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# Program Optimization

*TU München*

Winter 2011/12

# Organization

**Dates:** **Lecture:** Monday, 12:30-14:00  
Wednesday, 12:30-14:00  
**Tutorials:** Thursday, 12:30-14:00  
Kalmer Apinis: [apinis@in.tum.de](mailto:apinis@in.tum.de)  
**Material:** slides, [recording](#) :-)  
**simulator environment**  
[Programmanalyse und Transformation](#)  
[Springer, 2010](#)

- Grades:**
- Bonus for homeworks
  - written exam

# Proposed Content:

## 1. Avoiding redundant computations

- available expressions
- constant propagation/array-bound checks
- code motion

## 2. Replacing expensive with cheaper computations

- peep hole optimization
- inlining
- reduction of strength

...

### 3. Exploiting Hardware

- Instruction selection
- Register allocation
- Scheduling
- Memory management

# 0 Introduction

Observation 1: Intuitive programs often are inefficient.

Example:

```
void swap (int i, int j) {  
    int t;  
    if (a[i] > a[j]) {  
        t = a[j];  
        a[j] = a[i];  
        a[i] = t;  
    }  
}
```

## Inefficiencies:

- Addresses  $a[i]$ ,  $a[j]$  are computed three times :-)
- Values  $a[i]$ ,  $a[j]$  are loaded twice :-)

## Improvement:

- Use a pointer to traverse the array  $a$ ;
- store the values of  $a[i]$ ,  $a[j]$ !

```
void swap (int *p, int *q) {  
    int t, ai, aj;  
    ai = *p; aj = *q;  
    if (ai > aj) {  
        t = aj;  
        *q = ai;  
        *p = t;    // t can also be  
    }            // eliminated!  
}
```



## Observation 2:

Higher programming languages (even **C :-**) abstract from hardware and efficiency.

It is up to the compiler to adapt **intuitively** written program to hardware.

## Examples:

- ... Filling of delay slots;
- ... Utilization of special instructions;
- ... Re-organization of memory accesses for better cache behavior;
- ... Removal of (useless) overflow/range checks.

### Observation 3:

Programm-Improvements need not always be correct :-)

### Example:

$$y = f() + f(); \quad \Longrightarrow \quad y = 2 * f();$$

Idea: Save second evaluation of  $f()$  ...

## Observation 3:

Programm-Improvements need not always be correct :-)

## Example:

$$y = f() + f(); \quad \Longrightarrow \quad y = 2 * f();$$

**Idea:** Save the second evaluation of  $f()$  ???

**Problem:** The second evaluation may return a result different from the first; (e.g., because  $f()$  reads from the input :-)

## Consequences:

- ⇒ Optimizations have **assumptions**.
- ⇒ The **assumption** must be:
  - formalized,
  - checked :-)
- ⇒ It must be proven that the optimization is **correct**, i.e., preserves the **semantics !!!**

## Observation 4:

Optimization techniques depend on the **programming language**:

- which inefficiencies occur;
- how analyzable programs are;
- how difficult/impossible it is to prove correctness ...

**Example:**            **Java**

## Unavoidable Inefficiencies:

- \* Array-bound checks;
- \* Dynamic method invocation;
- \* Bombastic object organization ...

## Analyzability:

- + no pointer arithmetic;
- + no pointer into the stack;
- dynamic class loading;
- reflection, exceptions, threads, ...

## Correctness proofs:

- + more or less well-defined semantics;
- features, features, features;
- libraries with changing behavior ...

... in this course:

a simple **imperative** programming language with:

- variables // registers
- $R = e;$  // assignments
- $R = M[e];$  // loads
- $M[e_1] = e_2;$  // stores
- **if** ( $e$ )  $s_1$  **else**  $s_2$  // conditional branching
- **goto**  $L;$  // no loops :-)



## Note:

- For the beginning, we omit procedures :-)
- External procedures are taken into account through a statement  $f()$  for an unknown procedure  $f$ .
  - ⇒ intra-procedural
  - ⇒ kind of an intermediate language in which (almost) everything can be translated.

Example:          `swap ( )`

```

0 :   A1 = A0 + 1 * i;           //   A0 == &a
1 :   R1 = M[A1];               //   R1 == a[i]
2 :   A2 = A0 + 1 * j;
3 :   R2 = M[A2];               //   R2 == a[j]
4 :   if (R1 > R2) {
5 :       A3 = A0 + 1 * j;
6 :       t = M[A3];
7 :       A4 = A0 + 1 * j;
8 :       A5 = A0 + 1 * i;
9 :       R3 = M[A5];
10 :      M[A4] = R3;
11 :      A6 = A0 + 1 * i;
12 :      M[A6] = t;
      }

```

Optimization 1:  $1 * R \implies R$

Optimization 2: Reuse of subexpressions

$$A_1 == A_5 == A_6$$

$$A_2 == A_3 == A_4$$

$$M[A_1] == M[A_5]$$

$$M[A_2] == M[A_3]$$

$$R_1 == R_3$$

By this, we obtain:

$$A_1 = A_0 + i;$$

$$R_1 = M[A_1];$$

$$A_2 = A_0 + j;$$

$$R_2 = M[A_2];$$

if  $(R_1 > R_2)$  {

$$t = R_2;$$

$$M[A_2] = R_1;$$

$$M[A_1] = t;$$

}

Optimization 3: Contraction of chains of assignments :-)

Gain:

	before	after
+	6	2
*	6	0
load	4	2
store	2	2
>	1	1
=	6	2

# 1 Removing superfluous computations

## 1.1 Repeated computations

Idea:

If the same value is computed **repeatedly**, then

- **store** it after the first computation;
- replace every further computation through a **look-up!**
  - ⇒ Availability of expressions
  - ⇒ Memoization

**Problem:** Identify repeated computations!

**Example:**

$$\begin{aligned} z &= 1; \\ y &= M[17]; \\ A : \quad x_1 &= y + z; \\ &\dots \\ B : \quad x_2 &= y + z; \end{aligned}$$

## Note:

$B$  is a repeated computation of the value of  $y + z$ , if:

- (1)  $A$  is **always** executed **before**  $B$ ; and
- (2)  $y$  and  $z$  at  $B$  have the same values as at  $A$  :-)

$\implies$  We need:

- $\rightarrow$  an operational semantics :-)
- $\rightarrow$  a method which identifies at least **some** repeated computations ...

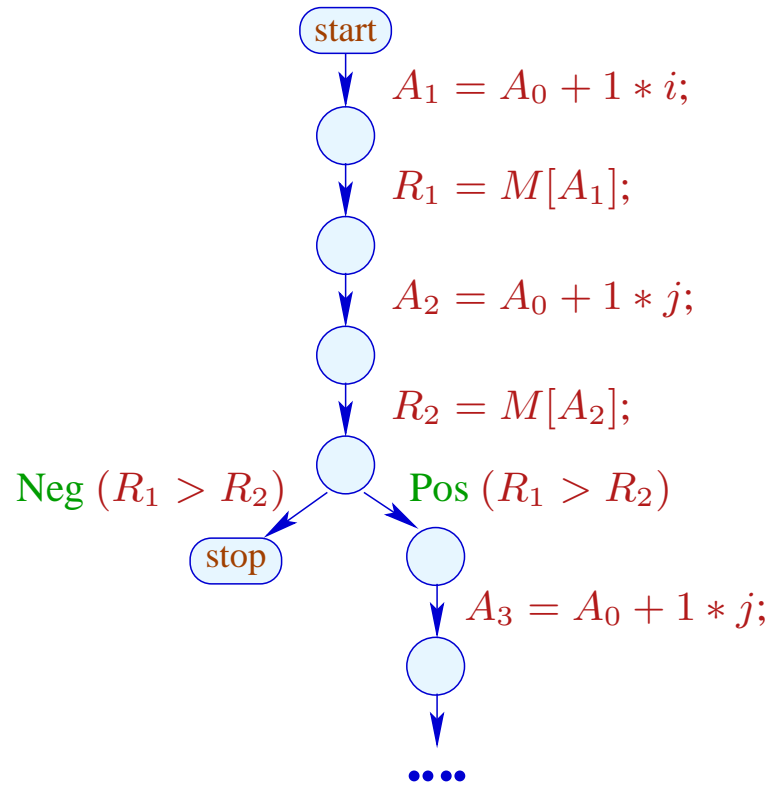


# Background 1: An Operational Semantics

we choose a **small-step** operational approach.

Programs are represented as **control-flow graphs**.

In the example:



Thereby, represent:

vertex	program point
start	programm start
stop	program exit
edge	step of computation

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Edge Labelings:

**Test** :                    Pos ( $e$ ) or Neg ( $e$ )

**Assignment** :         $R = e$ ;

**Load** :                     $R = M[e]$ ;

**Store** :                     $M[e_1] = e_2$ ;

**Nop** :                        ;

Computations follow **paths**.

Computations transform the current **state**

$$s = (\rho, \mu)$$

where:

$\rho : Vars \rightarrow \mathbf{int}$	contents of registers
$\mu : \mathbb{N} \rightarrow \mathbf{int}$	contents of storage

Every **edge**  $k = (u, lab, v)$  defines a **partial transformation**

$$\llbracket k \rrbracket = \llbracket lab \rrbracket$$

of the state:

$$\llbracket ; \rrbracket (\rho, \mu) = (\rho, \mu)$$

$$\llbracket \text{Pos}(e) \rrbracket (\rho, \mu) = (\rho, \mu) \quad \text{if } \llbracket e \rrbracket \rho \neq 0$$

$$\llbracket \text{Neg}(e) \rrbracket (\rho, \mu) = (\rho, \mu) \quad \text{if } \llbracket e \rrbracket \rho = 0$$

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//  $\llbracket e \rrbracket$  : **evaluation** of the expression  $e$ , e.g.

$$// \llbracket x + y \rrbracket \{x \mapsto 7, y \mapsto -1\} = 6$$

$$// \llbracket !(x == 4) \rrbracket \{x \mapsto 5\} = 1$$

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$$\llbracket R = e; \rrbracket (\rho, \mu) = (\rho \oplus \{R \mapsto \llbracket e \rrbracket \rho\}, \mu)$$

// where “ $\oplus$ ” modifies a mapping at a given argument

$$\llbracket R = M[e]; \rrbracket (\rho, \mu) = (\rho \oplus \{R \mapsto \mu(\llbracket e \rrbracket \rho)\}, \mu)$$

$$\llbracket M[e_1] = e_2; \rrbracket (\rho, \mu) = (\rho, \mu \oplus \{\llbracket e_1 \rrbracket \rho \mapsto \llbracket e_2 \rrbracket \rho\})$$

**Example:**

$$\llbracket x = x + 1; \rrbracket (\{x \mapsto 5\}, \mu) = (\rho, \mu) \quad \text{where:}$$

$$\begin{aligned} \rho &= \{x \mapsto 5\} \oplus \{x \mapsto \llbracket x + 1 \rrbracket \{x \mapsto 5\}\} \\ &= \{x \mapsto 5\} \oplus \{x \mapsto 6\} \\ &= \{x \mapsto 6\} \end{aligned}$$



A path  $\pi = k_1 k_2 \dots k_m$  is a **computation** for the state  $s$  if:

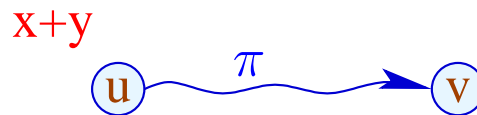
$$s \in \text{def} (\llbracket k_m \rrbracket \circ \dots \circ \llbracket k_1 \rrbracket)$$

The **result** of the computation is:

$$\llbracket \pi \rrbracket s = (\llbracket k_m \rrbracket \circ \dots \circ \llbracket k_1 \rrbracket) s$$

## Application:

Assume that we have computed the value of  $x + y$  at program point  $u$ :



We perform a computation along path  $\pi$  and reach  $v$  where we evaluate again  $x + y \dots$

## Idea:

If  $x$  and  $y$  have not been modified in  $\pi$ , then evaluation of  $x + y$  at  $v$  must return the same value as evaluation at  $u$  :-)

We can check this property at every edge in  $\pi$  :-}

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## More generally:

Assume that the values of the expressions  $A = \{e_1, \dots, e_r\}$  are available at  $u$ .

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We can check this property at every edge in  $\pi$  :-}

## More generally:

Assume that the values of the expressions  $A = \{e_1, \dots, e_r\}$  are available at  $u$ .

Every edge  $k$  transforms this set into a set  $\llbracket k \rrbracket^\# A$  of expressions whose values are available **after** execution of  $k$  ...

... which transformations can be composed to the **effect** of a path

$\pi = k_1 \dots k_r$ :

$$\llbracket \pi \rrbracket^\# = \llbracket k_r \rrbracket^\# \circ \dots \circ \llbracket k_1 \rrbracket^\#$$

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The effect  $\llbracket k \rrbracket^\#$  of an edge  $k = (u, \text{lab}, v)$  only depends on the label *lab*, i.e.,  $\llbracket k \rrbracket^\# = \llbracket \text{lab} \rrbracket^\#$

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$$\llbracket ; \rrbracket^\# A = A$$

$$\llbracket \text{Pos}(e) \rrbracket^\# A = \llbracket \text{Neg}(e) \rrbracket^\# A = A \cup \{e\}$$

$$\llbracket x = e; \rrbracket^\# A = (A \cup \{e\}) \setminus \text{Expr}_x \quad \text{where}$$

$\text{Expr}_x$  all expressions which contain  $x$

$$\llbracket x = M[e]; \rrbracket^\# A = (A \cup \{e\}) \setminus Expr_x$$

$$\llbracket M[e_1] = e_2; \rrbracket^\# A = A \cup \{e_1, e_2\}$$



$$\begin{aligned} \llbracket x = M[e]; \rrbracket^\# A &= (A \cup \{e\}) \setminus Expr_x \\ \llbracket M[e_1] = e_2; \rrbracket^\# A &= A \cup \{e_1, e_2\} \end{aligned}$$

By that, **every path** can be analyzed :-)

A given program may admit **several paths** :-)

For any given input, another path may be chosen :-((

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$\implies$  We require the set:

$$\mathcal{A}[v] = \bigcap \{ \llbracket \pi \rrbracket^\# \emptyset \mid \pi : \text{start} \rightarrow^* v \}$$

## Concretely:

- We consider **all** paths  $\pi$  which reach  $v$ .
- For every path  $\pi$ , we determine the set of expressions which are available along  $\pi$ .
- Initially at program start, **nothing** is available :-)
- We compute the **intersection**  $\implies$  **safe information**

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How do we exploit this information ???

## Transformation 1.1:

We provide novel registers  $T_e$  as **storage** for the  $e$ :

