text{code}_V (e_0, \dots, e_{k-1}) \rho \text{sd} &= \text{code}_C e_0 \rho \text{sd} \\
 &\quad \text{code}_C e_1 \rho (\text{sd} + 1) \\
 &\quad \dots \\
 &\quad \text{code}_C e_{k-1} \rho (\text{sd} + k - 1) \\
 &\quad \text{mkvec } k
 \end{aligned}$$

$$\begin{aligned}
 \text{code}_V (\#j \ e) \rho \text{sd} &= \text{code}_V e \rho \text{sd} \\
 &\quad \text{get } j \\
 &\quad \text{eval}
 \end{aligned}$$

In the case of **CBV**, we directly compute the values of the  $e_i$ .



```

if (S[SP] == (V,g,v))
    S[SP] = v[j];
else Error "Vector expected!";

```

**Inversion:** Accessing all components of a tuple simultaneously:

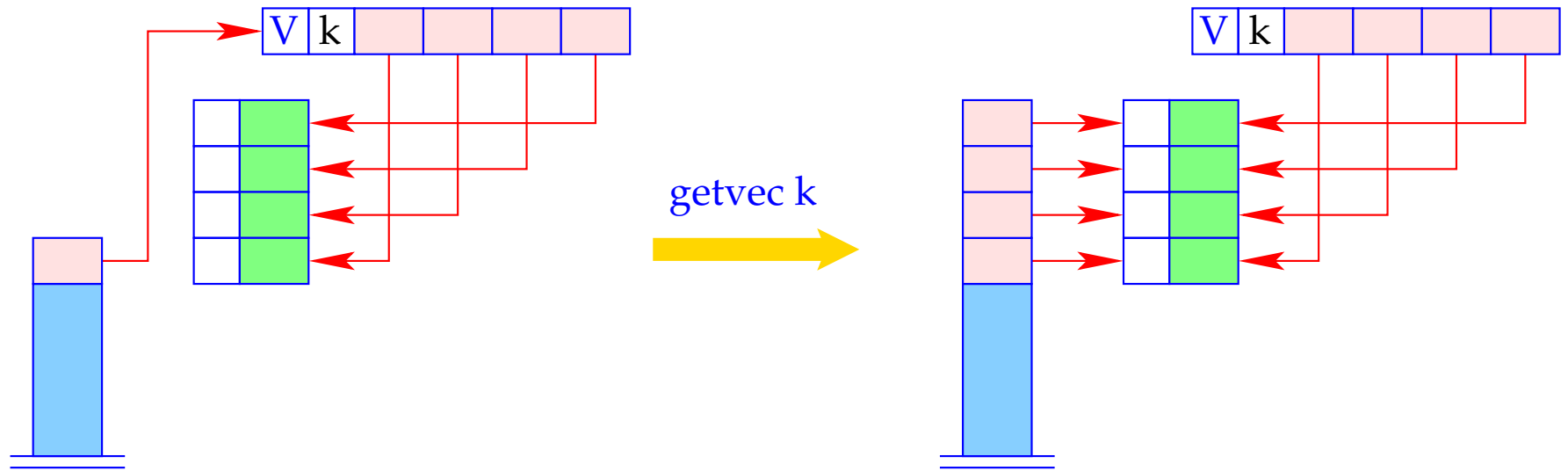
$$e \equiv \mathbf{let} (y_0, \dots, y_{k-1}) = e_1 \mathbf{in} e_0$$

This is translated as follows:

$$\begin{aligned} \text{code}_V e \ \rho \ \text{sd} &= \text{code}_V e_1 \ \rho \ \text{sd} \\ &\quad \text{getvec } k \\ &\quad \text{code}_V e_0 \ \rho' \ (\text{sd} + k) \\ &\quad \text{slide } k \end{aligned}$$

where  $\rho' = \rho \oplus \{y_i \mapsto (L, \text{sd} + i + 1) \mid i = 0, \dots, k - 1\}$ .

The instruction `getvec k` pushes the components of a vector of length  $k$  onto the stack:



```

if (S[SP] == (V,k,v)) {
    SP--;
    for(i=0; i<k; i++) {
        SP++; S[SP] = v[i];
    }
} else Error "Vector expected!";

```

## 24.2 Lists

Lists are constructed by the **constructors**:

`[]` “Nil”, the empty list;

`“::”` “Cons”, right-associative, takes an element and a list.

**Access** to list components is possible by **match**-expressions ...

**Example:** The append function `app`:

```
app = fun l y → match l with
      | []      → y
      | h :: t  → h :: (app t y)
```



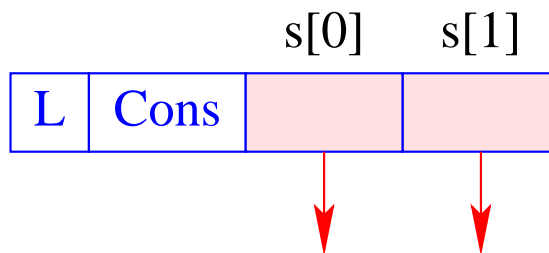
accordingly, we extend the syntax of expressions:

$$\begin{aligned} e ::= & \dots \mid [] \mid (e_1 :: e_2) \\ & \mid (\mathbf{match} \ e_0 \ \mathbf{with} \ [] \rightarrow e_1 \mid h :: t \rightarrow e_2) \end{aligned}$$

Additionally, we need new heap objects:



empty list



non-empty list

## 24.3 Building Lists

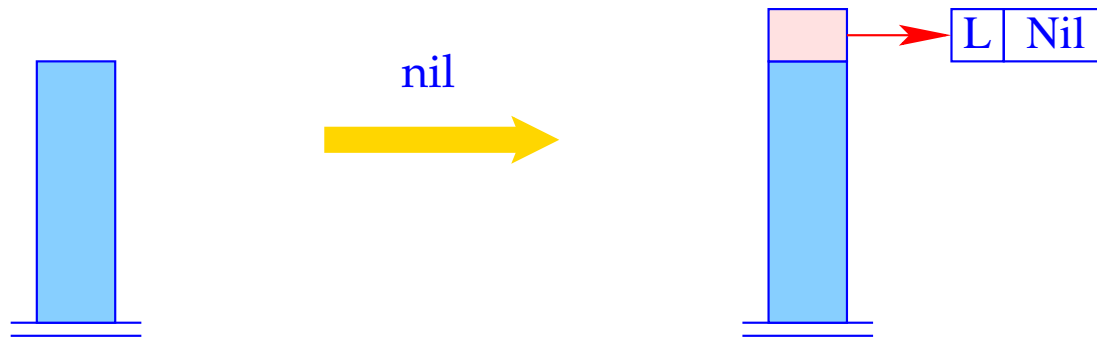
The new instructions `nil` and `cons` are introduced for building list nodes.

We translate for CBN:

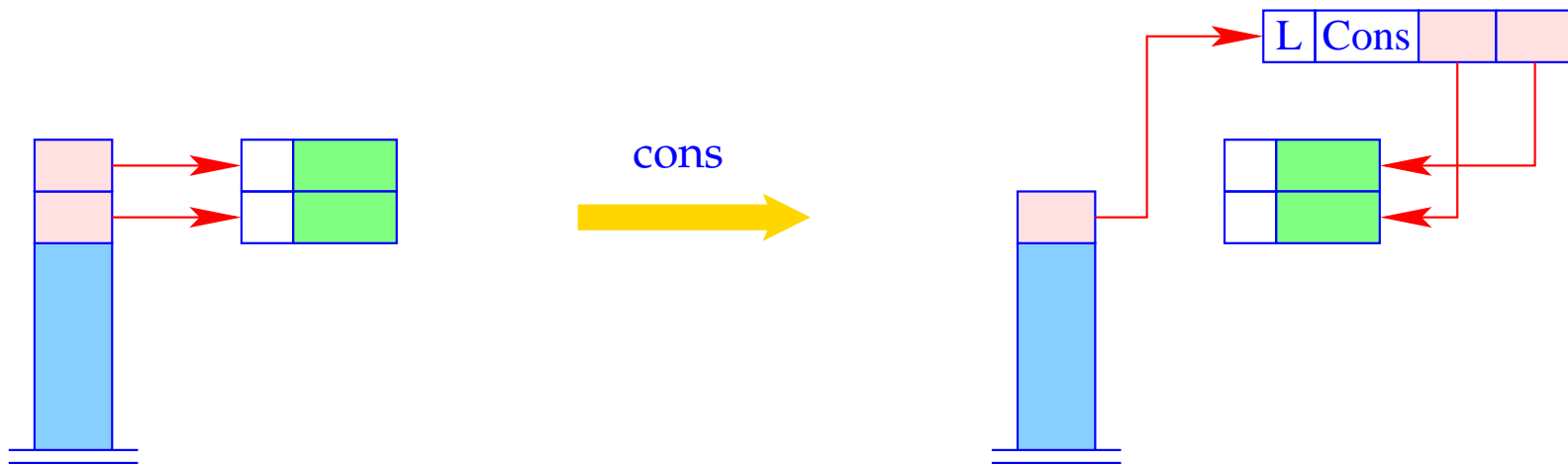
$$\begin{aligned}\text{code}_V [] \rho \text{sd} &= \text{nil} \\ \text{code}_V (e_1 :: e_2) \rho \text{sd} &= \text{code}_C e_1 \rho \text{sd} \\ &\quad \text{code}_C e_2 \rho (\text{sd} + 1) \\ &\quad \text{cons}\end{aligned}$$

Note:

- With CBN: Closures are constructed for the arguments of “::”;
- With CBV: Arguments of “::” are evaluated :-)



$SP++; S[SP] = \text{new } (L, \text{Nil});$



```
S[SP-1] = new (L,Cons, S[SP-1], S[SP]);
SP- -;
```

## 24.4 Pattern Matching

Consider the expression  $e \equiv \mathbf{match} \ e_0 \ \mathbf{with} \ [] \rightarrow e_1 \mid h :: t \rightarrow e_2$ .

Evaluation of  $e$  requires:

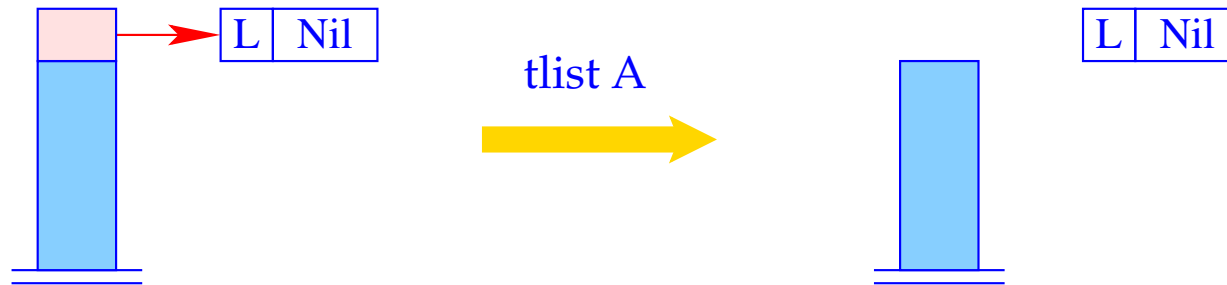
- evaluation of  $e_0$ ;
- check, whether resulting value  $v$  is an L-object;
- if  $v$  is the empty list, evaluation of  $e_1$  ...
- otherwise storing the two references of  $v$  on the stack and evaluation of  $e_2$ .  
This corresponds to **binding**  $h$  and  $t$  to the two components of  $v$ .

In consequence, we obtain (for **CBN** as for **CBV**):

$$\begin{aligned}
 \text{code}_V e \rho \text{sd} &= && \text{code}_V e_0 \rho \text{sd} \\
 &&& \text{tlist A} \\
 &&& \text{code}_V e_1 \rho \text{sd} \\
 &&& \text{jump B} \\
 &&& \text{A : } \text{code}_V e_2 \rho' (\text{sd} + 2) \\
 &&& \text{slide 2} \\
 &&& \text{B : } \dots
 \end{aligned}$$

where  $\rho' = \rho \oplus \{h \mapsto (L, \text{sd} + 1), t \mapsto (L, \text{sd} + 2)\}$ .

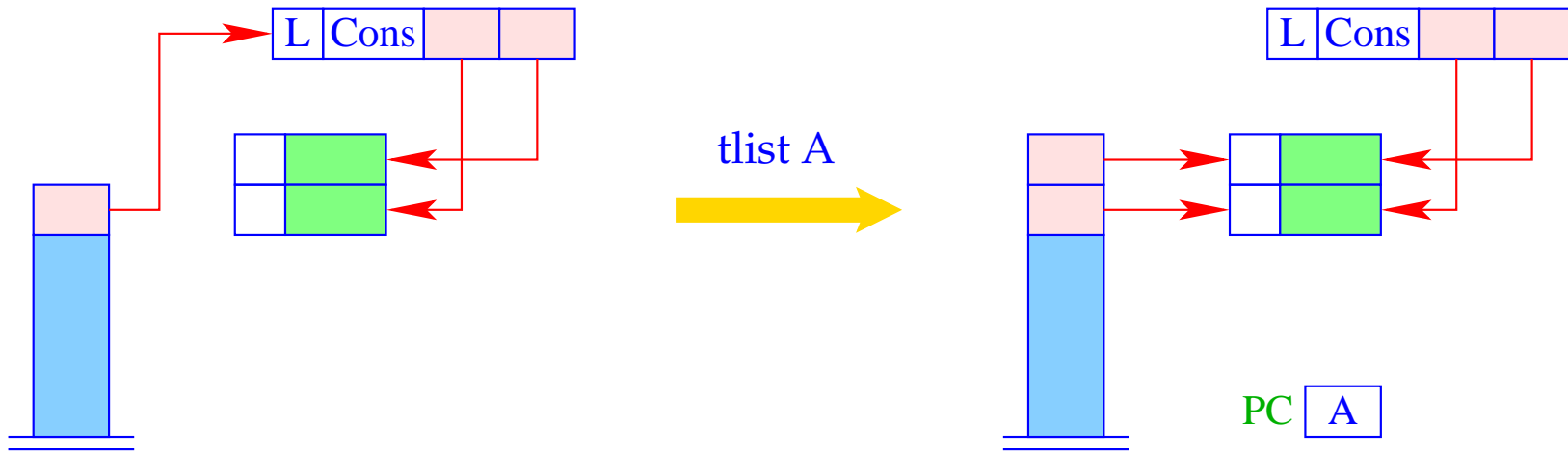
The new instruction **tlist A** does the necessary checks and (in the case of Cons) allocates two new local variables:



```

h = S[SP];
if (H[h] != (L,...)
    Error "no list!";
if (H[h] == (_,Nil)) SP- -;
...

```



```

... else {
  S[SP+1] = S[SP]→s[1];
  S[SP] = S[SP]→s[0];
  SP++; PC = A;
}

```



**Example:** The (disentangled) body of the function `app` with  
 $\text{app} \mapsto (G, 0)$  :

0	targ 2	3	pushglob 0	0	C:	mark D
0	pushloc 0	4	pushloc 2	3		pushglob 2
1	eval	5	pushloc 6	4		pushglob 1
1	tlist A	6	mkvec 3	5		pushglob 0
0	pushloc 1	4	mkclos C	6		eval
1	eval	4	cons	6		apply
1	jump B	3	slide 2	1	D:	update
2	A: pushloc 1	1	B: return 2			

**Note:**

Datatypes with more than two constructors need a generalization of the `tlist` instruction, corresponding to a switch-instruction `:-)`

## 24.5 Closures of Tuples and Lists

The general schema for  $\text{code}_C$  can be optimized for tuples and lists:

$$\begin{aligned}
 \text{code}_C (e_0, \dots, e_{k-1}) \rho \text{sd} &= \text{code}_V (e_0, \dots, e_{k-1}) \rho \text{sd} = \text{code}_C e_0 \rho \text{sd} \\
 &\quad \text{code}_C e_1 \rho (\text{sd} + 1) \\
 &\quad \dots \\
 &\quad \text{code}_C e_{k-1} \rho (\text{sd} + k - 1) \\
 &\quad \text{mkvec } k \\
 \text{code}_C [] \rho \text{sd} &= \text{code}_V [] \rho \text{sd} = \text{nil} \\
 \text{code}_C (e_1 :: e_2) \rho \text{sd} &= \text{code}_V (e_1 :: e_2) \rho \text{sd} = \text{code}_C e_1 \rho \text{sd} \\
 &\quad \text{code}_C e_2 \rho (\text{sd} + 1) \\
 &\quad \text{cons}
 \end{aligned}$$