Theorem
Knaster - Tarski

Assume $\mathbb{D}$ is a complete lattice. Then every monotonic function $f: \mathbb{D} \rightarrow \mathbb{D}$ has a least fixpoint $d_{0} \in \mathbb{D}$.

Let $P=\{d \in \mathbb{D} \mid f d \sqsubseteq d\}$.
Then $\quad d_{0}=\Pi P$.

Proof:
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Then $\quad d_{0}=\Pi P$.

## Proof:

(1) $d_{0} \in P$ :

$$
\begin{array}{ll} 
& f d_{0} \sqsubseteq f d \sqsubseteq d \quad \text { for all } d \in P \\
\Longrightarrow & f d_{0} \text { is a lower bound of } P \\
\Longrightarrow & f d_{0} \sqsubseteq d_{0} \quad \text { since } d_{0}=\Pi P \\
\Longrightarrow & \left.d_{0} \in P \quad:-\right)
\end{array}
$$

(2) $f d_{0}=d_{0}$ :
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\begin{array}{ll} 
& f d_{0} \sqsubseteq d_{0} \quad \text { by } \quad(1) \\
\Longrightarrow & f\left(f d_{0}\right) \sqsubseteq f d_{0} \quad \text { by monotonicity of } f \\
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(3) $d_{0}$ is least fixpoint:
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\end{array}
$$

(3) $d_{0}$ is least fixpoint:

$$
\begin{array}{ll} 
& f d_{1}=d_{1} \sqsubseteq d_{1} \quad \text { an other fixpoint } \\
\Longrightarrow & d_{1} \in P \\
\Longrightarrow & d_{0} \sqsubseteq d_{1}
\end{array}
$$

## Remark:

The least fixpoint $\quad d_{0}$ is in $P$ and a lower bound $\left.:-\right)$
$\Longrightarrow \quad d_{0} \quad$ is the least value $x$ with $\quad x \sqsupseteq f x$

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## Application:

Assume

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\begin{equation*}
x_{i} \sqsupseteq f_{i}\left(x_{1}, \ldots, x_{n}\right), \quad i=1, \ldots, n \tag{*}
\end{equation*}
$$

is a system of constraints where all $f_{i}: \mathbb{D}^{n} \rightarrow \mathbb{D}$ are monotonic.

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is a system of constraints where all $f_{i}: \mathbb{D}^{n} \rightarrow \mathbb{D}$ are monotonic.
$\Longrightarrow$ least solution of $(*)=$ least fixpoint of $F \quad:-)$

Example 1: $\quad \mathbb{D}=2^{U}, \quad f x=x \cap a \cup b$

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Example 2: $\quad \mathbb{D}=\mathbb{N} \cup\{\infty\}$
Assume $f x=x+1$. Then

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$\Longrightarrow$ Ordinary iteration will never reach a fixpoint
$\Longrightarrow$ Sometimes, transfinite iteration is needed :-)

## Conclusion:

Systems of inequations can be solved through fixpoint iteration, i.e., by repeated evaluation of right-hand sides :-)

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Example:


|  | 1 |
| :---: | :---: |
| 0 | $\emptyset$ |
| 1 | $\{1, x>1, x-1\}$ |
| 2 | $\operatorname{Expr}$ |
| 3 | $\{1, x>1, x-1\}$ |
| 4 | $\{1\}$ |
| 5 | Expr |

## Conclusion:

Systems of inequations can be solved through fixpoint iteration, i.e., by repeated evaluation of right-hand sides :-)

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Example:


|  | 1 | 2 |
| :---: | :---: | :---: |
| 0 | $\emptyset$ | $\emptyset$ |
| 1 | $\{1, x>1, x-1\}$ | $\{1\}$ |
| 2 | $\operatorname{Expr}$ | $\{1, x>1, x-1\}$ |
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## Conclusion:

Systems of inequations can be solved through fixpoint iteration, i.e., by repeated evaluation of right-hand sides :-)

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Example:


|  | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: |
| 0 | $\emptyset$ | $\emptyset$ | $\emptyset$ |
| 1 | $\{1, x>1, x-1\}$ | $\{1\}$ | $\{1\}$ |
| 2 | $\operatorname{Expr}$ | $\{1, x>1, x-1\}$ | $\{1, x>1\}$ |
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Example:


|  | 1 | 2 | 3 | 4 |
| :---: | :---: | :---: | :---: | :---: |
| 0 | $\emptyset$ | $\emptyset$ | $\emptyset$ | $\emptyset$ |
| 1 | $\{1, x>1, x-1\}$ | $\{1\}$ | $\{1\}$ | $\{1\}$ |
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Example:


|  | 1 | 2 | 3 | 4 | 5 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | $\emptyset$ | $\emptyset$ | $\emptyset$ | $\emptyset$ |  |
| 1 | $\{1, x>1, x-1\}$ | $\{1\}$ | $\{1\}$ | $\{1\}$ |  |
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## Idea: Round Robin Iteration

Instead of accessing the values of the last iteration, always use the current values of unknowns :-)

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|  |
| :--- |
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## Idea: Round Robin Iteration

Instead of accessing the values of the last iteration, always use the current values of unknowns :-)

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Instead of accessing the values of the last iteration, always use the current values of unknowns :-)

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The code for Round Robin Iteration in Java looks as follows:

```
for (i=1;i\leqn;i++) x 
do {
    finished = true;
    for (i=1;i\leqn;i++) {
        new = fi}(\mp@subsup{x}{1}{},\ldots,\mp@subsup{x}{n}{})
        if (!(x, (
            finished = false;
            x}=\mp@subsup{x}{i}{}\sqcupnew
        }
    }
} while (!finished);
```


## Correctness:

Assume $\quad y_{i}^{(d)} \quad$ is the $i$-th component of $\quad F^{d} \perp$.
Assume $x_{i}^{(d)}$ is the value of $x_{i}$ after the $d$-th RR-iteration.

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(1) $y_{i}^{(d)} \sqsubseteq x_{i}^{(d)} \quad$ :-)

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One proves:
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One proves:
(1) $y_{i}^{(d)} \sqsubseteq x_{i}^{(d)} \quad$ :-)
(2) $x_{i}^{(d)} \sqsubseteq z_{i}$ for every solution $\left(z_{1}, \ldots, z_{n}\right) \quad$ :-)
(3) If RR-iteration terminates after $d$ rounds, then $\left(x_{1}^{(d)}, \ldots, x_{n}^{(d)}\right)$ is a solution :-))

## Caveat:

The efficiency of RR-iteration depends on the ordering of the unknowns !!!

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$\rightarrow \quad u$ before $v$, if $u \rightarrow^{*} v$;
$\rightarrow \quad$ entry condition before loop body :-)

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## Good:

$\rightarrow u$ before $v$, if $u \rightarrow^{*} v$;
$\rightarrow \quad$ entry condition before loop body :-)
Bad:
e.g., post-order DFS of the CFG, starting at start :-)

Good:


Bad:


Inefficient Round Robin Iteration:


## Inefficient Round Robin Iteration:



|  | 1 |
| :---: | :---: |
| 0 | Expr |
| 1 | $\{1\}$ |
| 2 | $\{1, x-1, x>1\}$ |
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| 4 | $\{1\}$ |
| 5 | $\emptyset$ |

## Inefficient Round Robin Iteration:



|  | 1 | 2 |
| :---: | :---: | :---: |
| 0 | $E x p r$ | $\{1, x>1\}$ |
| 1 | $\{1\}$ | $\{1\}$ |
| 2 | $\{1, x-1, x>1\}$ | $\{1, x-1, x>1\}$ |
| 3 | $E x p r$ | $\{1, x>1\}$ |
| 4 | $\{1\}$ | $\{1\}$ |
| 5 | $\emptyset$ | $\emptyset$ |

## Inefficient Round Robin Iteration:



|  | 1 | 2 | 3 |
| :---: | :---: | :---: | :---: |
| 0 | Expr | $\{1, x>1\}$ | $\{1, x>1\}$ |
| 1 | $\{1\}$ | $\{1\}$ | $\{1\}$ |
| 2 | $\{1, x-1, x>1\}$ | $\{1, x-1, x>1\}$ | $\{1, x>1\}$ |
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| 4 | $\{1\}$ | $\{1\}$ | $\{1\}$ |
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## Inefficient Round Robin Iteration:



|  | 1 | 2 | 3 | 4 |
| :---: | :---: | :---: | :---: | :---: |
| 0 | $E x p r$ | $\{1, x>1\}$ | $\{1, x>1\}$ |  |
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$\Longrightarrow \quad$ significantly less efficient :-)
... end of background on: Complete Lattices
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Final Question:

Why is a (or the least) solution of the constraint system useful ???

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Why is a (or the least) solution of the constraint system useful ???

For a complete lattice $\mathbb{D}$, consider systems:

$$
\begin{array}{lll}
\mathcal{I}[\text { start }] & \sqsupseteq d_{0} & \\
\mathcal{I}[v] & \sqsupseteq \llbracket k \rrbracket^{\sharp}(\mathcal{I}[u]) \quad k=\left(u,{ }_{-}, v\right) \quad \text { edge }
\end{array}
$$

where $\quad d_{0} \in \mathbb{D} \quad$ and all $\llbracket k \rrbracket^{\sharp}: \mathbb{D} \rightarrow \mathbb{D}$ are monotonic ...

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$$

where $d_{0} \in \mathbb{D}$ and all $\llbracket k \rrbracket^{\sharp}: \mathbb{D} \rightarrow \mathbb{D}$ are monotonic ...
$\Longrightarrow \quad$ Monotonic Analysis Framework

## Wanted: MOP (Merge Over all Paths)

$$
\mathcal{I}^{*}[v]=\bigsqcup\left\{\llbracket \pi \rrbracket^{\sharp} d_{0} \mid \pi: \text { start } \rightarrow^{*} v\right\}
$$

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Theorem
Kam, Ullman 1975

Assume $\mathcal{I}$ is a solution of the constraint system. Then:

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\mathcal{I}[v] \sqsupseteq \mathcal{I}^{*}[v] \quad \text { for every } \quad v
$$



Jeffrey D. Ullman, Stanford

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Assume $\mathcal{I}$ is a solution of the constraint system. Then:

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\mathcal{I}[v] \sqsupseteq \mathcal{I}^{*}[v] \quad \text { for every } \quad v
$$

In particular: $\mathcal{I}[v] \sqsupseteq \llbracket \pi \rrbracket^{\sharp} d_{0} \quad$ for every $\pi:$ start $\rightarrow^{*} v$

$$
\text { Proof: } \quad \text { Induction on the length of } \pi .
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Step: $\quad \pi=\pi^{\prime} k \quad$ for $\quad k=(u,-v) \quad$ edge.

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$$
\begin{array}{rlrll}
\llbracket \pi^{\prime} \rrbracket^{\sharp} d_{0} & \sqsubseteq \mathcal{I}[u] & \text { by I.H. for } \pi \\
\Longrightarrow \llbracket \pi \rrbracket^{\sharp} d_{0} & =\llbracket k \rrbracket^{\sharp}\left(\llbracket \pi^{\prime} \rrbracket^{\sharp} d_{0}\right) & & \\
& \sqsubseteq \llbracket k \rrbracket^{\sharp}(\mathcal{I}[u]) & \text { since } \llbracket k \rrbracket^{\sharp} \text { monotonic } \\
& \sqsubseteq \mathcal{I}[v] & \text { since } & \mathcal{I} & \text { solution } \quad:-))
\end{array}
$$

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Are solutions of the constraint system just upper bounds ???

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In general: yes


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In general: yes
:-(
With the notable exception when all functions $\llbracket k \rrbracket^{\sharp}$ are distributive ... :-)

The function $\quad f: \mathbb{D}_{1} \rightarrow \mathbb{D}_{2} \quad$ is called

- distributive, if $\quad f(\bigsqcup X)=\bigsqcup\{f x \mid x \in X\}$ for all $\emptyset \neq X \subseteq \mathbb{D}$;
- strict, if $f \perp=\perp$.
- totally distributive, if $f$ is distributive and strict.

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Strictness: $\quad f \emptyset=a \cap \emptyset \cup b=b=\emptyset \quad$ whenever $\quad b=\emptyset \quad:-($

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Strictness: $\quad f \emptyset=a \cap \emptyset \cup b=b=\emptyset \quad$ whenever $\quad b=\emptyset \quad:-($
Distributivity:

$$
\begin{aligned}
f\left(x_{1} \cup x_{2}\right) & =a \cap\left(x_{1} \cup x_{2}\right) \cup b \\
& =a \cap x_{1} \cup a \cap x_{2} \cup b \\
& =f x_{1} \cup f x_{2}
\end{aligned}
$$

$$
:-)
$$

- $\quad \mathbb{D}_{1}=\mathbb{D}_{2}=\mathbb{N} \cup\{\infty\}, \quad$ inc $x=x+1$
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Strictness: $\quad f \perp=\operatorname{inc} 0=1 \quad \neq \perp:-($

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- $\quad \mathbb{D}_{1}=(\mathbb{N} \cup\{\infty\})^{2}, \quad \mathbb{D}_{2}=\mathbb{N} \cup\{\infty\}, \quad f\left(x_{1}, x_{2}\right)=x_{1}+x_{2}$
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Strictness: $\quad f \perp=\operatorname{inc} 0=1 \quad \neq \perp \quad:-($
Distributivity: $\quad f(\bigsqcup X)=\bigsqcup\{x+1 \mid x \in X\}$ for $\emptyset \neq X$ :-)

- $\mathbb{D}_{1}=(\mathbb{N} \cup\{\infty\})^{2}, \quad \mathbb{D}_{2}=\mathbb{N} \cup\{\infty\}, \quad f\left(x_{1}, x_{2}\right)=x_{1}+x_{2}:$

Strictness: $\quad f \perp=0+0=0 \quad:-)$
Distributivity:

$$
\begin{aligned}
f((1,4) \sqcup(4,1)) & =f(4,4)=8 \\
& \neq 5=f(1,4) \sqcup f(4,1) \quad:-)
\end{aligned}
$$

## Remark:

If $\quad f: \mathbb{D}_{1} \rightarrow \mathbb{D}_{2}$ is distributive, then also monotonic $\left.\quad:-\right)$

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From that follows:

$$
\begin{aligned}
f b & =f(a \sqcup b) \\
& =f a \sqcup f b \\
\Longrightarrow f a & \sqsubseteq f b \quad:-)
\end{aligned}
$$

Assumption: all $v$ are reachable from start.

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Theorem
Kildall 1972
If all effects of edges $\quad \llbracket k \rrbracket^{\sharp}$ are distributive, then: $\quad \mathcal{I}^{*}[v]=\mathcal{I}[v]$ for all $v$.


Gary A. Kildall (1942-1994).
Has developed the operating system CP/M and GUIs for PCs.

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Proof:
It suffices to prove that $\mathcal{I}^{*}$ is a solution :-)
For this, we show that $\mathcal{I}^{*}$ satisfies all constraints :-))
(1) We prove for start:

$$
\begin{aligned}
\mathcal{I}^{*}[\text { start }] & =\bigsqcup\left\{\llbracket \pi \rrbracket^{\sharp} d_{0} \mid \pi: \text { start } \rightarrow^{*} \text { start }\right\} \\
& \sqsupseteq \llbracket \epsilon \rrbracket d_{0} \\
& \left.\sqsupseteq d_{0} \quad:-\right)
\end{aligned}
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& \sqsupseteq \llbracket \epsilon \rrbracket \rrbracket_{0} \\
& \left.\sqsupseteq d_{0} \quad:-\right)
\end{aligned}
$$

(2) For every $k=\left(u,{ }_{-}, v\right) \quad$ we prove:

$$
\begin{aligned}
\mathcal{I}^{*}[v] & =\bigsqcup\left\{\llbracket \pi \rrbracket^{\sharp} d_{0} \mid \pi: \text { start } \rightarrow^{*} v\right\} \\
& \sqsupseteq \bigsqcup\left\{\llbracket \pi^{\prime} k \rrbracket^{\sharp} d_{0} \mid \pi^{\prime}: \text { start } \rightarrow^{*} u\right\} \\
& =\bigsqcup\left\{\llbracket k \rrbracket^{\sharp}\left(\llbracket \pi^{\prime} \rrbracket^{\sharp} d_{0}\right) \mid \pi^{\prime}: \text { start } \rightarrow^{*} u\right\} \\
& =\llbracket k \rrbracket^{\sharp}\left(\bigsqcup\left\{\llbracket \pi^{\prime} \rrbracket^{\sharp} d_{0} \mid \pi^{\prime}: \text { start } \rightarrow^{*} u\right\}\right) \\
& =\llbracket k \rrbracket^{\sharp}\left(\mathcal{I}^{*}[u \rrbracket)\right.
\end{aligned}
$$

since $\quad\left\{\pi^{\prime} \mid \pi^{\prime}:\right.$ start $\left.\rightarrow^{*} u\right\}$ is non-empty $\left.:-\right)$

## Caveat:

- Reachability of all program points cannot be abandoned! Consider:

 where $\mathbb{D}=\mathbb{N} \cup\{\infty\}$


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- Reachability of all program points cannot be abandoned! Consider:
(0) (1) ${ }^{7} \rightarrow$ where $\mathbb{D}=\mathbb{N} \cup\{\infty\}$

Then:

$$
\begin{aligned}
& \mathcal{I}[2]=\operatorname{inc} 0=1 \\
& \mathcal{I}^{*}[2]=\bigsqcup \emptyset=0
\end{aligned}
$$

## Caveat:

- Reachability of all program points cannot be abandoned! Consider:
(0) (2) where $\mathbb{D}=\mathbb{N} \cup\{\infty\}$

Then:

$$
\begin{aligned}
& \mathcal{I}[2]=\operatorname{inc} 0=1 \\
& \mathcal{I}^{*}[2]=\bigsqcup \emptyset=0
\end{aligned}
$$

- Unreachable program points can always be thrown away :-)


## Summary and Application:

$\rightarrow \quad$ The effects of edges of the analysis of availability of expressions are distributive:

$$
\begin{aligned}
\left(a \cup\left(x_{1} \cap x_{2}\right)\right) \backslash b & =\left(\left(a \cup x_{1}\right) \cap\left(a \cup x_{2}\right)\right) \backslash b \\
& =\left(\left(a \cup x_{1}\right) \backslash b\right) \cap\left(\left(a \cup x_{2}\right) \backslash b\right)
\end{aligned}
$$

