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- $\text{dec } x = x - 1$  is monotonic.
- $\text{inv } x = -x$  is **not monotonic** :-)

## Theorem:

If  $f_1 : \mathbb{D}_1 \rightarrow \mathbb{D}_2$  and  $f_2 : \mathbb{D}_2 \rightarrow \mathbb{D}_3$  are monotonic, then also  
 $f_2 \circ f_1 : \mathbb{D}_1 \rightarrow \mathbb{D}_3$  :-)

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## Theorem:

If  $\mathbb{D}_2$  is a complete lattice, then the set  $[\mathbb{D}_1 \rightarrow \mathbb{D}_2]$  of monotonic functions  $f : \mathbb{D}_1 \rightarrow \mathbb{D}_2$  is also a complete lattice where

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In particular for  $F \subseteq [\mathbb{D}_1 \rightarrow \mathbb{D}_2]$ ,

$$\bigsqcup F = f \quad \text{mit} \quad f x = \bigsqcup \{g x \mid g \in F\}$$

For functions  $f_i x = a_i \cap x \cup b_i$ , the operations “ $\circ$ ”, “ $\sqcup$ ” and “ $\sqcap$ ” can be explicitly defined by:

$$(f_2 \circ f_1) x = a_1 \cap a_2 \cap x \cup a_2 \cap b_1 \cup b_2$$

$$(f_1 \sqcup f_2) x = (a_1 \cup a_2) \cap x \cup b_1 \cup b_2$$

$$(f_1 \sqcap f_2) x = (a_1 \cup b_1) \cap (a_2 \cup b_2) \cap x \cup b_1 \cap b_2$$



**Wanted:** minimally **small** solution for:

$$x_i \sqsupseteq f_i(x_1, \dots, x_n), \quad i = 1, \dots, n \quad (*)$$

where all  $f_i : \mathbb{D}^n \rightarrow \mathbb{D}$  are monotonic.

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**Idea:**

- Consider  $F : \mathbb{D}^n \rightarrow \mathbb{D}^n$  where

$$F(x_1, \dots, x_n) = (y_1, \dots, y_n) \quad \text{with} \quad y_i = f_i(x_1, \dots, x_n).$$

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- If all  $f_i$  are monotonic, then also  $F$  :-)
- We successively **approximate** a solution. We construct:

$$\underline{\quad}, \quad F \underline{\quad}, \quad F^2 \underline{\quad}, \quad F^3 \underline{\quad}, \quad \dots$$

**Hope:** We eventually reach a solution ... ???

Example:

$$\mathbb{D} = 2^{\{a,b,c\}}, \quad \sqsubseteq = \subseteq$$

$$x_1 \supseteq \{a\} \cup x_3$$

$$x_2 \supseteq x_3 \cap \{a, b\}$$

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The Iteration:

	0	1	2	3	4
$x_1$	$\emptyset$				
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## Theorem

- $\underline{\perp}, F \underline{\perp}, F^2 \underline{\perp}, \dots$  form an ascending chain :

$$\underline{\perp} \subseteq F \underline{\perp} \subseteq F^2 \underline{\perp} \subseteq \dots$$

- If  $F^k \underline{\perp} = F^{k+1} \underline{\perp}$ , a solution is obtained which is the least one :-)
- If all ascending chains are finite, such a  $k$  always exists.

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## Proof

The first claim follows by complete induction:

**Foundation:**  $F^0 \underline{\perp} = \underline{\perp} \sqsubseteq F^1 \underline{\perp}$  :-)

**Step:** Assume  $F^{i-1} \underline{\perp} \sqsubseteq F^i \underline{\perp}$ . Then

$$F^i \underline{\perp} = F(F^{i-1} \underline{\perp}) \sqsubseteq F(F^i \underline{\perp}) = F^{i+1} \underline{\perp}$$

since  $F$  monotonic :-)

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**Conclusion:**

If  $\mathbb{D}$  is finite, a solution can be found which is definitely the least :-)

**Question:**

What, if  $\mathbb{D}$  is not finite ???

## Theorem

## Knaster – Tarski

Assume  $\mathbb{D}$  is a complete lattice. Then every **monotonic** function  $f : \mathbb{D} \rightarrow \mathbb{D}$  has a **least fixpoint**  $d_0 \in \mathbb{D}$ .

Let  $P = \{d \in \mathbb{D} \mid f d \sqsubseteq d\}$ .

Then  $d_0 = \bigsqcap P$  .



*Brunisław Knaster (1893-1980), topology*



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## Proof:

(1)  $d_0 \in P$  :

$$f d_0 \sqsubseteq f d \sqsubseteq d \quad \text{for all } d \in P$$

$$\implies f d_0 \text{ is a lower bound of } P$$

$$\implies f d_0 \sqsubseteq d_0 \quad \text{since } d_0 = \bigsqcap P$$

$$\implies d_0 \in P \quad \text{: -)}$$

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$\implies d_1 \in P$

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## Remark:

The least fixpoint  $d_0$  is in  $P$  and a **lower bound** :-)

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## Application:

Assume 
$$x_i \sqsupseteq f_i(x_1, \dots, x_n), \quad i = 1, \dots, n \quad (*)$$

is a **system of constraints** where all  $f_i : \mathbb{D}^n \rightarrow \mathbb{D}$  are monotonic.



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$\implies$  least solution of  $(*)$   $\equiv$  least fixpoint of  $F$  :-)

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**Example 2:**  $\mathbb{D} = \mathbb{N} \cup \{\infty\}$

Assume  $f x = x + 1$ . Then

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$\implies$  Ordinary iteration will never reach a fixpoint :-)

$\implies$  Sometimes, transfinite iteration is needed :-)

## Conclusion:

Systems of inequations can be solved through **fixpoint iteration**, i.e., by repeated evaluation of right-hand sides :-)



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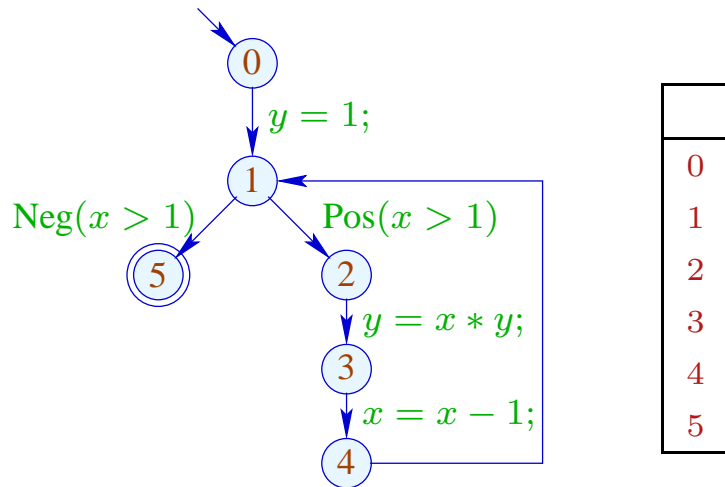
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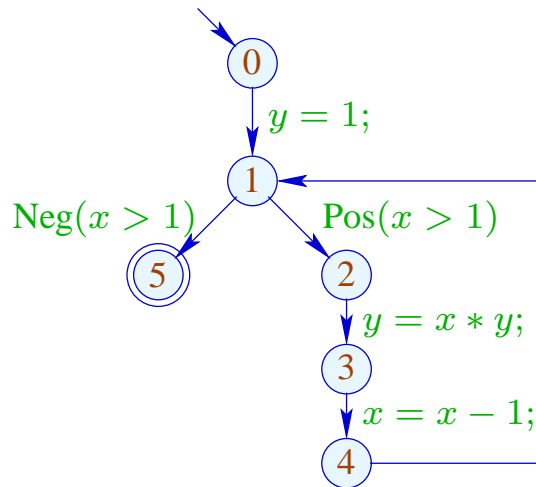


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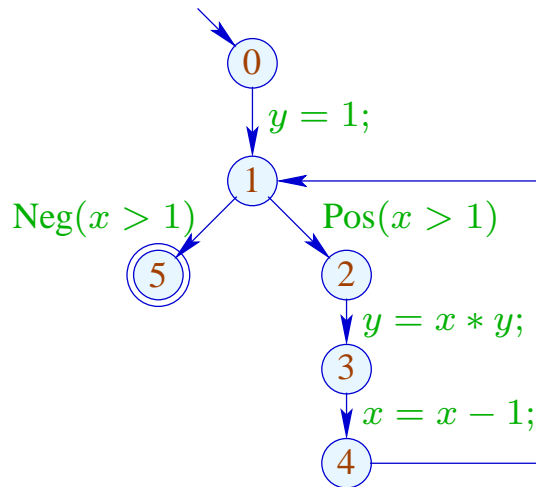
	1
0	$\emptyset$
1	$\{1, x > 1, x - 1\}$
2	<i>Expr</i>
3	$\{1, x > 1, x - 1\}$
4	$\{1\}$
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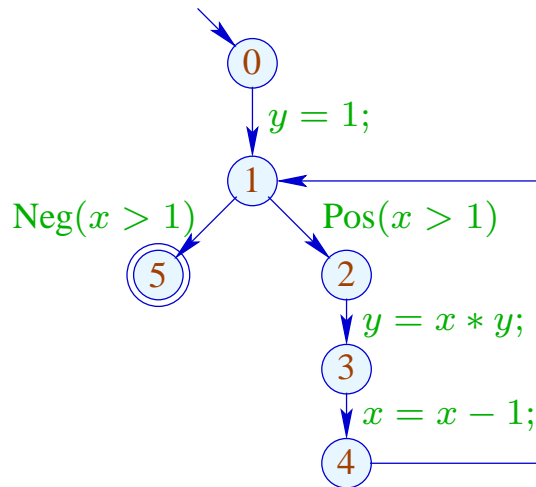
	1	2
0	$\emptyset$	$\emptyset$
1	$\{1, x > 1, x - 1\}$	$\{1\}$
2	<i>Expr</i>	$\{1, x > 1, x - 1\}$
3	$\{1, x > 1, x - 1\}$	$\{1, x > 1, x - 1\}$
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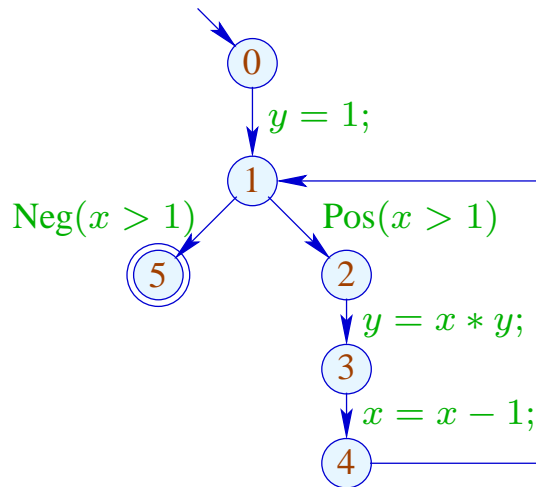
	1	2	3
0	$\emptyset$	$\emptyset$	$\emptyset$
1	$\{1, x > 1, x - 1\}$	$\{1\}$	$\{1\}$
2	<i>Expr</i>	$\{1, x > 1, x - 1\}$	$\{1, x > 1\}$
3	$\{1, x > 1, x - 1\}$	$\{1, x > 1, x - 1\}$	$\{1, x > 1, x - 1\}$
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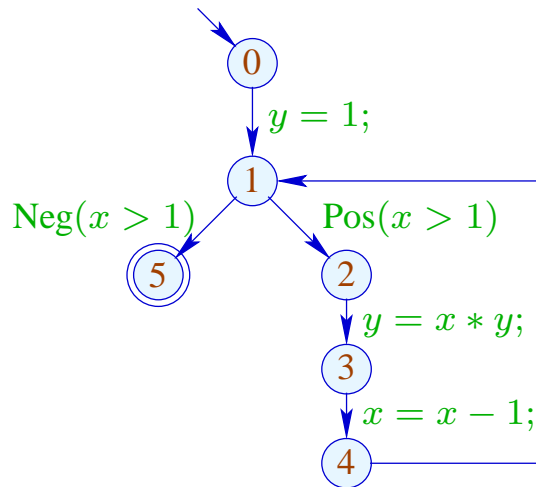
	1	2	3	4
0	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$
1	$\{1, x > 1, x - 1\}$	$\{1\}$	$\{1\}$	$\{1\}$
2	<i>Expr</i>	$\{1, x > 1, x - 1\}$	$\{1, x > 1\}$	$\{1, x > 1\}$
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## Example:



	1	2	3	4	5
0	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	
1	$\{1, x > 1, x - 1\}$	$\{1\}$	$\{1\}$	$\{1\}$	
2	<i>Expr</i>	$\{1, x > 1, x - 1\}$	$\{1, x > 1\}$	$\{1, x > 1\}$	
3	$\{1, x > 1, x - 1\}$	$\{1, x > 1, x - 1\}$	$\{1, x > 1, x - 1\}$	$\{1, x > 1\}$	dito
4	$\{1\}$	$\{1\}$	$\{1\}$	$\{1\}$	
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## Idea: Round Robin Iteration

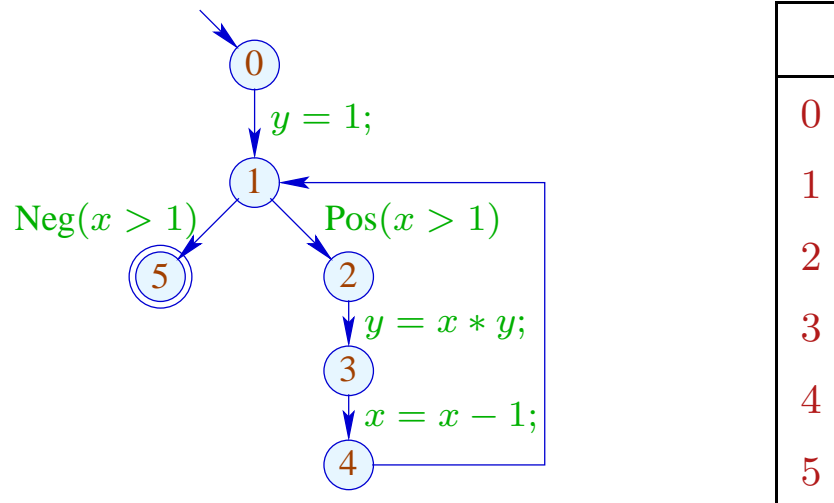
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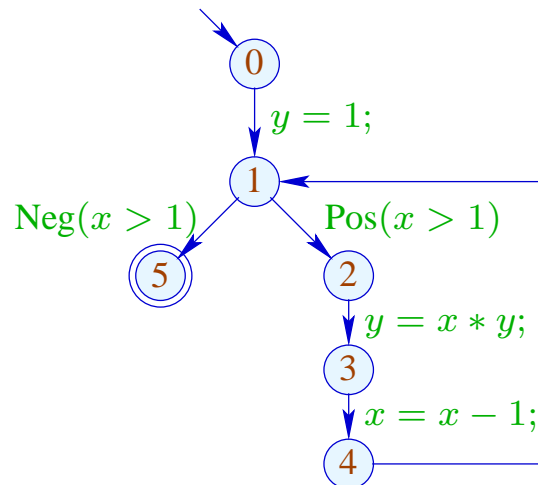
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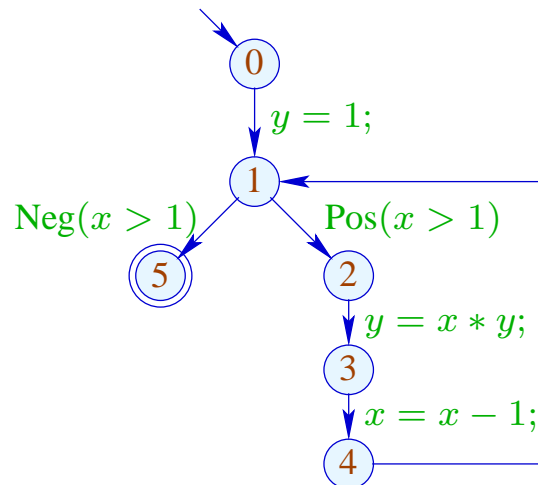


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## Example:



	1	2
0	$\emptyset$	
1	{1}	
2	{1, $x > 1$ }	
3	{1, $x > 1$ }	dito
4	{1}	
5	{1, $x > 1$ }	

The code for **Round Robin** Iteration in **Java** looks as follows:

```
for (i = 1; i ≤ n; i++)  $x_i = \perp$ ;  
do {  
    finished = true;  
    for (i = 1; i ≤ n; i++) {  
        new =  $f_i(x_1, \dots, x_n)$ ;  
        if ( $!(x_i \sqsupseteq \text{new})$ ) {  
            finished = false;  
             $x_i = x_i \sqcup \text{new}$ ;  
        }  
    }  
} while (!finished);
```

## Correctness:

Assume  $y_i^{(d)}$  is the  $i$ -th component of  $F^d \underline{\underline{1}}$ .

Assume  $x_i^{(d)}$  is the value of  $x_i$  after the  $d$ -th RR-iteration.

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One proves:

$$(1) \quad y_i^{(d)} \sqsubseteq x_i^{(d)} \quad :-)$$

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Assume  $x_i^{(d)}$  is the value of  $x_i$  after the  $i$ -th RR-iteration.

One proves:

$$(1) \quad y_i^{(d)} \sqsubseteq x_i^{(d)} \quad :-)$$

$$(2) \quad x_i^{(d)} \sqsubseteq z_i \quad \text{for every solution } (z_1, \dots, z_n) \quad :-)$$

## Correctness:

Assume  $y_i^{(d)}$  is the  $i$ -th component of  $F^d \underline{1}$ .

Assume  $x_i^{(d)}$  is the value of  $x_i$  after the  $i$ -th RR-iteration.

One proves:

(1)  $y_i^{(d)} \sqsubseteq x_i^{(d)}$  :-)

(2)  $x_i^{(d)} \sqsubseteq z_i$  for every solution  $(z_1, \dots, z_n)$  :-)

(3) If RR-iteration terminates after  $d$  rounds, then  
 $(x_1^{(d)}, \dots, x_n^{(d)})$  is a solution :-))



## Caveat:

The efficiency of **RR**-iteration depends on the **ordering** of the unknowns

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## Good:

→  $u$  before  $v$ , if  $u \rightarrow^* v$ ;

→ entry condition before loop body :-)

## Caveat:

The efficiency of **RR**-iteration depends on the **ordering** of the unknowns

!!!

## Good:

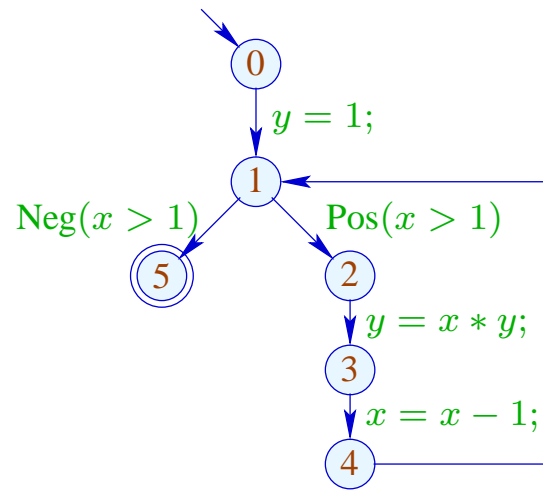
→  $u$  before  $v$ , if  $u \rightarrow^* v$ ;

→ entry condition before loop body :-)

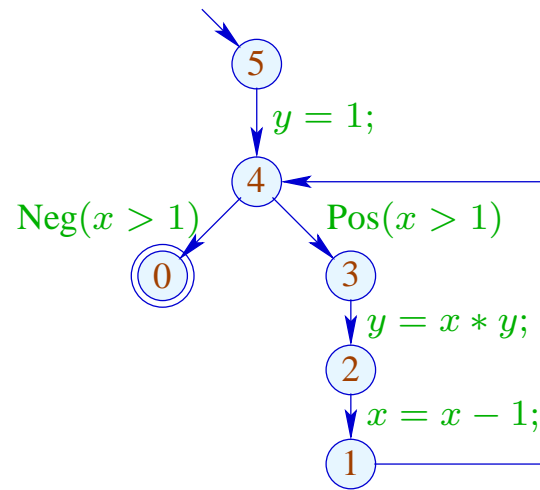
## Bad:

e.g., post-order DFS of the CFG, starting at **start** :-)

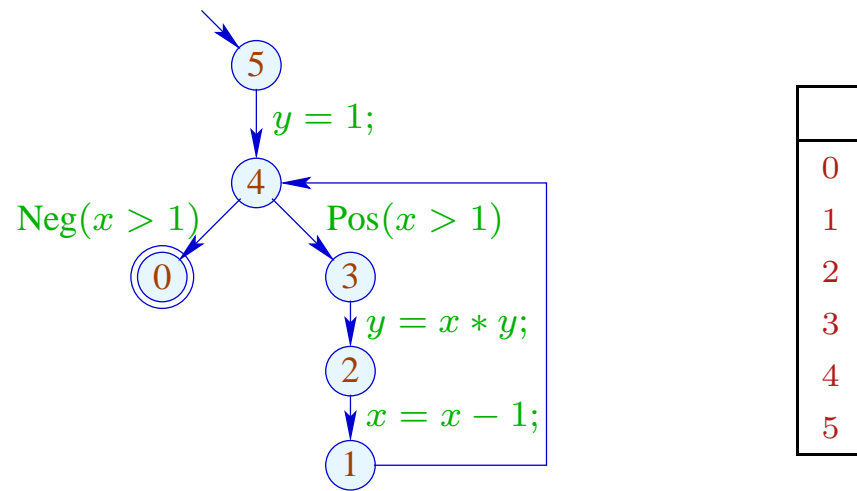
Good:



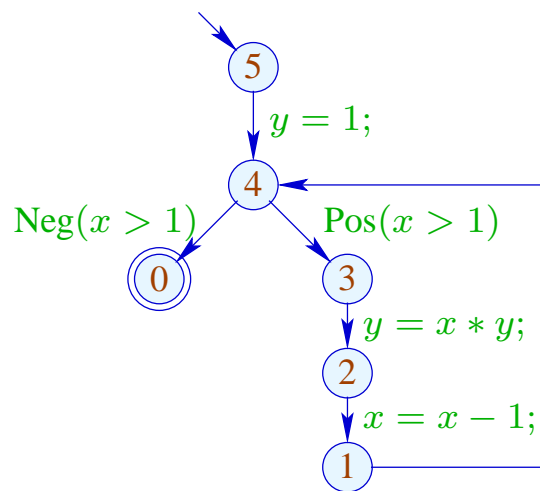
Bad:



# Inefficient Round Robin Iteration:

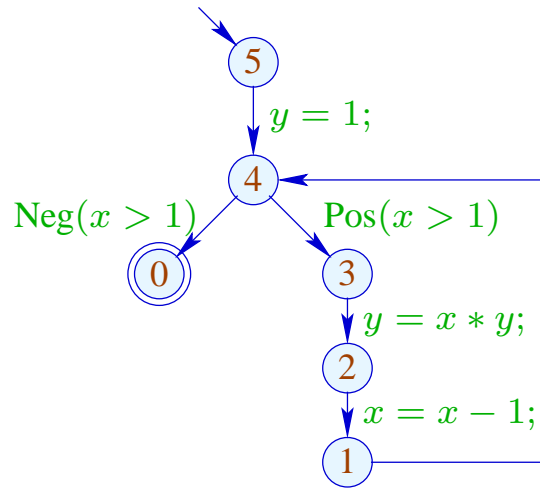


## Inefficient Round Robin Iteration:



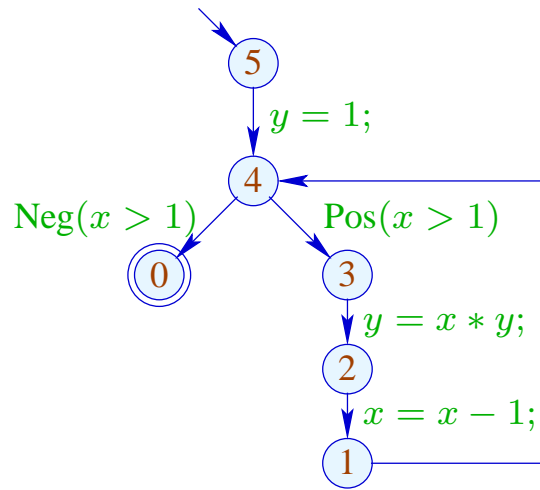
	1
0	<i>Expr</i>
1	{1}
2	{1, $x - 1$ , $x > 1$ }
3	<i>Expr</i>
4	{1}
5	$\emptyset$

# Inefficient Round Robin Iteration:



	1	2
0	<i>Expr</i>	{1, $x > 1$ }
1	{1}	{1}
2	{1, $x - 1, x > 1$ }	{1, $x - 1, x > 1$ }
3	<i>Expr</i>	{1, $x > 1$ }
4	{1}	{1}
5	$\emptyset$	$\emptyset$

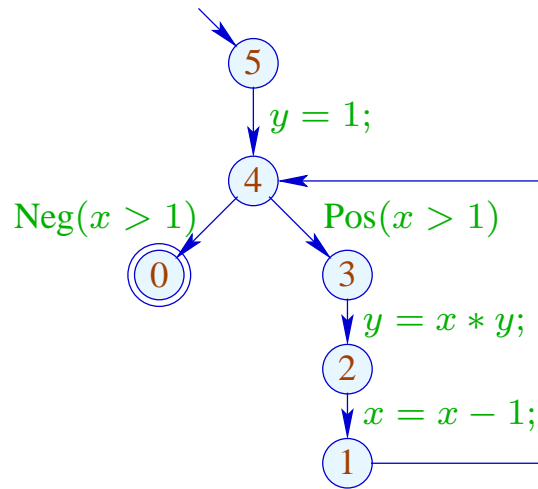
# Inefficient Round Robin Iteration:



	1	2	3
0	<i>Expr</i>	{1, $x > 1$ }	{1, $x > 1$ }
1	{1}	{1}	{1}
2	{1, $x - 1, x > 1$ }	{1, $x - 1, x > 1$ }	{1, $x > 1$ }
3	<i>Expr</i>	{1, $x > 1$ }	{1, $x > 1$ }
4	{1}	{1}	{1}
5	$\emptyset$	$\emptyset$	$\emptyset$



# Inefficient Round Robin Iteration:



	1	2	3	4
0	<i>Expr</i>	{1, $x > 1$ }	{1, $x > 1$ }	
1	{1}	{1}	{1}	
2	{1, $x - 1, x > 1$ }	{1, $x - 1, x > 1$ }	{1, $x > 1$ }	dito
3	<i>Expr</i>	{1, $x > 1$ }	{1, $x > 1$ }	
4	{1}	{1}	{1}	
5	$\emptyset$	$\emptyset$	$\emptyset$	

⇒ significantly less efficient :-)

... end of background on: **Complete Lattices**