#### Theorem

### Knaster – Tarski

Assume  $\mathbb{D}$  is a complete lattice. Then every monotonic function  $f: \mathbb{D} \to \mathbb{D}$  has a least fixpoint  $d_0 \in \mathbb{D}$ .

Let  $P = \{ d \in \mathbb{D} \mid f d \sqsubseteq d \}.$ Then  $d_0 = \prod P$ .

## Proof:

(1)  $d_0 \in P$ :

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Then  $d_0 = \prod P$ .

## Proof:

(1) 
$$d_0 \in P$$
:  
 $f d_0 \sqsubseteq f d \sqsubseteq d$  for all  $d \in P$   
 $\implies f d_0$  is a lower bound of  $P$   
 $\implies f d_0 \sqsubseteq d_0$  since  $d_0 = \prod P$   
 $\implies d_0 \in P$  :-)

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 $\implies f(f d_0) \sqsubseteq f d_0$  by monotonicity of  $f$   
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$$d_0$$
 is least fixpoint:  
 $f d_1 = d_1 \sqsubseteq d_1$  an other fixpoint  
 $\implies d_1 \in P$   
 $\implies d_0 \sqsubseteq d_1$  :-))

## Remark:

The least fixpoint  $d_0$  is in P and a lower bound :-)  $\implies d_0$  is the least value x with  $x \supseteq f x$ 

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## Application:

Assume 
$$x_i \supseteq f_i(x_1, \dots, x_n), \quad i = 1, \dots, n$$
 (\*)  
is a system of constraints where all  $f_i : \mathbb{D}^n \to \mathbb{D}$  are monotonic.

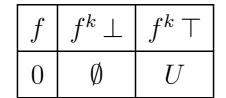
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is a system of constraints where all  $f_i : \mathbb{D}^n \to \mathbb{D}$  are monotonic.

 $\implies$  least solution of (\*) == least fixpoint of F :-)



f	$f^k \perp$	$f^k \top$
0	Ø	U
1	b	$a \cup b$

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Example 2:  $\mathbb{D} = \mathbb{N} \cup \{\infty\}$ 

Assume f x = x + 1. Then

$$f^i \perp = f^i \, 0 = i \quad \Box \quad i+1 = f^{i+1} \perp$$

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Assume f x = x + 1. Then

$$f^i \perp = f^i \, 0 = i \quad \Box \quad i+1 = f^{i+1} \perp$$

$$\implies \text{Ordinary iteration will never reach a fixpoint} :-($$
$$\implies \text{Sometimes, transfinite iteration} is needed :-)$$

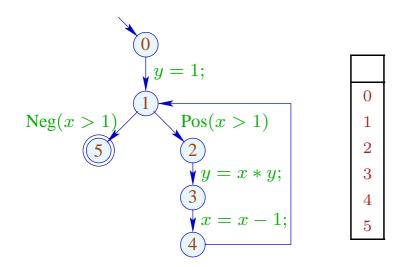
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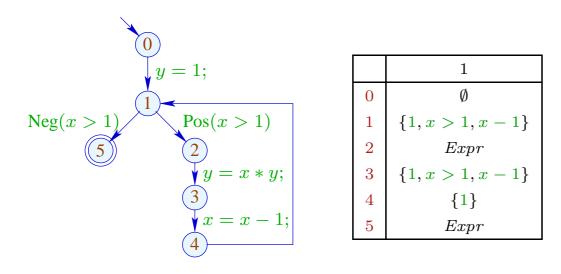
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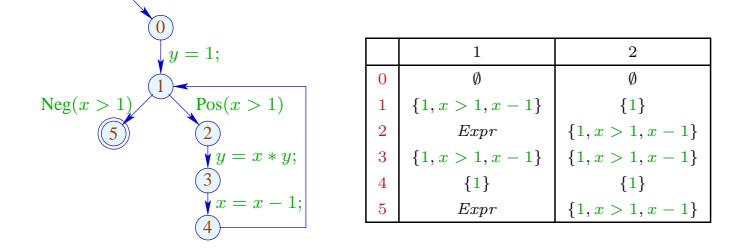
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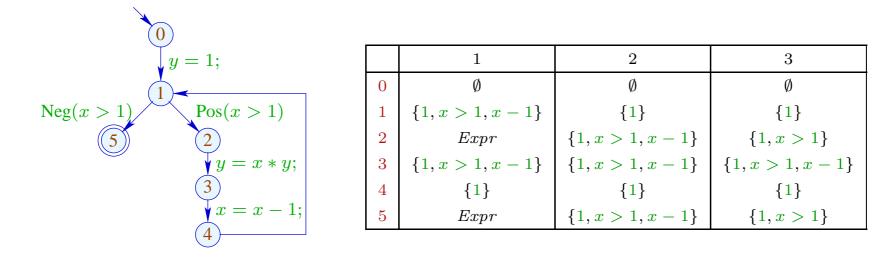
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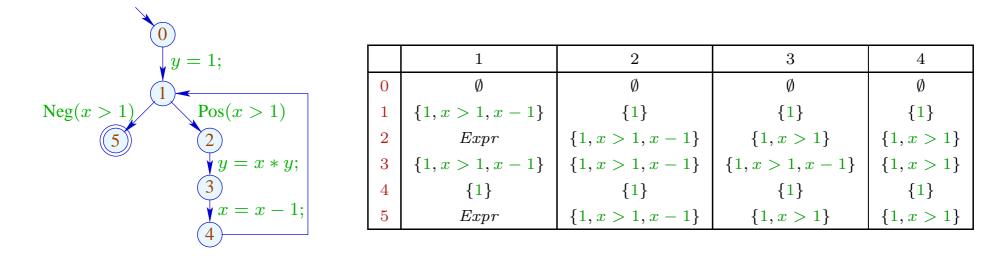
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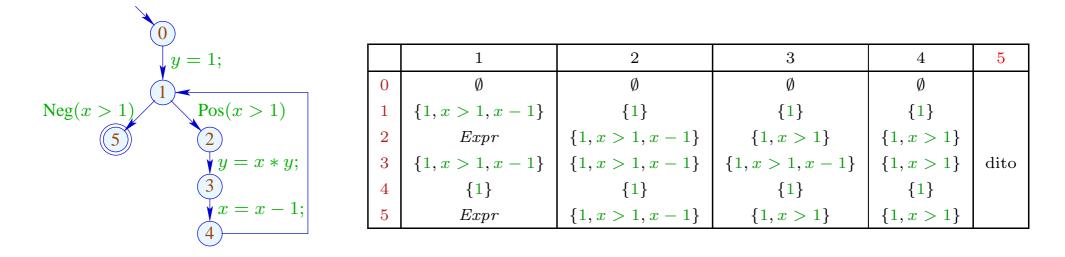
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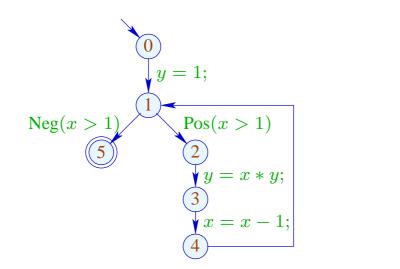
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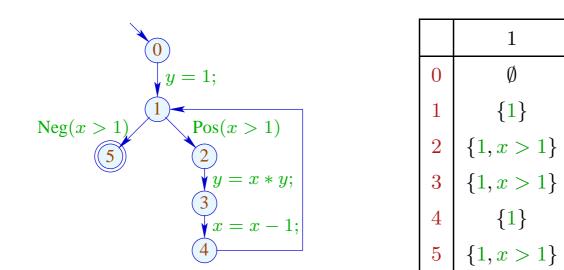


Instead of accessing the values of the last iteration, always use the current values of unknowns :-)

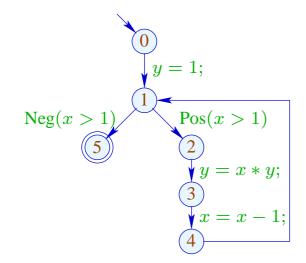
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	1	2
0	Ø	
1	{1}	
2	$\{1, x > 1\}$	
3	$\{1, x > 1\}$	dito
4	{1}	
5	$\{1, x > 1\}$	

The code for Round Robin Iteration in Java looks as follows:

```
for (i = 1; i \le n; i++) x_i = \bot;
do {
      finished = true;
      for (i = 1; i \le n; i++) {
             new = f_i(x_1, \ldots, x_n);
             if (!(x_i \supseteq new)) {
                    finished = false;
                    x_i = x_i \sqcup new;
              }
       }
} while (!finished);
```

Assume  $y_i^{(d)}$  is the *i*-th component of  $F^d \perp$ . Assume  $x_i^{(d)}$  is the value of  $x_i$  after the *d*-th RR-iteration.

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- (3) If **RR**-iteration terminates after *d* rounds, then  $(x_1^{(d)}, \ldots, x_n^{(d)})$  is a solution :-))

## Caveat:

The efficiency of RR-iteration depends on the ordering of the unknowns !!!!

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## Good:

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- $\rightarrow$  entry condition before loop body :-)

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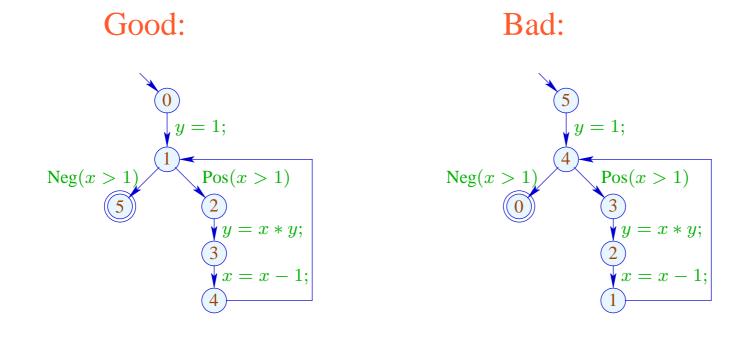
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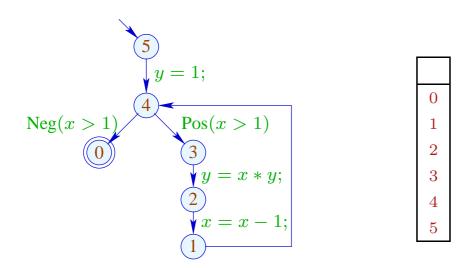
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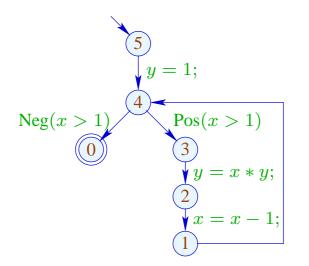
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### **Bad:**

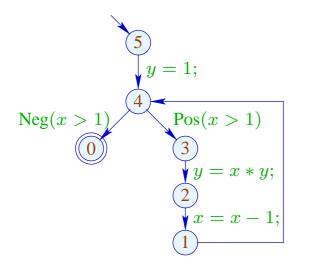
e.g., post-order DFS of the CFG, starting at start :-)



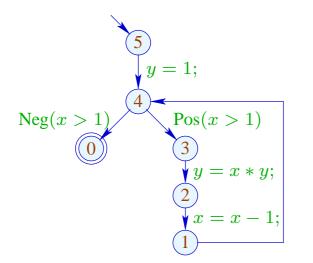




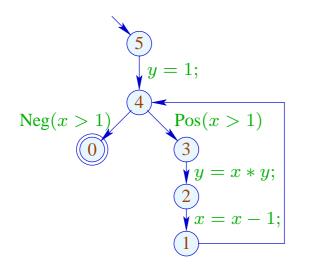
		1
(	)	Expr
-	1	{1}
4	2	$\{1, x - 1, x > 1\}$
ę	3	Expr
4	1	$\{1\}$
Ę	5	Ø



	1	2
0	Expr	$\{1, x > 1\}$
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4	{1}	{1}
5	Ø	Ø



	1	2	3
0	Expr	$\{1, x > 1\}$	$\{1, x > 1\}$
1	$\{1\}$	$\{1\}$	$\{1\}$
2	$\{1, x - 1, x > 1\}$	$\{1, x - 1, x > 1\}$	$\{1, x > 1\}$
3	Expr	$\{1, x > 1\}$	$\{1, x > 1\}$
4	{1}	$\{1\}$	$\{1\}$
5	Ø	Ø	Ø



	1	2	3	4
0	Expr	$\{1, x > 1\}$	$\{1, x > 1\}$	
1	{1}	$\{1\}$	{1}	
2	$\{1, x - 1, x > 1\}$	$\{1, x - 1, x > 1\}$	$\{1, x > 1\}$	dito
3	Expr	$\{1, x > 1\}$	$\{1, x > 1\}$	
4	$\{1\}$	$\{1\}$	$\{1\}$	
5	Ø	Ø	Ø	

 $\Rightarrow$  significantly less efficient :-)

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Why is a (or the least) solution of the constraint system useful ???

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For a complete lattice  $\mathbb{D}$ , consider systems:

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where  $d_0 \in \mathbb{D}$  and all  $[\![k]\!]^{\sharp} : \mathbb{D} \to \mathbb{D}$  are monotonic ...

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$$\mathcal{I}^*[v] = \bigsqcup \{ \llbracket \pi \rrbracket^{\sharp} d_0 \mid \pi : start \to^* v \}$$

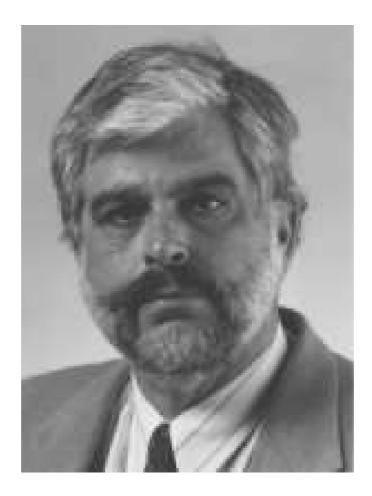
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Kam, Ullman 1975

Assume  $\mathcal{I}$  is a solution of the constraint system. Then:  $\mathcal{I}[v] \supseteq \mathcal{I}^*[v]$  for every v



# Jeffrey D. Ullman, Stanford

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Foundation:  $\pi = \epsilon$  (empty path) Then:  $\llbracket \pi \rrbracket^{\sharp} d_0 = \llbracket \epsilon \rrbracket^{\sharp} d_0 = d_0 \sqsubseteq \mathcal{I}[start]$ Step:  $\pi = \pi' k$  for  $k = (u, \_, v)$  edge. Then:

$$\llbracket \pi' \rrbracket^{\sharp} d_{0} \subseteq \mathcal{I}[\boldsymbol{u}]$$
 by I.H. for  $\pi$ 
$$\implies \llbracket \pi \rrbracket^{\sharp} d_{0} = \llbracket k \rrbracket^{\sharp} (\llbracket \pi' \rrbracket^{\sharp} d_{0})$$
$$\sqsubseteq \llbracket k \rrbracket^{\sharp} (\mathcal{I}[\boldsymbol{u}])$$
since  $\llbracket k \rrbracket^{\sharp}$  monotonic   
$$\sqsubseteq \mathcal{I}[\boldsymbol{v}]$$
since  $\mathcal{I}$  solution :-))

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With the notable exception when all functions  $[k]^{\sharp}$  are distributive ... :-)

- distributive, if  $f(\bigsqcup X) = \bigsqcup \{f x \mid x \in X\}$  for all  $\emptyset \neq X \subseteq \mathbb{D}$ ;
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Strictness:  $f \emptyset = a \cap \emptyset \cup b = b = \emptyset$  whenever  $b = \emptyset$  :-(  
Distributivity:

$$f(x_1 \cup x_2) = a \cap (x_1 \cup x_2) \cup b$$
$$= a \cap x_1 \cup a \cap x_2 \cup b$$
$$= f x_1 \cup f x_2 \qquad :-)$$

•  $\mathbb{D}_1 = \mathbb{D}_2 = \mathbb{N} \cup \{\infty\}, \quad \text{inc } x = x+1$ 

•  $\mathbb{D}_1 = \mathbb{D}_2 = \mathbb{N} \cup \{\infty\}$ ,  $\operatorname{inc} x = x + 1$ Strictness:  $f \perp = \operatorname{inc} 0 = 1 \neq \perp$  :-(

•  $\mathbb{D}_1 = (\mathbb{N} \cup \{\infty\})^2$ ,  $\mathbb{D}_2 = \mathbb{N} \cup \{\infty\}$ ,  $f(x_1, x_2) = x_1 + x_2$ 

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•  $\mathbb{D}_1 = (\mathbb{N} \cup \{\infty\})^2$ ,  $\mathbb{D}_2 = \mathbb{N} \cup \{\infty\}$ ,  $f(x_1, x_2) = x_1 + x_2$ : Strictness:  $f \perp = 0 + 0 = 0$  :-) Distributivity:

$$f((1,4) \sqcup (4,1)) = f(4,4) = 8$$
  

$$\neq 5 = f(1,4) \sqcup f(4,1) \quad :-)$$

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$$f b = f (a \sqcup b)$$
$$= f a \sqcup f b$$
$$\implies f a \sqsubseteq f b \qquad :-)$$

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Kildall 1972

If all effects of edges  $[\![k]\!]^{\sharp}$  are distributive, then:  $\mathcal{I}^*[v] = \mathcal{I}[v]$  for all v.



### Gary A. Kildall (1942-1994).

Has developed the operating system CP/M and GUIs for PCs.

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#### Proof:

It suffices to prove that  $\mathcal{I}^*$  is a solution :-) For this, we show that  $\mathcal{I}^*$  satisfies all constraints :-)) (1) We prove for *start*:

$$\mathcal{I}^*[start] = \bigsqcup \{ \llbracket \pi \rrbracket^{\sharp} d_0 \mid \pi : start \to^* start \}$$
$$\supseteq \llbracket \epsilon \rrbracket^{\sharp} d_0$$
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$$\supseteq d_0 :-)$$

(2) For every  $k = (u, \_, v)$  we prove:

$$\begin{split} \mathcal{I}^*[v] &= \bigsqcup\{\llbracket \pi \rrbracket^{\sharp} d_0 \mid \pi : start \to^* v\} \\ & \supseteq \bigsqcup\{\llbracket \pi' k \rrbracket^{\sharp} d_0 \mid \pi' : start \to^* u\} \\ &= \bigsqcup\{\llbracket k \rrbracket^{\sharp} (\llbracket \pi' \rrbracket^{\sharp} d_0) \mid \pi' : start \to^* u\} \\ &= \llbracket k \rrbracket^{\sharp} (\bigsqcup\{\llbracket \pi' \rrbracket^{\sharp} d_0 \mid \pi' : start \to^* u\}) \\ &= \llbracket k \rrbracket^{\sharp} (\mathcal{I}^*[u]) \end{split}$$

since  $\{\pi' \mid \pi' : start \to^* u\}$  is non-empty :-)

#### Caveat:

Reachability of all program points cannot be abandoned! Consider:  $\bullet$ 



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$$\begin{array}{c|c} & & & \\ \hline 0 & & \\ \hline 1 & \hline 2 \end{array} & \text{where} \quad \mathbb{D} = \mathbb{N} \cup \{\infty\} \end{array}$$

Then:

$$\mathcal{I}[2] = \operatorname{inc} \mathbf{0} = \mathbf{1}$$
$$\mathcal{I}^*[2] = \bigsqcup \emptyset = \mathbf{0}$$

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$$\begin{array}{c}
 7 \\
 0 \\
 1 \\
 \end{array} \quad \begin{array}{c}
 \text{inc} \\
 2 \\
 \end{array} \quad \text{where} \quad \mathbb{D} = \mathbb{N} \cup \{\infty\}
\end{array}$$

Then:

$$\mathcal{I}[2] = \operatorname{inc} 0 = 1$$
$$\mathcal{I}^*[2] = \bigsqcup \emptyset = 0$$

• Unreachable program points can always be thrown away :-)

### Summary and Application:

 $\rightarrow$  The effects of edges of the analysis of availability of expressions are distributive:

$$(a \cup (x_1 \cap x_2)) \setminus b = ((a \cup x_1) \cap (a \cup x_2)) \setminus b$$
$$= ((a \cup x_1) \setminus b) \cap ((a \cup x_2) \setminus b)$$