

We conclude:

→ Solving the constraint system returns the MOP solution :-)

→ Let  $\mathcal{V}$  denote this solution.

If  $x \in \mathcal{V}[u]e$ , then  $x$  at  $u$  contains the value of  $e$  —  
which we have stored in  $T_e$

⇒

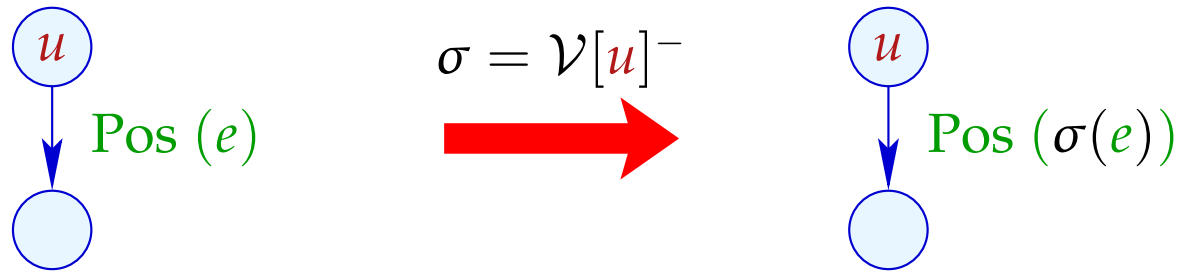
the access to  $x$  can be replaced by the access to  $T_e$  :-)

For  $V \in \mathbb{V}$ , let  $V^-$  denote the **variable substitution** with:

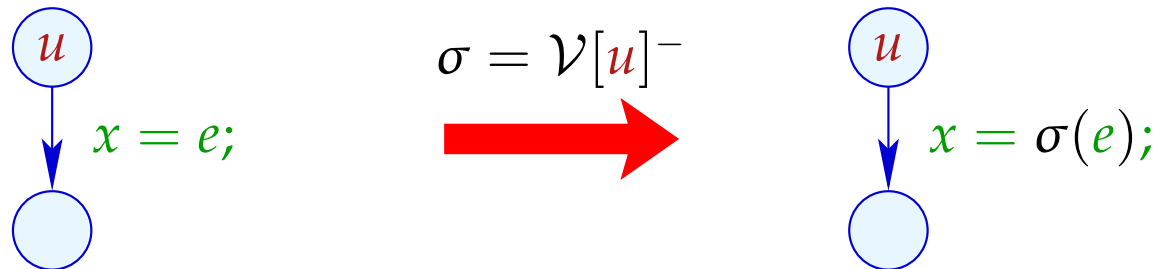
$$V^- x = \begin{cases} T_e & \text{if } x \in V e \\ x & \text{otherwise} \end{cases}$$

if  $V e \cap V e' = \emptyset$  for  $e \neq e'$ . Otherwise:  $V^- x = x$  :-)

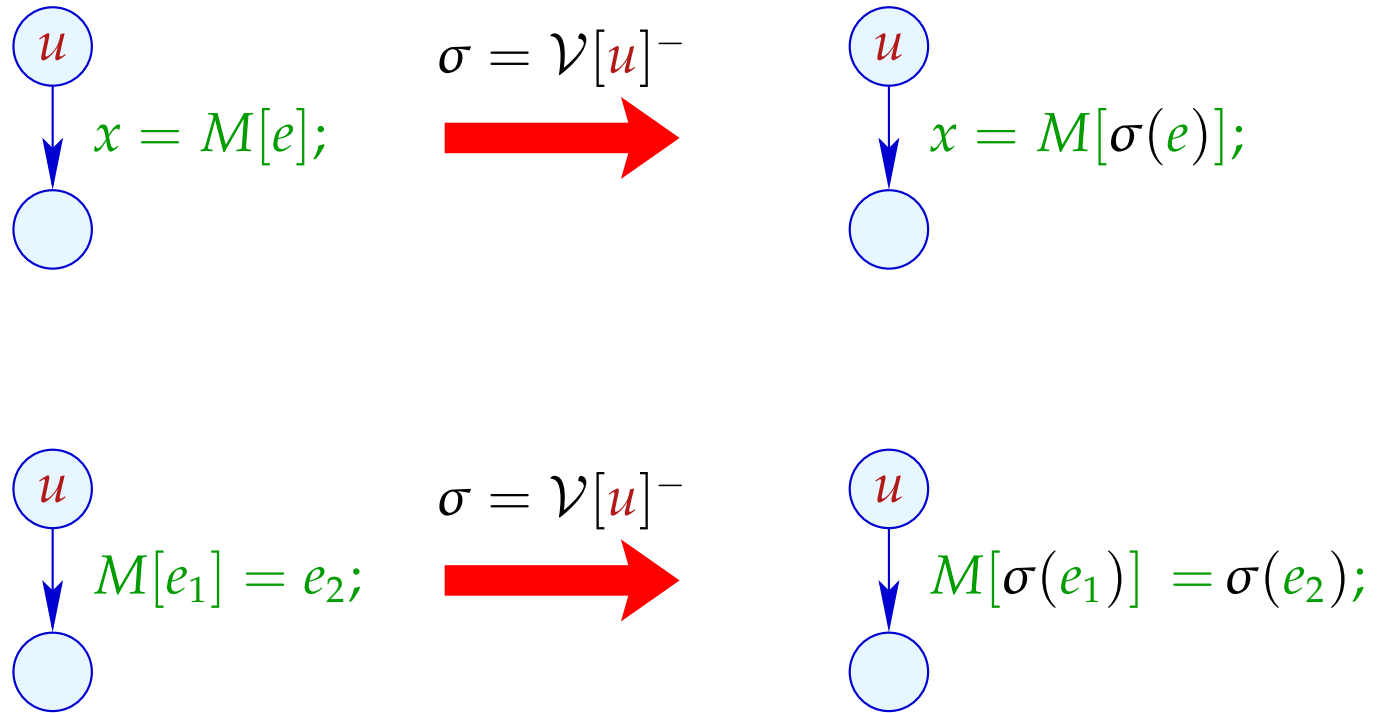
### Transformation 3:



... analogously for edges with  $\text{Neg}(e)$



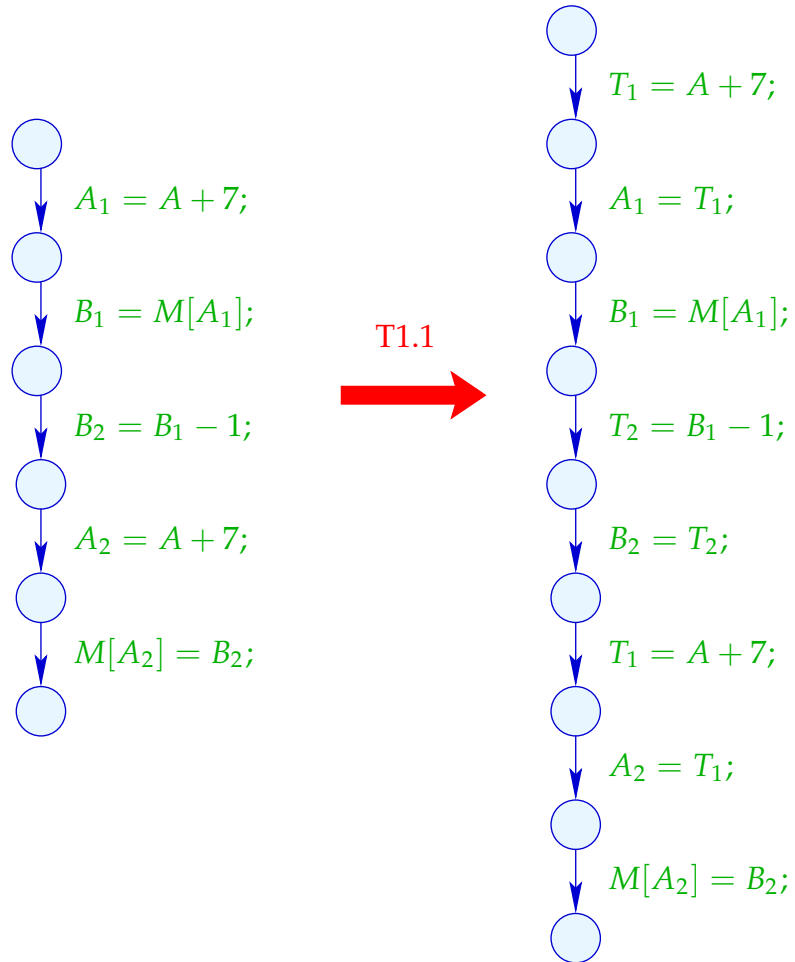
## Transformation 3 (cont.):



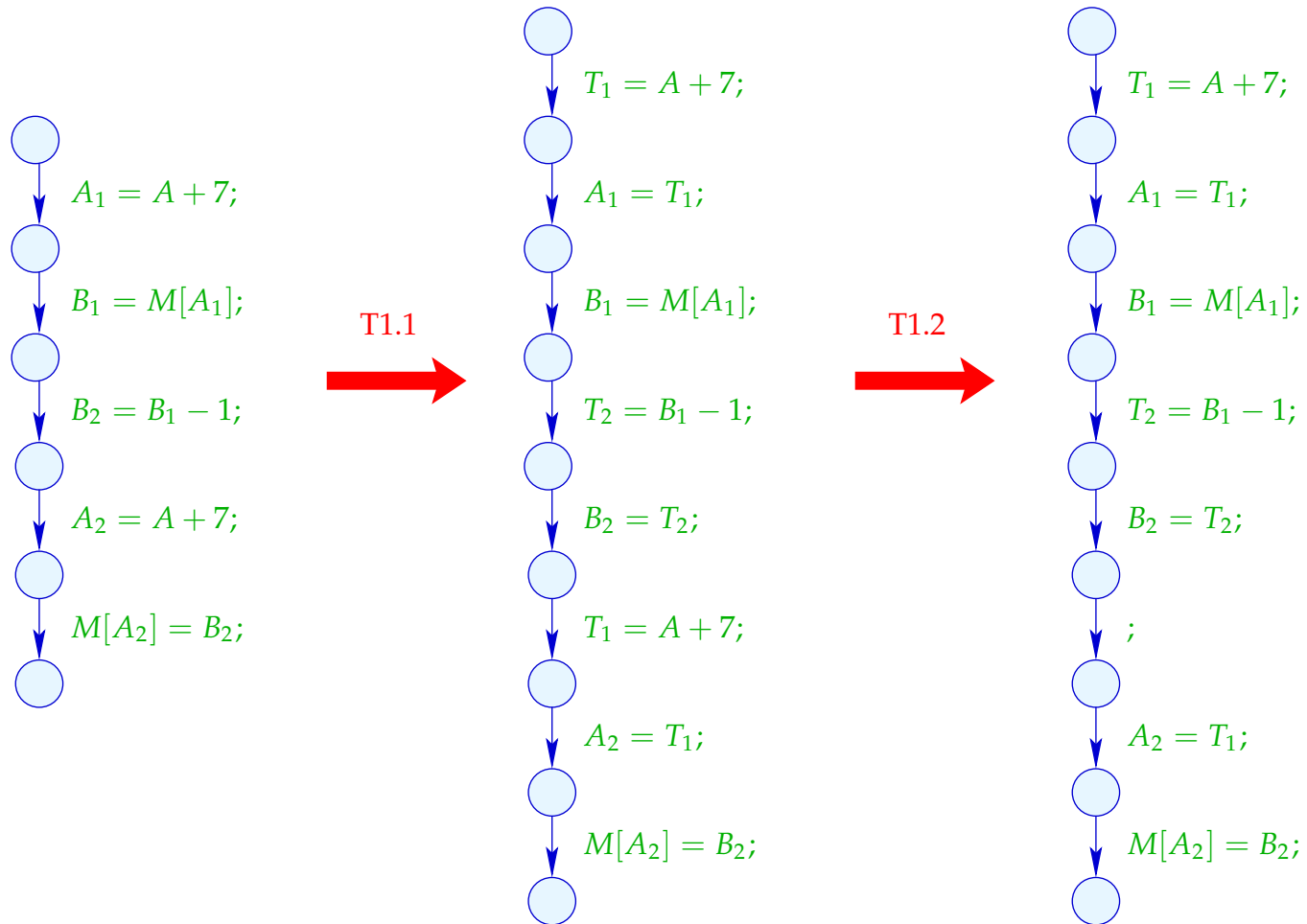
## Procedure as a whole:

- (1) Availability of expressions: T1
  - + removes arithmetic operations
  - inserts superfluous moves
  
- (2) Values of variables: T3
  - + creates dead variables
  
- (3) (true) liveness of variables: T2
  - + removes assignments to dead variables

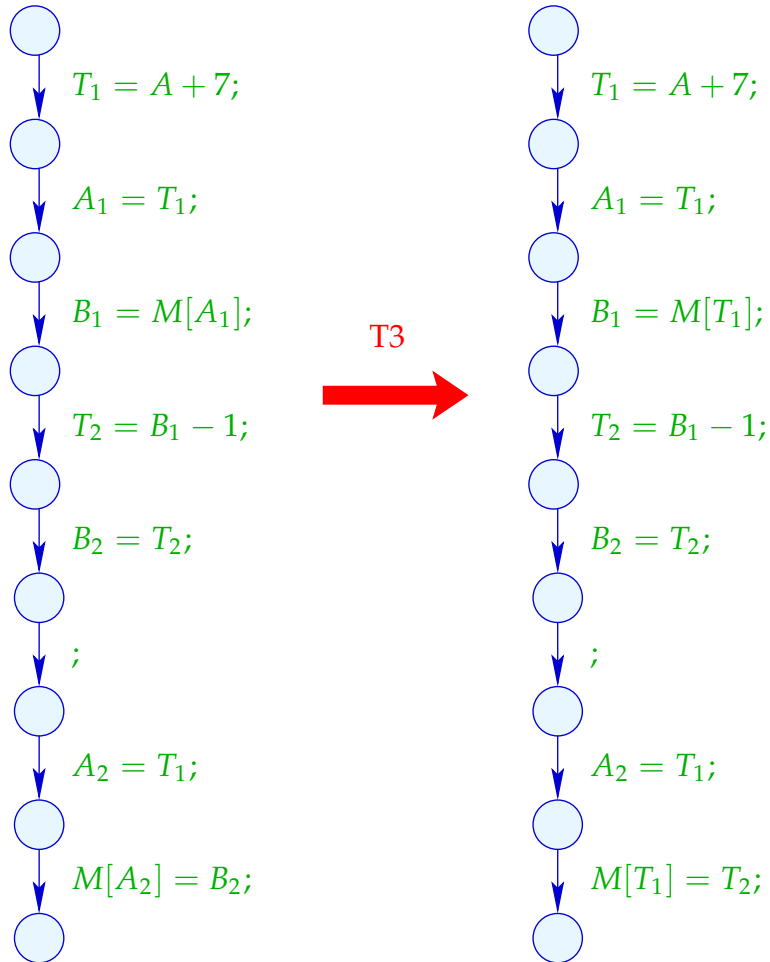
Example: `a[7]--;`



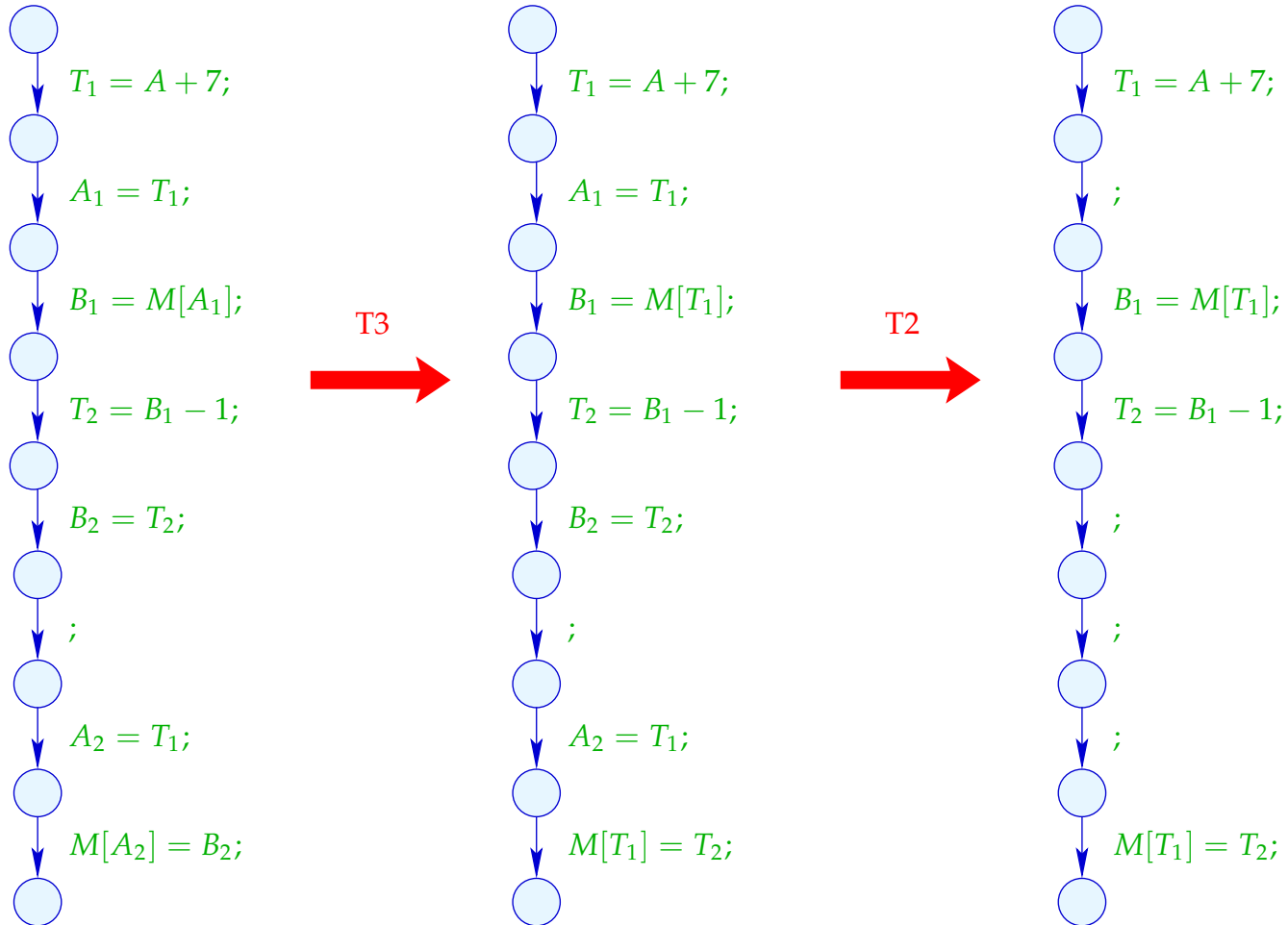
Example: `a[7]--;`



Example (cont.):  $a[7]--;$



Example (cont.):  $a[7]--;$





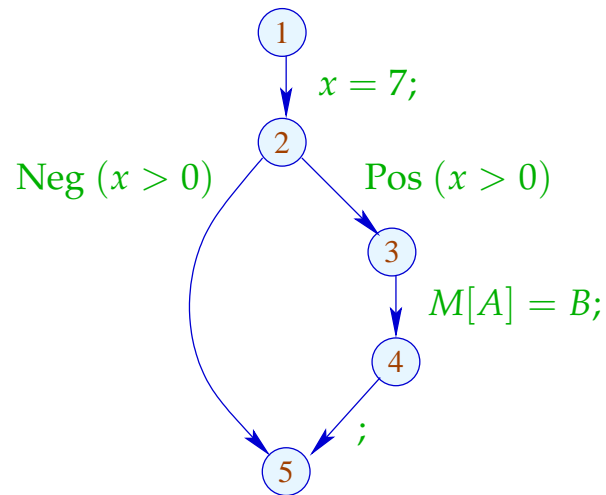
## 1.4 Constant Propagation

Idea:

Execute as much of the code at compile-time as possible!

Example:

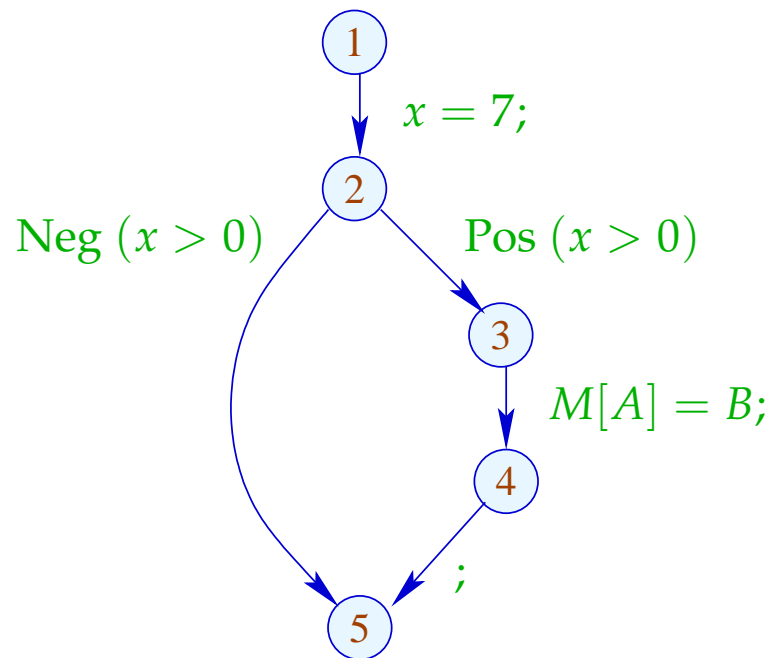
```
x = 7;  
if (x > 0)  
    M[A] = B;
```



Obviously,  $x$  has always the value 7 :-)

Thus, the memory access is **always** executed :-))

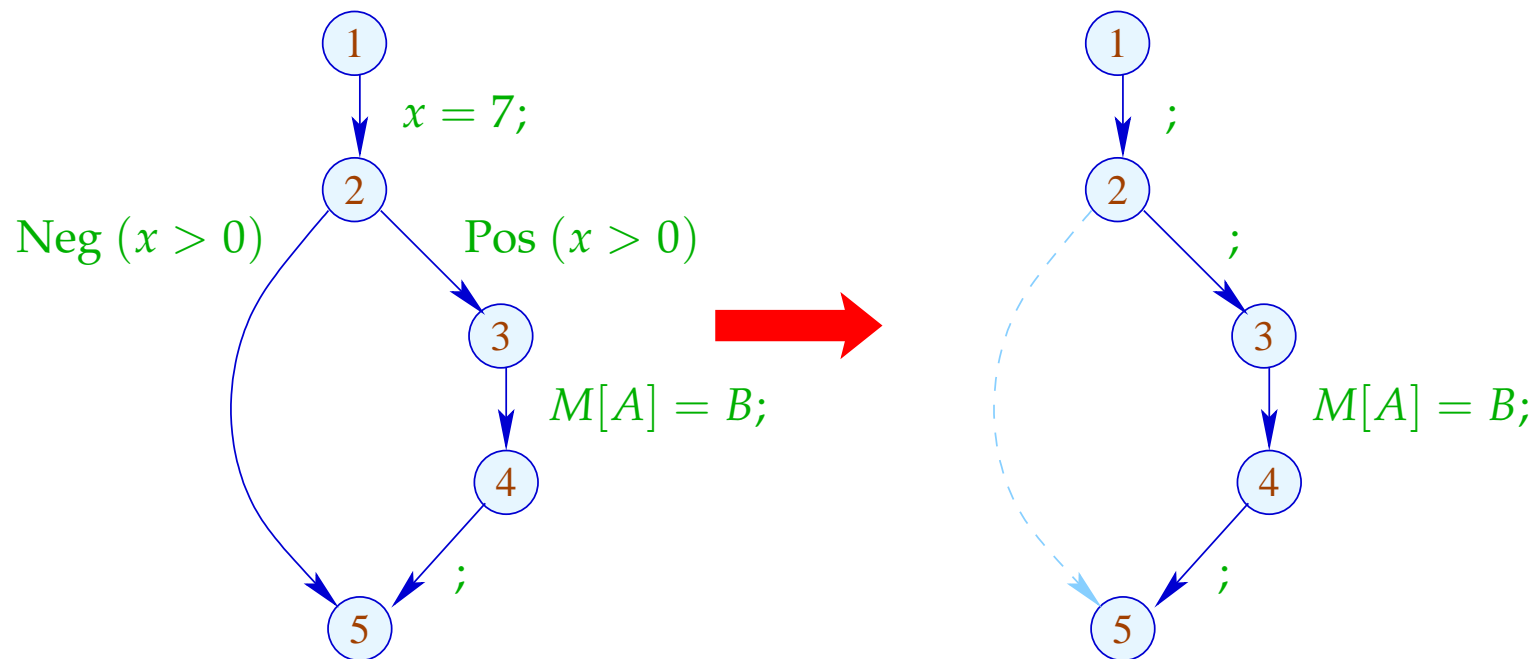
Goal:



Obviously,  $x$  has always the value 7 :-)

Thus, the memory access is **always** executed :-))

Goal:



Generalization:

Partial Evaluation



Neil D. Jones, DIKU, Copenhagen

## Idea:

Design an analysis which for every  $u$ ,

- determines the values which variables **definitely** have;
- tells whether  $u$  can be reached at all :-)

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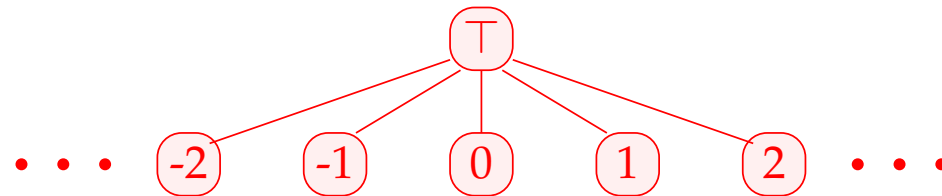
Design an analysis which for every  $u$ ,

- determines the values which variables **definitely** have;
- tells whether  $u$  can be reached at all :-)

The complete lattice is constructed in two steps.

(1) The potential **values of variables**:

$$\mathbb{Z}^\top = \mathbb{Z} \cup \{\top\} \quad \text{with} \quad x \sqsubseteq y \quad \text{iff} \quad y = \top \quad \text{or} \quad x = y$$



**Warning:**  $\mathbb{Z}^\top$  is **not** a complete lattice in itself :-)

$$(2) \quad \mathbb{D} = (\mathit{Vars} \rightarrow \mathbb{Z}^\top)_\perp = (\mathit{Vars} \rightarrow \mathbb{Z}^\top) \cup \{\perp\}$$

//  $\perp$  denotes: “not reachable” :-))

with  $D_1 \sqsubseteq D_2$  iff  $\perp = D_1$  or  
 $D_1 x \sqsubseteq D_2 x$  ( $x \in \mathit{Vars}$ )

**Remark:**  $\mathbb{D}$  is a complete lattice :-)

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$$\text{with } D_1 \sqsubseteq D_2 \text{ iff } \perp = D_1 \quad \text{or} \\ D_1 x \sqsubseteq D_2 x \quad (x \in \text{Vars})$$

**Remark:**  $\mathbb{D}$  is a complete lattice :-)

Consider  $X \subseteq \mathbb{D}$ . W.l.o.g.,  $\perp \notin X$ .

Then  $X \subseteq \text{Vars} \rightarrow \mathbb{Z}^\top$ .

If  $X = \emptyset$ , then  $\bigsqcup X = \perp \in \mathbb{D}$  :-)



If  $X \neq \emptyset$ , then  $\sqcup X = D$  with

$$\begin{aligned} D x &= \sqcup \{f x \mid f \in X\} \\ &= \begin{cases} z & \text{if } f x = z \quad (f \in X) \\ \top & \text{otherwise} \end{cases} \end{aligned}$$

:-))

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:-))

For every edge  $k = (\_, lab, \_)$ , construct an effect function  $\llbracket k \rrbracket^\# = \llbracket lab \rrbracket^\# : \mathbb{D} \rightarrow \mathbb{D}$  which simulates the **concrete** computation.

Obviously,  $\llbracket lab \rrbracket^\# \perp = \perp$  for all  $lab$  :-)

Now let  $\perp \neq D \in Vars \rightarrow \mathbb{Z}^\top$ .

## Idea:

- We use  $D$  to determine the values of expressions.

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$$a \square^\# b = \begin{cases} \top & \text{if } a = \top \text{ or } b = \top \\ a \square b & \text{otherwise} \end{cases}$$

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- The abstract operators allow to define an **abstract** evaluation of expressions:

$$\llbracket e \rrbracket^\# : (\text{Vars} \rightarrow \mathbb{Z}^\top) \rightarrow \mathbb{Z}^\top$$

**Abstract evaluation** of expressions is like the **concrete** evaluation — but with abstract values and operators. Here:

$$\llbracket c \rrbracket^\# D = c$$

$$\llbracket e_1 \square e_2 \rrbracket^\# D = \llbracket e_1 \rrbracket^\# D \square^\# \llbracket e_2 \rrbracket^\# D$$

... analogously for **unary** operators :-)

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... analogously for **unary** operators :-)

**Example:**

$$D = \{x \mapsto 2, y \mapsto \top\}$$

$$\llbracket x + 7 \rrbracket^\# D = \llbracket x \rrbracket^\# D +^\# \llbracket 7 \rrbracket^\# D$$

$$= 2 +^\# 7$$

$$= 9$$

$$\llbracket x - y \rrbracket^\# D = 2 -^\# \top$$

$$= \top$$



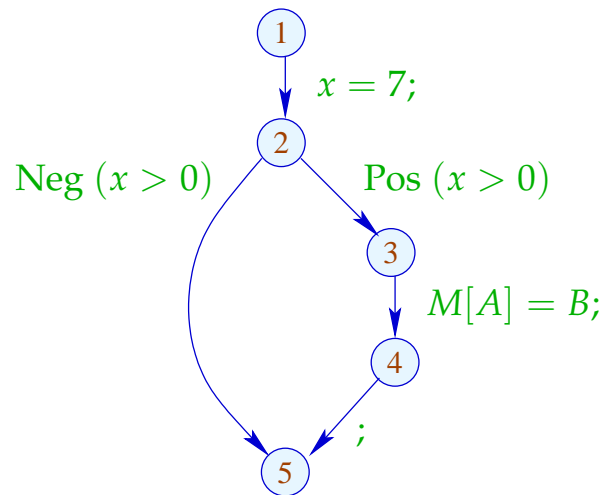
Thus, we obtain the following effects of edges  $\llbracket lab \rrbracket^\#$  :

$$\begin{aligned}
 \llbracket ; \rrbracket^\# D &= D \\
 \llbracket \text{Pos}(e) \rrbracket^\# D &= \begin{cases} \perp & \text{if } 0 = \llbracket e \rrbracket^\# D \\ D & \text{otherwise} \end{cases} \\
 \llbracket \text{Neg}(e) \rrbracket^\# D &= \begin{cases} D & \text{if } 0 \sqsubseteq \llbracket e \rrbracket^\# D \\ \perp & \text{otherwise} \end{cases} \\
 \llbracket x = e; \rrbracket^\# D &= D \oplus \{x \mapsto \llbracket e \rrbracket^\# D\} \\
 \llbracket x = M[e]; \rrbracket^\# D &= D \oplus \{x \mapsto \top\} \\
 \llbracket M[e_1] = e_2; \rrbracket^\# D &= D
 \end{aligned}$$

... whenever  $D \neq \perp$  :-)

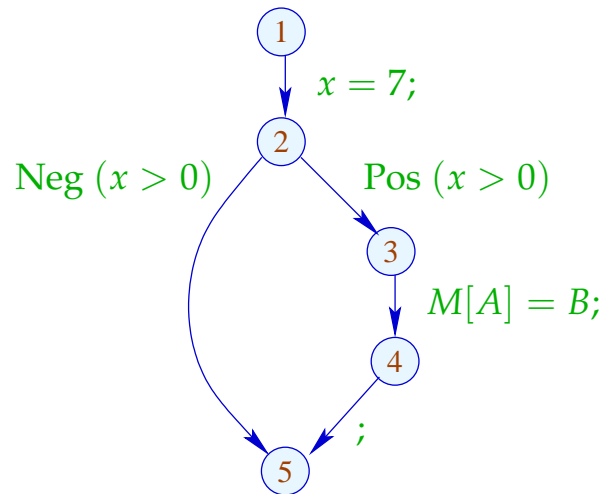
At *start*, we have  $D_{\top} = \{x \mapsto \top \mid x \in \text{Vars}\}$ .

Example:



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Example:



1	$\{x \mapsto \top\}$
2	$\{x \mapsto 7\}$
3	$\{x \mapsto 7\}$
4	$\{x \mapsto 7\}$
5	$\perp \sqcup \{x \mapsto 7\} = \{x \mapsto 7\}$

The abstract effects of edges  $\llbracket k \rrbracket^\sharp$  are again composed to the effects of paths  $\pi = k_1 \dots k_r$  by:

$$\llbracket \pi \rrbracket^\sharp = \llbracket k_r \rrbracket^\sharp \circ \dots \circ \llbracket k_1 \rrbracket^\sharp \quad : \mathbb{D} \rightarrow \mathbb{D}$$

Idea for Correctness:

Abstract Interpretation

Cousot, Cousot 1977



Patrick Cousot, ENS, Paris

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Idea for Correctness:

Abstract Interpretation

Cousot, Cousot 1977

Establish a description relation  $\Delta$  between the **concrete** values and their descriptions with:

$$x \Delta a_1 \wedge a_1 \sqsubseteq a_2 \implies x \Delta a_2$$

Concretization:  $\gamma a = \{x \mid x \Delta a\}$

// returns the set of described values :-)

(1) Values:  $\Delta \subseteq \mathbb{Z} \times \mathbb{Z}^\top$

$$z \Delta a \quad \text{iff} \quad z = a \vee a = \top$$

Concretization:

$$\gamma a = \begin{cases} \{a\} & \text{if } a \sqsubset \top \\ \mathbb{Z} & \text{if } a = \top \end{cases}$$

(1) **Values:**  $\Delta \subseteq \mathbb{Z} \times \mathbb{Z}^\top$

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(2) **Variable Assignments:**  $\Delta \subseteq (\mathit{Vars} \rightarrow \mathbb{Z}) \times (\mathit{Vars} \rightarrow \mathbb{Z}^\top)_\perp$

$$\rho \Delta D \text{ iff } D \neq \perp \wedge \rho x \sqsubseteq D x \quad (x \in \mathit{Vars})$$

Concretization:

$$\gamma D = \begin{cases} \emptyset & \text{if } D = \perp \\ \{\rho \mid \forall x : (\rho x) \Delta (D x)\} & \text{otherwise} \end{cases}$$



Example:  $\{x \mapsto 1, y \mapsto -7\} \Delta \{x \mapsto \top, y \mapsto -7\}$

(3) States:

$$\Delta \subseteq ((\mathit{Vars} \rightarrow \mathbb{Z}) \times (\mathbb{N} \rightarrow \mathbb{Z})) \times (\mathit{Vars} \rightarrow \mathbb{Z}^\top)_\perp$$

$$(\rho, \mu) \Delta D \quad \text{gdw.} \quad \rho \Delta D$$

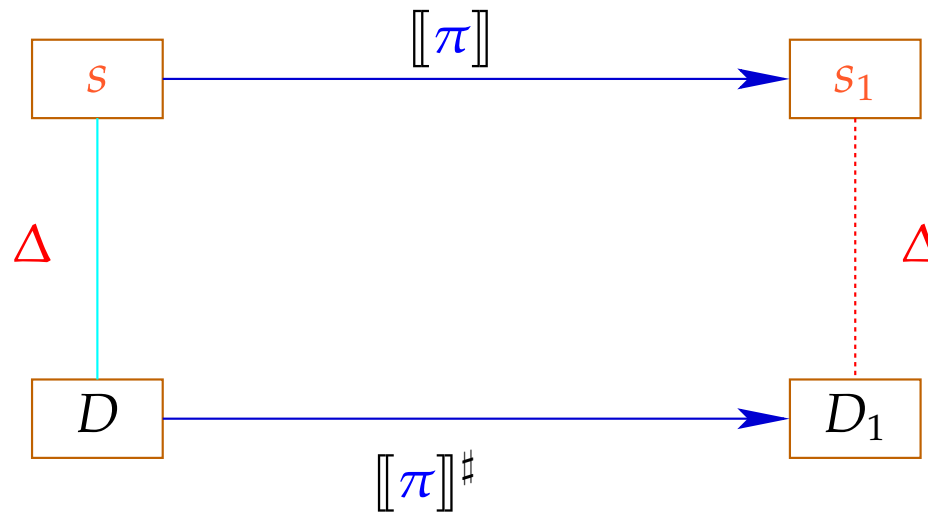
Concretization:

$$\gamma D = \begin{cases} \emptyset & \text{if } D = \perp \\ \{(\rho, \mu) \mid \forall x : (\rho x) \Delta (D x)\} & \text{otherwise} \end{cases}$$

We show:

(\*) If  $s \Delta D$  and  $[[\pi]] s$  is defined, then:

$$([[ \pi ] s) \Delta ([[ \pi ]^\# D)$$



The abstract semantics simulates the die concrete semantics

:-)

In particular:

$$[[\pi]] s \in \gamma ([[ \pi ] ]^\# D)$$

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:-)

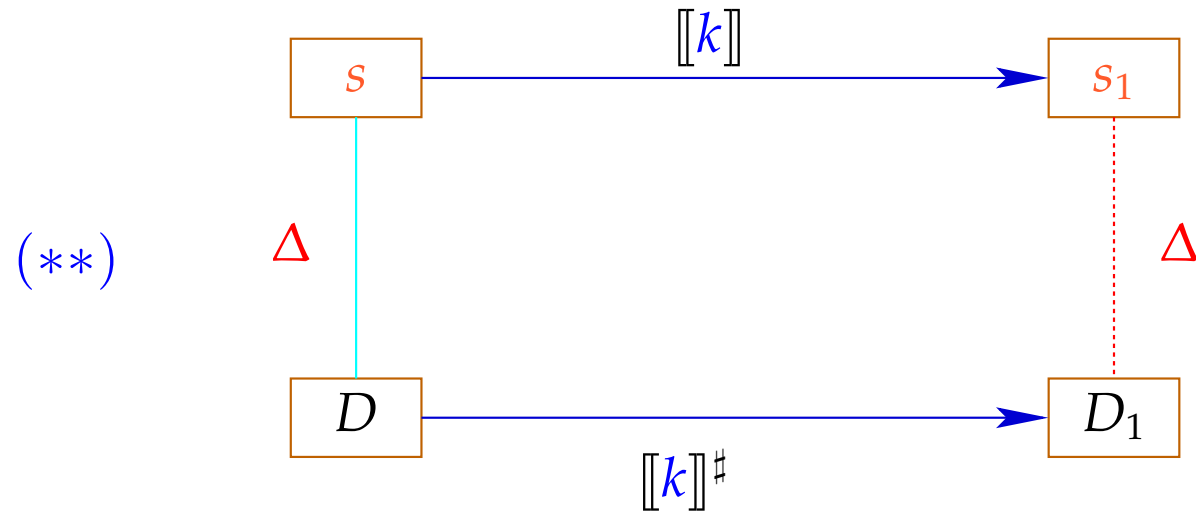
In particular:

$$\llbracket \pi \rrbracket s \in \gamma (\llbracket \pi \rrbracket^\# D)$$

In **practice**, this means, **e.g.**, that  $D x = -7$  implies:

$$\begin{aligned} \rho' x &= -7 \text{ for all } \rho' \in \gamma D \\ \implies \rho_1 x &= -7 \text{ for } (\rho_1, \_) = \llbracket \pi \rrbracket s \end{aligned}$$

To prove  $(*)$ , we show for every edge  $k$ :



Then  $(*)$  follows by induction  $:-)$

To prove  $(**)$ , we show for every expression  $e$ :

$(***)$   $(\llbracket e \rrbracket \rho) \Delta (\llbracket e \rrbracket^\# D)$  whenever  $\rho \Delta D$

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$(x \square y) \Delta (x^\# \square^\# y^\#)$  whenever  $x \Delta x^\# \wedge y \Delta y^\#$

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This precisely was how we have defined the operators  $\square^\#$  :-)



Now,  $(**)$  is proved by case distinction on the edge labels  $lab$ .

Let  $s = (\rho, \mu) \Delta D$ . In particular,  $\perp \neq D : Vars \rightarrow \mathbb{Z}^\top$

Case  $x = e;$ :

$$\rho_1 = \rho \oplus \{x \mapsto \llbracket e \rrbracket \rho\} \quad \mu_1 = \mu$$

$$D_1 = D \oplus \{x \mapsto \llbracket e \rrbracket^\# D\}$$

$$\implies (\rho_1, \mu_1) \Delta D_1$$

Case  $x = M[e];$  :

$$\rho_1 = \rho \oplus \{x \mapsto \mu(\llbracket e \rrbracket^\# \rho)\} \quad \mu_1 = \mu$$

$$D_1 = D \oplus \{x \mapsto \top\}$$

$$\implies (\rho_1, \mu_1) \Delta D_1$$

Case  $M[e_1] = e_2;$  :

$$\rho_1 = \rho \quad \mu_1 = \mu \oplus \{\llbracket e_1 \rrbracket^\# \rho \mapsto \llbracket e_2 \rrbracket^\# \rho\}$$

$$D_1 = D$$

$$\implies (\rho_1, \mu_1) \Delta D_1$$

Case  $\boxed{\text{Neg}(e)}$  :

$(\rho_1, \mu_1) = s$  where:

$$0 = \llbracket e \rrbracket \rho$$

$$\Delta \llbracket e \rrbracket^\# D$$

$$\implies 0 \sqsubseteq \llbracket e \rrbracket^\# D$$

$$\implies \perp \neq D_1 = D$$

$$\implies (\rho_1, \mu_1) \Delta D_1$$

Case  $\boxed{\text{Pos}(e)}$  :

$(\rho_1, \mu_1) = s$  where:

$$0 \neq \llbracket e \rrbracket \rho$$

$$\Delta \llbracket e \rrbracket^\# D$$

$$\implies 0 \neq \llbracket e \rrbracket^\# D$$

$$\implies \perp \neq D_1 = D$$

$$\implies (\rho_1, \mu_1) \Delta D_1$$

:-)

We conclude: The assertion  $(*)$  is true  $(:-)$

The MOP-Solution:

$$\mathcal{D}^*[v] = \bigsqcup \{ \llbracket \pi \rrbracket^\# D_\top \mid \pi : start \rightarrow^* v \}$$

where  $D_\top x = \top$  ( $x \in Vars$ ).

We conclude: The assertion  $(*)$  is true  $(:-)$

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where  $D_\top x = \top$  ( $x \in Vars$ ).

By  $(*)$ , we have for all initial states  $s$  and all program executions  $\pi$  which reach  $v$ :

$$(\llbracket \pi \rrbracket s) \Delta (\mathcal{D}^*[v])$$

We conclude: The assertion  $(*)$  is true :-))

The MOP-Solution

$$\mathcal{D}^*[v] = \bigsqcup \{ \llbracket \pi \rrbracket^\# D_\top \mid \pi : start \rightarrow^* v \}$$

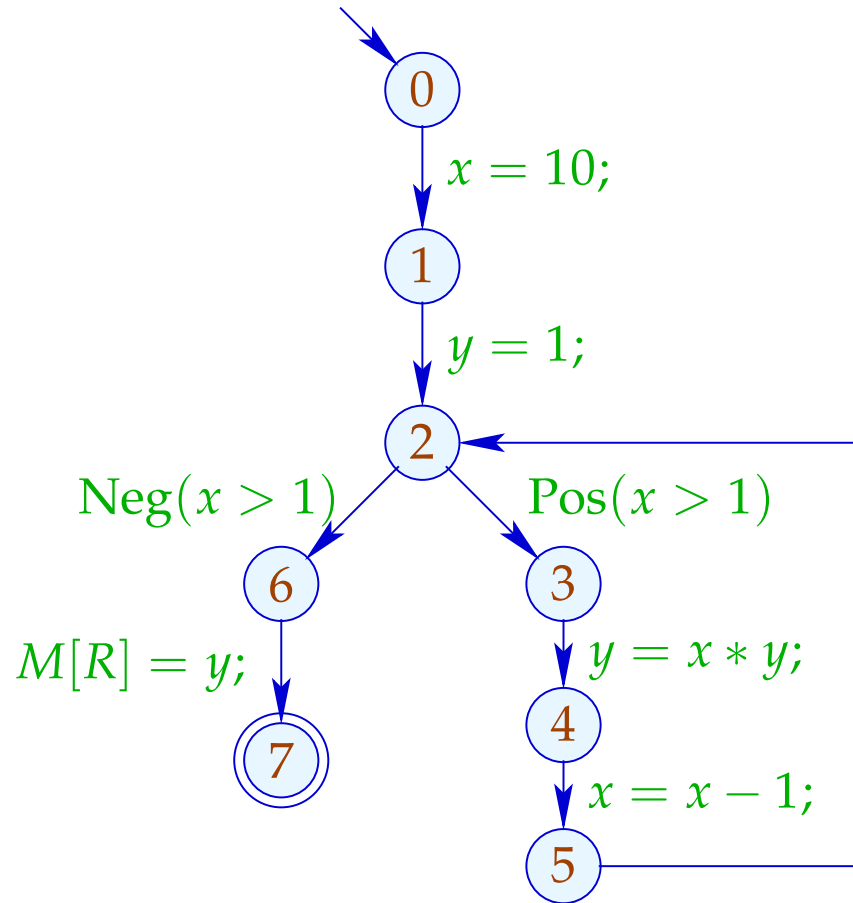
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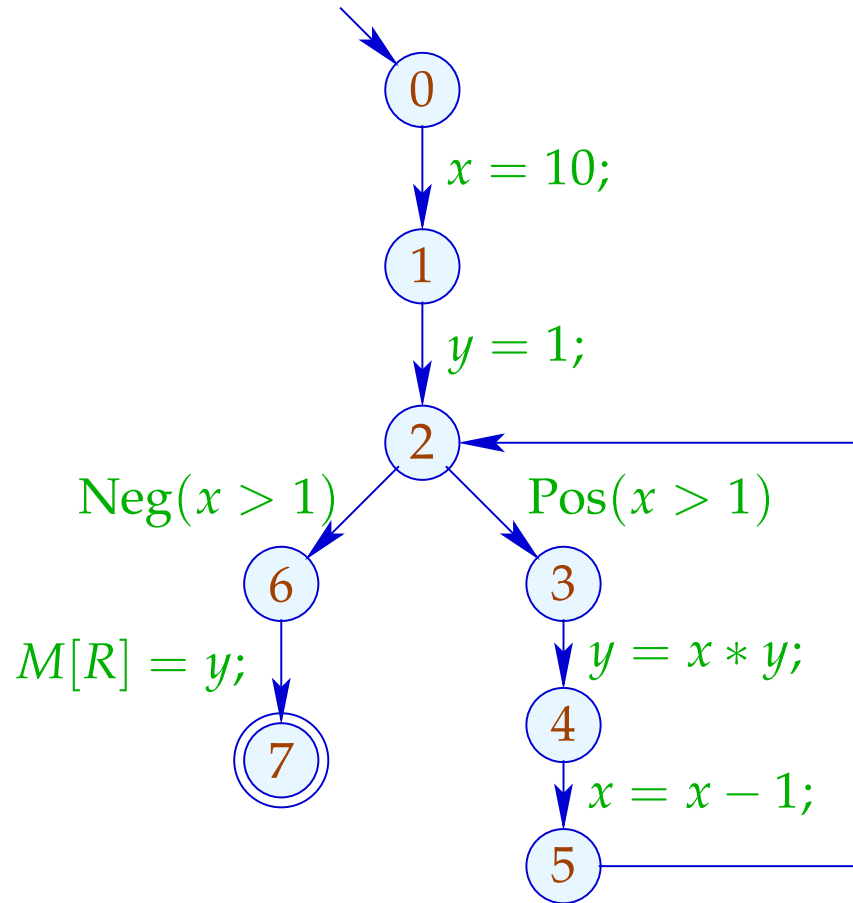
In order to approximate the MOP, we use our constraint system :-))

Example:



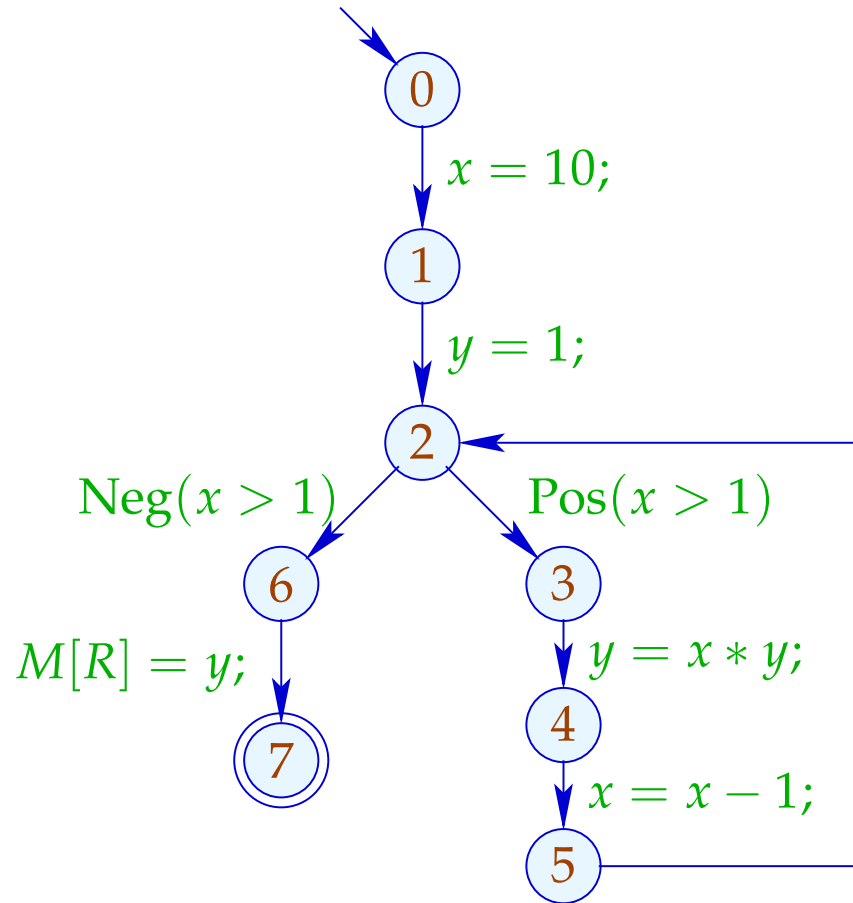


Example:



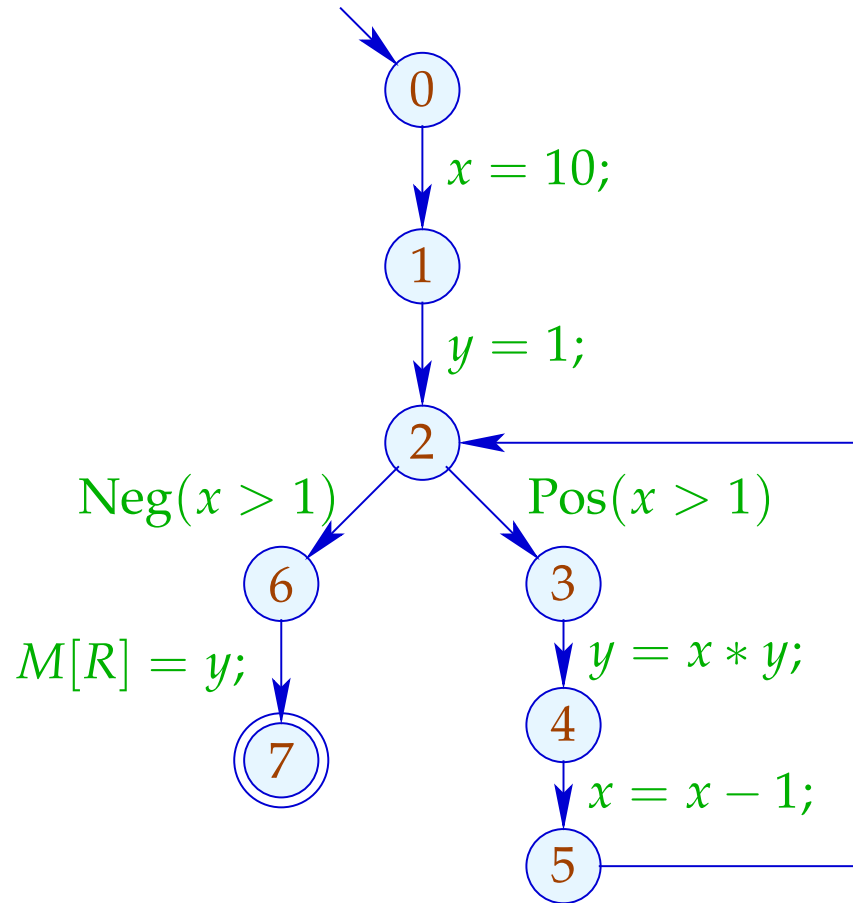
	1	
	$x$	$y$
0	⊥	⊥
1	10	⊥
2	10	1
3	10	1
4	10	10
5	9	10
6	⊥	
7	⊥	

# Example:



	1		2	
	$x$	$y$	$x$	$y$
0	⊤	⊤	⊤	⊤
1	10	⊤	10	⊤
2	10	1	⊤	⊤
3	10	1	⊤	⊤
4	10	10	⊤	⊤
5	9	10	⊤	⊤
6	⊥		⊤	⊤
7	⊥		⊤	⊤

Example:



	1		2		3	
	$x$	$y$	$x$	$y$	$x$	$y$
0	⊤	⊤	⊤	⊤		
1	10	⊤	10	⊤		
2	10	1	⊤	⊤		
3	10	1	⊤	⊤		
4	10	10	⊤	⊤	dito	
5	9	10	⊤	⊤		
6	⊥		⊤	⊤		
7	⊥		⊤	⊤		

## Conclusion:

Although we compute with concrete values, we fail to compute everything :-)

The fixpoint iteration, at least, is guaranteed to terminate:

For  $n$  program points and  $m$  variables, we maximally need:  
 $n \cdot (m + 1)$  rounds :-)

## Warning:

The effects of edge are not distributive !!!

Counter Example:  $f = \llbracket x = x + y; \rrbracket^\#$

Let  $D_1 = \{x \mapsto 2, y \mapsto 3\}$

$$D_2 = \{x \mapsto 3, y \mapsto 2\}$$

Dann  $f D_1 \sqcup f D_2 = \{x \mapsto 5, y \mapsto 3\} \sqcup \{x \mapsto 5, y \mapsto 2\}$

$$= \{x \mapsto 5, y \mapsto \top\}$$

$$\neq \{x \mapsto \top, y \mapsto \top\}$$

$$= f \{x \mapsto \top, y \mapsto \top\}$$

$$= f (D_1 \sqcup D_2)$$

:-((

We conclude:

The least solution  $\mathcal{D}$  of the constraint system in general yields only an **upper approximation** of the MOP, i.e.,

$$\mathcal{D}^*[v] \sqsubseteq \mathcal{D}[v]$$

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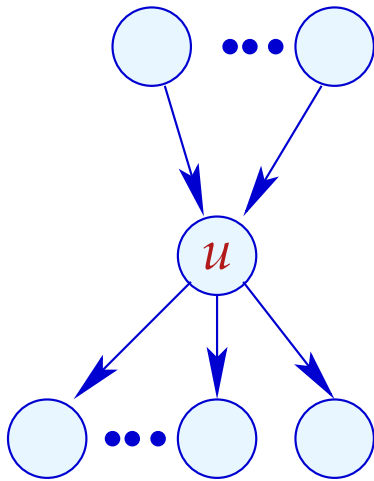
As an upper approximation,  $\mathcal{D}[v]$  nonetheless describes the result of every program execution  $\pi$  which reaches  $v$ :

$$(\llbracket \pi \rrbracket (\rho, \mu)) \Delta (\mathcal{D}[v])$$

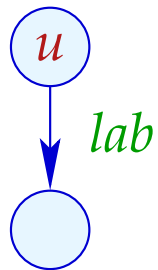
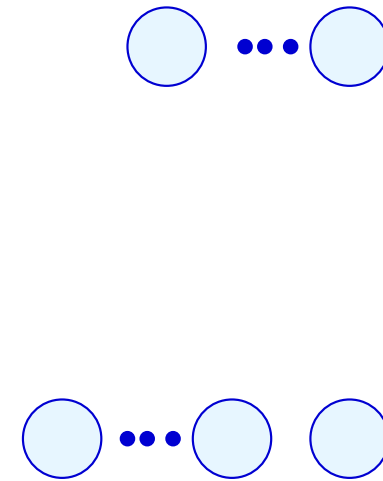
whenever  $\llbracket \pi \rrbracket (\rho, \mu)$  is defined  $(;-)$

## Transformation 4:

## Removal of Dead Code



$$\mathcal{D}[u] = \perp$$

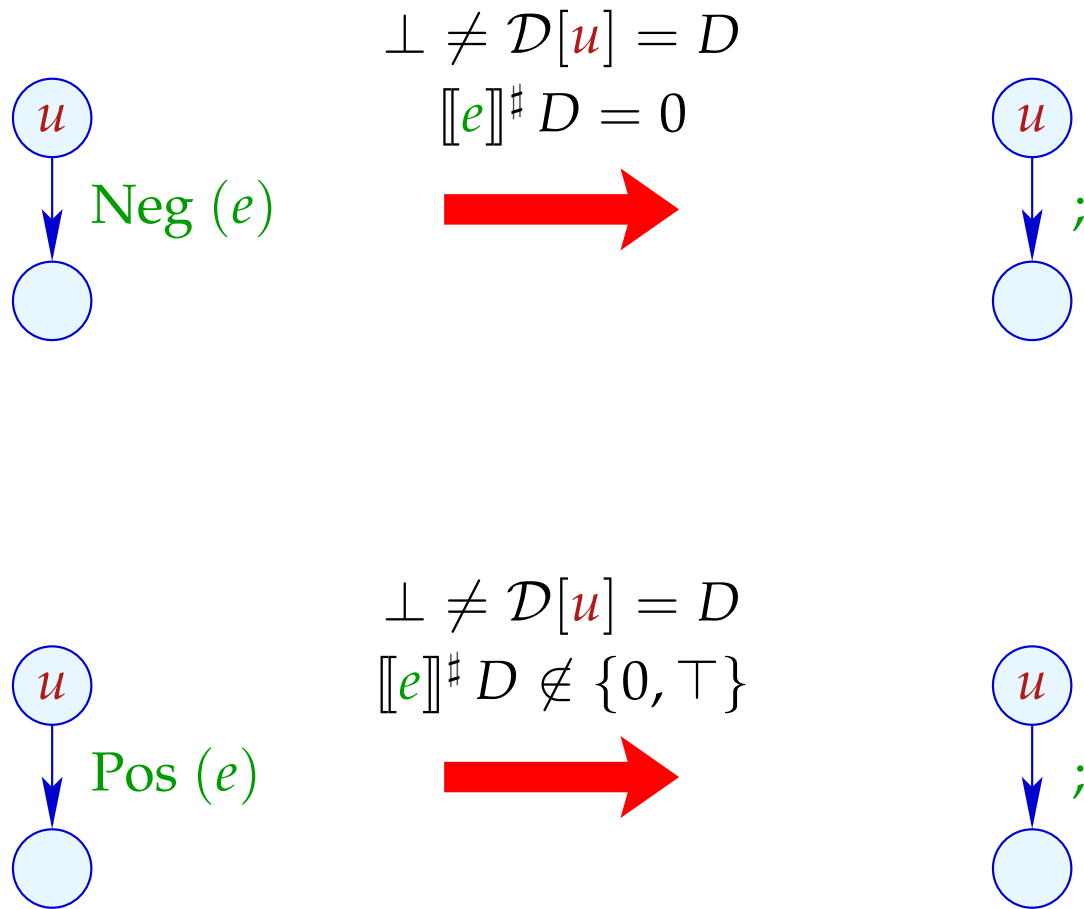


$$[[lab]]^\#(\mathcal{D}[u]) = \perp$$





# Transformation 4 (cont.): Removal of Dead Code



# Transformation 4 (cont.): Simplified Expressions

