

Precise Program Analysis, Strategy Iteration and Games

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Part 1: Program Analysis

Part 2: Games

Part 1: Program Analysis

Overview

- ▶ Precise Interval Analysis
- ▶ Integer Equations
- ▶ Strategy Iteration
- ▶ Application to Intervals
- ▶ Extensions

Interval Analysis

Given:

program variable x and program point u ;

Wanted:

tight interval containing all values of x
when reaching u .

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Program Proving: Certifying absence of overflow;

Program Optimization: Removing array bound checks.

Example

```
for ( $i = 0; i < 42; i++$ )
    if ( $0 \leq i \wedge i < 42$ ) {
         $A_1 = A + i;$ 
         $M[A_1] = i;$ 
    }
// A initial address of an array
// array-bound check on  $i$ 
```

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```

The inner check is superfluous :-)

General Approach

[Cousot 1977]

- ▶ Represent the collecting semantics of the program by a set of constraints:

$$\begin{aligned} \mathcal{C}[\text{start}] &\supseteq \mathbb{R}^k \\ \mathcal{C}[v] &\supseteq \llbracket s \rrbracket(\mathcal{C}[u]) \\ &\quad (u, s, v) \text{ control-flow edge} \end{aligned}$$

- ▶ Choose a suitable **complete lattice** \mathbb{D} of abstract values which describe sets of concrete program states ...

General Approach (cont.) [Cousot 1977]

- ▶ Represent an abstraction of the collecting semantics of the program also by a set of constraints:

$$\begin{aligned} \mathcal{D}[\text{start}] &\sqsupseteq \top \\ \mathcal{D}[v] &\sqsupseteq \llbracket s \rrbracket^\#(\mathcal{D}[u]) \\ &\quad (u, s, v) \text{ controlflow edge} \end{aligned}$$

General Approach (cont.) [Cousot 1977]

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Challenge:

Compute a sufficiently small solution of this system.

Examples of Abstract Lattices

Affine Equations

Karr 1976

Examples of Abstract Lattices

Affine Equations

Karr 1976

Intervals

Cousot 1976

Examples of Abstract Lattices

Affine Equations	Karr 1976
Intervals	Cousot 1976
Polyhedra	Cousot, Halbwachs 1978
DBM	Sagiv 1999
Octogons	Miné 2001
TCM	Sankaranarayanan, Sipma, Manna 2005

Examples of Abstract Lattices

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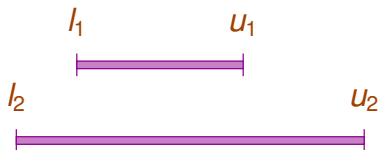
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The Lattice of Intervals

Cousot 1976

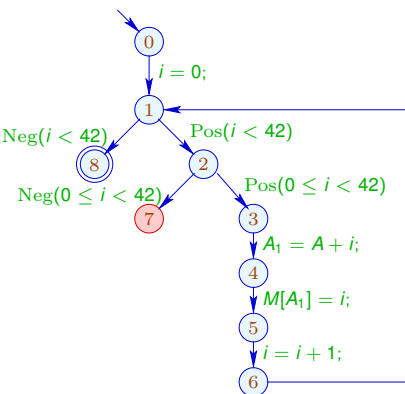
- ▶ Ordering:

$$[l_1, u_1] \sqsubseteq [l_2, u_2] \quad \text{iff} \quad l_2 \leq l_1 \wedge u_1 \leq u_2$$



- ▶ Put up a system of (in-)equations whose least solution approximates the intervals in question !
- ▶ Compute **some** solution !!

... in the Example



$$\begin{aligned}
 i_1 &= [0, 0] \sqcup i_6 \\
 i_2 &= i_1 \sqcap [-\infty, 41] \\
 i_3 &= i_2 \sqcap [0, 41] \\
 i_6 &= i_3 + [1, 1] \\
 i_7 &= i_2 \sqcap [-\infty, -1] \sqcup \\
 &\quad i_2 \sqcap [42, \infty] \\
 i_8 &= i_1 \sqcap [42, \infty]
 \end{aligned}$$

Discussion

- ▶ The least solution provides only a **safe** approximation to the concrete intervals $\{-\}$
- ▶ There are **infinite ascending chains**, e.g.,

$$\emptyset \sqsubset [0, 0] \sqsubset [0, 1] \sqsubset [-1, 1] \sqsubset [-1, 2] \sqsubset \dots$$

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- ⇒ Naive Kleene iteration may not terminate !
- ⇒ Widening for fixpoint acceleration
- ⇒ Narrowing as post-processing.

New Developments

polynomially solvable subclass	Su, Wagner, 2004
approximate solution	Costan, Gaubert et al., 2005
revision of pol. case	Reineke, G+S, Wilhelm, 2006 Leroux, 2007
exact least solution	G+S, 2007

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Simplification: Integers

- ▶ Lattice:

$$\mathbb{Z} = \{-\infty < \dots -2 < -1 < 0 < 1 < 2 < \dots < \infty\}$$

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$$\mathcal{Z} = \{-\infty < \dots -2 < -1 < 0 < 1 < 2 < \dots < \infty\}$$

- ▶ Equations $x_j = e_j$ where:

$$e ::= z \mid x_j \mid e_1 + e_2 \mid b \cdot e \mid e_1 \wedge e_2 \mid e_1 \vee e_2$$

for $z \in \mathcal{Z}$, $b \geq 0$.

Example

$$\begin{aligned}i_1 &= 0 \vee i_6 \\i_2 &= i_1 \wedge 41 \\i_3 &= i_2 \wedge 41 \\i_6 &= i_3 + 1 \\i_7 &= i_2 \wedge -1 \vee i_2 \wedge \infty \\i_8 &= i_1 \wedge \infty\end{aligned}$$

Example

$$i_1 = 0 \vee i_6$$

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$$i_6 = i_3 + 1$$

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$$i_8 = i_1 \wedge \infty$$

\implies almost upper half of an interval analysis :-)

Simplification: Disjunctive Systems

$$\begin{aligned}x_1 &= 2 \cdot x_2 \vee -13 \\x_2 &= x_1 + 5 \vee -7 \\x_3 &= 3 \cdot x_3 - 6 \vee 4\end{aligned}$$

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Idea: Bellman-Ford

- ▶ Perform n rounds of RR iteration.
- ▶ Every variable which then has not yet stabilized receives value ∞ :-)

... in the Example

$$x_1 = 2 \cdot x_2 \vee -13$$

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	1	2	3	4
x_1	-13	-13	-13	-13
x_2	-7	-7	-7	-7
x_3	4	6	12	∞

The General Case, Idea 1

- ▶ Replace any \wedge -subexpression $e_1 \wedge e_2$ by one of the e_i \implies min strategy
- ▶ Solve the resulting disjunctive system \implies upper bound μ
- ▶ Check if μ is a solution of original system. Otherwise improve strategy ...

Example

$$\begin{aligned}x_1 &= 2 \cdot x_2 \wedge 1 \\x_2 &= x_1 \vee -1\end{aligned}$$

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Approximation:

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Example

$$x_1 = 2 \cdot x_2 \wedge 1$$

$$x_2 = x_1 \vee -1$$

Approximation:

$$x_1 = 2 \cdot x_2 \wedge 1$$

$$x_2 = x_1 \vee -1$$

has solution: $\mu = \{x_1 \mapsto 1, x_2 \mapsto 1\}$

which is a solution of the original system

— but not the least one :-)

The General Case, Idea 2

- ▶ Approximate general systems by **conjunctive systems**
...
- ▶ Approximate the least solution by **feasible** solutions of conjunctive systems :-)
- ▶ Compute these by **greatest fixpoint** iteration :-))

... in the Example

Initial Assignment:

$$\mu_0 = \{x_1 \mapsto -\infty, x_2 \mapsto -\infty\}$$

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Decorated System of Equations:

$$\begin{aligned}x_1 &= 2 \cdot x_2 \wedge 1 \vee -\infty \\x_2 &= x_1 \vee -1\end{aligned}$$

... in the Example

Initial Assignment:

$$\mu_0 = \{x_1 \mapsto -\infty, x_2 \mapsto -\infty\}$$

Corresponding Conjunctive System:

$$\begin{aligned}x_1 &= 2 \cdot x_2 \wedge 1 \vee -\infty \\x_2 &= x_1 \vee -1\end{aligned}$$

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Corresponding Conjunctive System:

$$x_1 = 2 \cdot x_2 \wedge 1 \vee -\infty$$

$$x_2 = x_1 \vee -1$$

... with solution:

$$\mu_1 = \{x_1 \mapsto -\infty, x_2 \mapsto -1\}$$

... in the Example

Next Assignment:

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Corresponding Conjunctive System:

$$\begin{aligned}x_1 &= 2 \cdot x_2 \wedge 1 \vee -\infty \\x_2 &= x_1 \vee -1\end{aligned}$$

... with greatest solution:

$$\mu_1 = \{x_1 \mapsto -2, x_2 \mapsto -1\}$$



Why it works ...

- ▶ We only make profitable switches of decisions.
- ▶ The resulting variable assignments are **feasible** pre-fixpoints.
- ▶ Every occurring conjunctive system has exactly one solution exceeding the given pre-fixpoint — which thus is the **greatest**.

Complexity

$$\mathcal{O}(n \cdot |\mathcal{E}| \cdot (\Pi(m) + n))$$

where:

- n : number of variables
- $|\mathcal{E}|$: size of the equation system
- m : number of \forall
- $\Pi(\cdot)$: number of strategy iterations

Remark

- ▶ The run-time is **independent** of the sizes of occurring coefficients :-)
- ▶ Strategy iteration is a well-known technique in control-theory as well as algorithmic game theory :-)
- ▶ Trivially, $\Pi(m) \leq 2^m$:-}
- ▶ No example with more than **linear** iterations has been found so far !!

Application to Interval Equations

- ▶ Sub-expressions which evaluate to \emptyset can be eliminated.

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- ▶ If all sub-expressions evaluate to non-empty intervals, the computation of upper and lower interval bounds becomes **independent**.
- ▶ An interval variable x can then be simulated by:

$$\begin{array}{ll} x^+ & \equiv \text{upper bound} \\ x^- & \equiv \text{negated lower bound} \end{array}$$

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- ▶ An interval variable x can then be simulated by:

$$\begin{array}{ll}
 x^+ & = \text{upper bound} \\
 x^- & = \text{negated lower bound}
 \end{array}$$

- ▶ Any algorithm for integer systems can also solve interval systems :-))

... in Our Example

$$\begin{aligned}i_1 &= [0, 0] \sqcup i_6 \\i_2 &= i_1 \sqcap [-\infty, 41] \\i_3 &= i_2 \sqcap [0, 41] \\i_6 &= i_3 + [1, 1] \\i_7 &= i_2 \sqcap [-\infty, -1] \\&\quad \sqcup i_2 \sqcap [42, \infty] \\i_8 &= i_1 \sqcap [42, \infty]\end{aligned}$$

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First Assumption: all variables have value \emptyset ...

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System for interval bounds:

i_1^-	=	0
i_1^+	=	0

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results in

$$\mu_1 = \{i_1 \mapsto [0, 0], i_2 \mapsto \emptyset, i_3 \mapsto \emptyset, i_6 \mapsto \emptyset, i_7 \mapsto \emptyset, i_8 \mapsto \emptyset\}$$

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since

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System for interval bounds:

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i_2^-	$=$	$i_1^- \wedge \infty$
i_1^+	$=$	0
i_2^+	$=$	$i_1^+ \wedge 41$

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System for interval bounds:

i_1^-	$=$	0
i_2^-	$=$	$i_1^- \wedge \infty$
i_1^+	$=$	0
i_2^+	$=$	$i_1^+ \wedge 41$

results in

$$\mu_2 = \{i_1 \mapsto [0, 0], i_2 \mapsto [0, 0], i_3 \mapsto \emptyset, i_6 \mapsto \emptyset, i_7 \mapsto \emptyset, i_8 \mapsto \emptyset\}$$

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System for interval bounds:

$$\begin{aligned}
 i_1^- &= 0 \wedge i_6^- \\
 i_2^- &= i_1^- \vee 41 \\
 i_3^- &= i_2^- \vee 41 \\
 i_6^- &= i_3^- - 1 \\
 &\dots
 \end{aligned}$$

since

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System for interval bounds:

$$\begin{aligned}
 \dots \\
 i_1^+ &= 0 \vee i_6^+ \\
 i_2^+ &= i_1^+ \wedge 41 \\
 i_3^+ &= i_2^+ \wedge 41 \\
 i_6^+ &= i_3^+ + 1
 \end{aligned}$$

results in

$$\mu_3 = \{ \begin{array}{l} i_1 \mapsto [0, 42], i_2 \mapsto [0, 41], i_3 \mapsto [0, 41], \\ i_6 \mapsto [0, 42], i_7 \mapsto \emptyset, i_8 \mapsto \emptyset \end{array} \}$$

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 \end{aligned}$$

gives us the final result:

$$\mu_4 = \{ \begin{array}{l} i_1 \mapsto [0, 42], i_2 \mapsto [0, 41], i_3 \mapsto [0, 41], \\ i_6 \mapsto [0, 42], i_7 \mapsto \emptyset, i_8 \mapsto [42, 42] \end{array} \}$$

Extensions

Additionally, we need constructions for:

- ▶ negations (easy)
- ▶ multiplication (complicated)

Perspectives

- ▶ The run-time of the fixpoint algorithm is independent of the sizes of numbers.
- ▶ It computes the least solution of the interval constraints precisely, not just an upper bound.

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Perspectives

- ▶ The run-time of the fixpoint algorithm is independent of the sizes of numbers.
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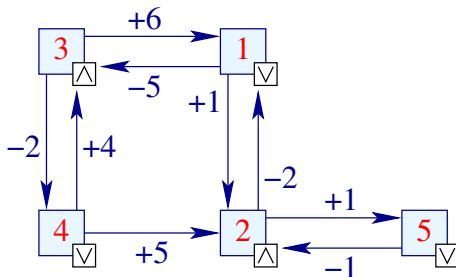
Are interval equations in P?

Part 2: Games

Overview

- ▶ Mean Payoff Games
- ▶ Total Payoff Games
- ▶ Finite Hierarchical Integer Equations
- ▶ Simple Integer Equations
- ▶ A Lower Bound for Precise Interval Analysis

2-Person-Zero-Sum Infinite Games



Mean Payoff

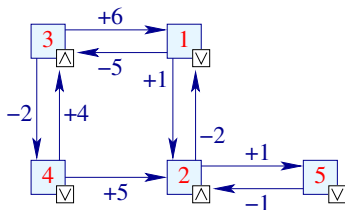
Play Value for the infinite play $\pi = v_1 v_2 \cdots v_n \cdots$:

$$val(\pi) = \liminf_{n \rightarrow \infty} \frac{\rho(v_1, v_2) + \dots + \rho(v_n, v_{n+1})}{n}$$

Mean Payoff

Play Value for the infinite play $\pi = v_1 v_2 \cdots v_n \cdots$:

$$\text{val}(\pi) = \liminf_{n \rightarrow \infty} \frac{\rho(v_1, v_2) + \dots + \rho(v_n, v_{n+1})}{n}$$



$$\text{val}(v_3(v_1 v_2)^\omega) = -\frac{1}{2}$$

Mean Payoff Games

Game Value:

$\mu(v) :=$ maximal play value which
V-player can enforce
for plays starting at v

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Game Value:

$\mu(v) :=$ maximal play value which
 \forall -player can enforce
for plays starting at v

Property:

Both players have a positional optimal strategy :-)

Total Payoff

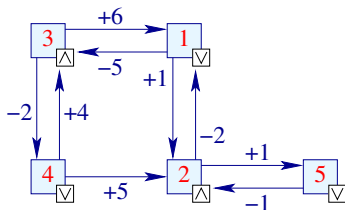
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Total Payoff

Play Value for the infinite play $\pi = v_1 v_2 \cdots v_n \cdots$:

$$\text{val}(\pi) = \liminf_{n \rightarrow \infty} \rho(v_1, v_2) + \dots + \rho(v_n, v_{n+1})$$



$$\text{val}(v_3(v_1 v_2)^\omega) = -\infty$$

$$\text{val}(v_5(v_2 v_1 v_3 v_1)^\omega) = -8$$

Total Payoff Games

Game Value:

$\tau(v) :=$ maximal total play value which
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Total Payoff Games

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Property:

Zielonka, Gimbert 2004

Both players have a positional optimal strategy :-)

Results

Mean Payoff Games \longleftrightarrow

Total Payoff Games \longleftrightarrow

Finite Hierarchical Systems \longleftrightarrow

Simple Integer Equations

Mean Payoff Through Total Payoff

Lemma

For every position v ,

$$\begin{array}{llll} \mu(v) < 0 & \text{iff} & \tau(v) = & -\infty \\ \mu(v) = 0 & \text{iff} & \tau(v) & \text{finite} \\ \mu(v) > 0 & \text{iff} & \tau(v) = & \infty \end{array}$$

Mean Payoff Through Total Payoff

Lemma

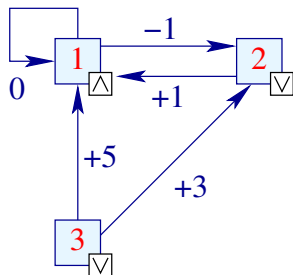
For every position v ,

$$\begin{aligned}\mu(v) < 0 & \text{ iff } \tau(v) = -\infty \\ \mu(v) = 0 & \text{ iff } \tau(v) \text{ finite} \\ \mu(v) > 0 & \text{ iff } \tau(v) = \infty\end{aligned}$$

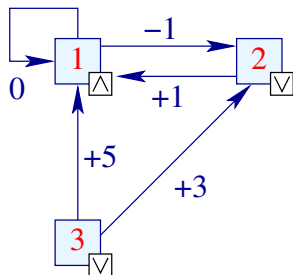
Theorem

Mean-payoff games are polynomially reducible to total-payoff games.

Total Payoff through Equations

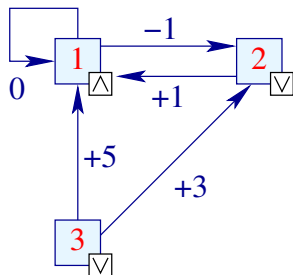


Total Payoff through Equations



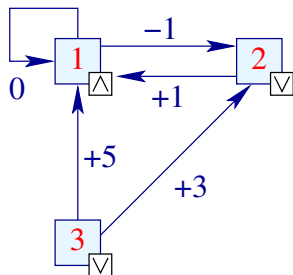
$$\begin{array}{rcl}
 x_1 & = & x_1 \wedge x_2 - 1 \\
 x_2 & = & x_1 + 1 \\
 x_3 & = & x_1 + 5 \vee x_2 + 3
 \end{array}$$

Total Payoff through Equations



$$\begin{aligned}
 x_1 &= y_1 \wedge x_1 \wedge x_2 - 1 \\
 x_2 &= y_2 \wedge x_1 + 1 \\
 x_3 &= y_3 \wedge (x_1 + 5 \vee x_2 + 3)
 \end{aligned}$$

Total Payoff through Equations



$$y_1 = 0 \vee (x_1 \wedge x_2 - 1)$$

$$y_2 = 0 \vee x_1 + 1$$

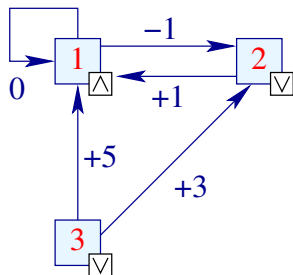
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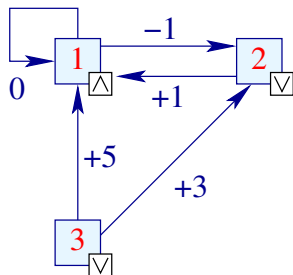
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$$x_1 = y_1 \wedge x_1 \wedge x_2 - 1 \vee -3$$

$$x_2 = y_2 \wedge x_1 + 1 \vee -3$$

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Total Payoff through Equations



$$y_1 = 0 \vee (x_1 \wedge x_2 - 1) \wedge 20$$

$$y_2 = 0 \vee x_1 + 1 \wedge 20$$

$$y_3 = 0 \vee (x_1 + 5 \vee x_2 + 3) \wedge 20$$

$$x_1 = y_1 \wedge x_1 \wedge x_2 - 1 \vee -3$$

$$x_2 = y_2 \wedge x_1 + 1 \vee -3$$

$$x_3 = y_3 \wedge (x_1 + 5 \vee x_2 + 3) \vee -3$$

Total Payoff through Equations

- ▶ All solutions are finite.
- ▶ The outer y_i are **least-fixpoint** variables whereas the inner x_i are **greatest-fixpoint** variables!
⇒ finite hierarchical system

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Property:

G+S, 2007

Finite hierarchical systems have optimal positional strategies.

Total Payoff through Equations

Theorem

Let ν denote the canonical solution of the hierarchical system. Then

$$\tau(v_i) = \begin{cases} -\infty & \text{if } \nu(x_i) < A \\ \infty & \text{if } \nu(x_i) > B \\ \nu(x_i) & \text{otherwise} \end{cases}$$

for all positions v_i of the total payoff game where:

A = sum of occurring negative values

B = sum of occurring positive values

Hierarchical through Simple Equations

Idea:

- ▶ Nested finite fixpoints over \mathcal{Z} can be read off from a **unique** fixpoint over an instrumented lattice

G+S, 2007

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- ▶ Nested finite fixpoints over \mathbb{Z} can be read off from a **unique** fixpoint over an instrumented lattice
G+S, 2007
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Hierarchical through Simple Equations

Idea:

- ▶ Nested finite fixpoints over \mathbb{Z} can be read off from a **unique** fixpoint over an instrumented lattice
G+S, 2007
- ▶ The instrumented lattice can be coded into a **scaled** finite range of integers ...
- ▶ Scaling factor: $2 \cdot (n + 1)^d$ where:
 - n number of variables
 - d number of different ranks of fixpoints

Hierarchical through Simple Equations

... in the Example:

$$y_1 = 0 \vee x_1 \wedge x_2 - 1 \wedge 20$$

scaled with $2 \cdot 7^2 = 98$ becomes:

$$y_1 = 0 \vee x_1 \wedge x_2 - 98 \wedge 1960$$

Hierarchical through Simple Equations

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scaled with $2 \cdot 7^2 = 98$ becomes:

$$y_1 = (0 \vee x_1 \wedge x_2 - 98 \wedge 1960) - 7^1$$

$$x_1 = (y_1 \wedge x_1 \wedge x_2 - 1) \vee -3$$

scaled with 98 becomes:

$$x_1 = (y_1 \wedge x_1 \wedge x_2 - 98) \vee -294$$

Hierarchical through Simple Equations

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$$y_1 = (0 \vee x_1 \wedge x_2 - 98 \wedge 1960) - 7^1$$

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scaled with 98 becomes:

$$x_1 = ((y_1 \wedge x_1 \wedge x_2 - 98) \vee -294) + 7^0$$

Hierarchical through Simple Equations

All together:

$$y_1 = -7 \vee x_1 - 7 \wedge x_2 - 105 \wedge 1953$$

$$y_2 = -7 \vee x_1 + 91 \wedge 1953$$

$$y_3 = -7 \vee (x_1 + 483 \vee x_2 + 287) \wedge 1953$$

$$x_1 = (y_1 + 1 \wedge x_1 + 1 \wedge x_2 - 97) \vee -293$$

$$x_2 = (y_2 + 1 \wedge x_1 + 99) \vee -293$$

$$x_3 = (y_3 + 1 \wedge (x_1 + 491 \vee x_2 + 295)) \vee -293$$

Hierarchical through Simple Equations

- ▶ All solutions of the resulting system are still finite :-)
 - ▶ Every simple cycle in the resulting system has cost different from 0
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Theorem

Finite hierarchical systems can be reduced to simple systems of equations.

Simple Equations through Mean Payoff

System:

$$x_1 = 0 \vee x_2 - 7$$

$$x_2 = x_1 + 6 \wedge x_2 - 5$$

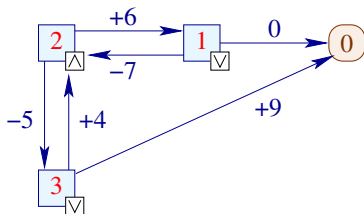
$$x_3 = 9 \vee x_2 + 4$$

Simple Equations through Mean Payoff

System:

$$\begin{aligned}
 x_1 &= 0 \vee x_2 - 7 \\
 x_2 &= x_1 + 6 \wedge x_2 - 5 \\
 x_3 &= 9 \vee x_2 + 4
 \end{aligned}$$

Graphical Representation:

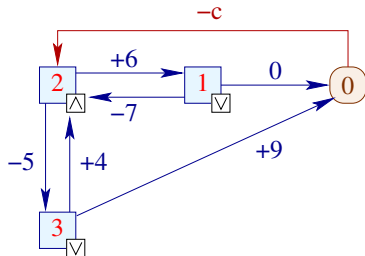


Simple Equations through Mean Payoff

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 \end{aligned}$$

Mean-Payoff Game:



Simple Equations through Mean Payoff

Lemma

Let τ denote the game values of the mean-payoff game with back-edge to x_j with weight $-c$.

Then

$$\nu(x_j) \geq c \quad \text{iff} \quad \tau(x_j) \geq 0$$

Simple Equations through Mean Payoff

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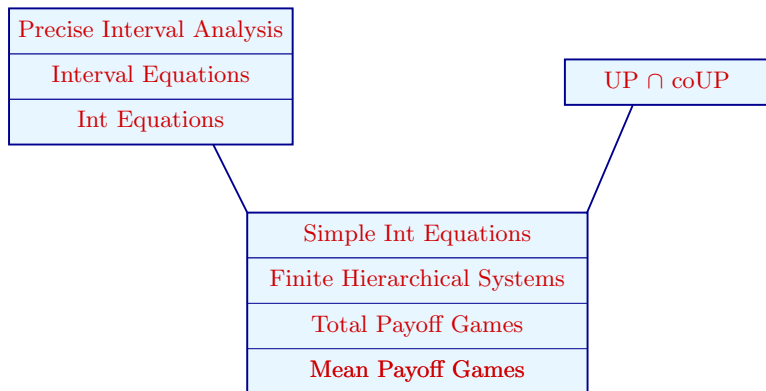
Then

$$\nu(x_j) \geq c \quad \text{iff} \quad \tau(x_j) \geq 0$$

Theorem

Simple equations are polynomially reducible to mean-payoff games.

Summary



Open Problems

