

Shorter strings containing all k -element permutations

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Abstract

We consider the problem of finding short strings that contain all permutations of order k over an alphabet of size n , with $k \leq n$. We show constructively that $k(n-2) + 3$ is an upper bound on the length of shortest such strings, for $n \geq k \geq 10$. Consequently, for $n \geq 10$, the shortest strings that contain all permutations of order n have length at most $n^2 - 2n + 3$. These two new upper bounds improve with one unit the previous known upper bounds.

Keywords: Combinatorial problems, Permutations, Subsequences

1. Introduction

The problem of finding (shortest) strings that contain, as subsequences, all permutations of order n was identified by R. Karp in 1971, and stated in [3]. In the sequel, it has been investigated in [7, 1, 5, 4, 6], which show constructively that $n^2 - 2n + 4$ is an upper bound on the length of shortest such strings, each work presenting a different construction. Moreover, M. Newey [7] showed that this bound is tight for $n \leq 7$. However, while it is tempting to conjecture tightness also for $n \geq 8$, counterexamples of length 83 and 102 have been recently and anonymously identified [2] for $n = 10$ and respectively $n = 11$. Motivated by these counterexamples, we present a construction of strings of length $n^2 - 2n + 3$ that contain all permutations of order n , for any $n \geq 10$.

A generalization of the above problem consists of finding (shortest) strings that contain all permutations of order k over an alphabet of size n . (For $k = n$ we find the original problem.) M. Newey [7] and C. Savage [8] present different constructions which show that $k(n-2) + 4$ is an upper bound on the length of shortest such strings. Following C. Savage's approach, we use the construction from the particular case to build strings of length $k(n-2) + 3$ that contain all permutations of order k over an alphabet of size n . We thus obtain the new upper bound $k(n-2) + 3$ in the general case, for $n \geq k \geq 10$.

2. Preliminaries

We mainly use L. Adleman's [1] notations.

When a string σ is a subsequence of a string γ , we say that γ *contains* σ , or σ is contained in γ . For instance, abc contains ac . Given a set of strings Q ,

the string γ is Q -complete if γ contains each string in Q . For example, aba is $\{ab, ba\}$ -complete.

For an alphabet Σ , we let P_Σ denote the set of all permutations of Σ , and L_Σ^k denote the set of all strings in Σ^* of length k in which no letter occurs twice.

For any $n \geq 1$, Σ_n denotes an alphabet of size n . We assume without loss of generality that $\Sigma_n = \{1, \dots, n\}$. P_n abbreviates P_{Σ_n} and L_n^k abbreviates $L_{\Sigma_n}^k$. Note that $L_n^1 = \Sigma_n$, $L_n^n = P_n$, and any element of P_n is L_n^1 -complete. For instance, $P_3 = \{123, 132, 213, 231, 312, 321\}$, $L_3^2 = \{12, 13, 21, 23, 31, 32\}$, the string 1213121 is P_3 -complete, and the string 1122332211 is L_3^2 -complete.

Concatenation of strings is written as juxtaposition. The notation is extended to sets of strings as expected. For example, $\gamma Q := \{\gamma\sigma \mid \sigma \in Q\}$.

A string $\sigma = s_1 s_2 \dots s_k \in \Sigma_n^*$ with $s_i \in \Sigma_n$ is an R_n -string if $s_{i+1} = (s_i \bmod n) + 1$ for all $1 \leq i \leq k - 1$. We denote by $R_n^\ell(a)$ the R_n -string of length ℓ that starts with the letter a . For instance, $R_3^5(2) = 23123$.

3. Two previous constructions

Before presenting the details of our construction, we sketch the ones of [1] and [8].

L. Adleman's construction [1] starts from the string $R_{n-1}^{(n-2)(n-1)+2}(1)$. Next, the string is split into $n - 1$ strings ($n - 3$ strings of length $n - 2$, first and last string of length $n - 1$); we call these strings α -blocks. Finally, the letter n is inserted between the blocks, before the first block, and after the last block. The string thus obtained is P_n -complete. The proof is based on the following key property: any R_n -string of length $k(n - 1) + 1$ is L_n^k -complete.

C. Savage [8] generalizes L. Adleman's construction by starting instead from the string $R_{k-1}^{(k-2)(k-1)+2}(1)$, splitting it into $k - 1$ blocks, and inserting the string¹ $k(k + 1) \dots n$ between the blocks, before the first block, and after the last block. The obtained string is L_n^k -complete and the proof is based on the same key property.

Our approach is similar. We start directly from $k - 1$ so-called β -blocks, which are build from α -blocks by inserting the letter $(k - 1)$ in appropriate positions. We then apply C. Savage's construction on the β -blocks, inserting the string $k(k + 1) \dots n$ between, before, and after the β -blocks, to obtain an L_n^k -complete string. However, as we do not have an analogue of the key property for β -blocks, our proof is completely different from the ones in [1] and [8].

4. A construction of L_n^k -complete strings of length $k(n - 2) + 3$

From now on, we fix two integers n and k , with $n \geq k \geq 10$. Also, we denote the letter $(k - 1)$ by A .

¹Actually, instead of the string $k(k + 1) \dots n$, any permutation of $\{k, k + 1, \dots, n\}$ would do.

The construction makes use of the so-called α -blocks and β -blocks. The α -blocks $\alpha_1, \dots, \alpha_{k-1}$ are the following strings:

$$\begin{aligned}\alpha_1 &:= \alpha_2 := R_{k-2}^{k-2}(1) = 12 \dots (k-2), \\ \alpha_j &:= R_{k-2}^{k-3}(k-j+2), \text{ for } 3 \leq j \leq k-3, \\ \alpha_{k-1} &:= \alpha_{k-2} := R_{k-2}^{k-2}(4) = 45 \dots (k-2)123.\end{aligned}$$

The β -blocks $\beta_1, \dots, \beta_{k-1}$ are the following strings:

$$\begin{aligned}\beta_1 &:= \alpha_1 A, \quad \beta_2 := \alpha_2, \quad \beta_3 := A\alpha_3, \\ \beta_j &:= a_1 a_2 \dots a_{k-4} A a_{k-3}, \text{ for } 4 \leq j \leq \lfloor \frac{k}{2} \rfloor - 1, \\ \beta_j &:= \alpha_j, \text{ for } \lfloor \frac{k}{2} \rfloor \leq j \leq \lceil \frac{k}{2} \rceil \\ \beta_j &:= a_1 A a_2 \dots a_{k-4} a_{k-3}, \text{ for } \lceil \frac{k}{2} \rceil + 1 \leq j \leq k-4, \\ \beta_{k-3} &:= \alpha_{k-3} A, \quad \beta_{k-2} := \alpha_{k-2}, \quad \beta_{k-1} := A\alpha_{k-1},\end{aligned}$$

where $\alpha_j = a_1 \dots a_{k-3}$ for $4 \leq j \leq k-4$. Note that $\lfloor \frac{k}{2} \rfloor \leq j \leq \lceil \frac{k}{2} \rceil$ is equivalent with $j = \ell$ when $k = 2\ell$, and with $j \in \{\ell, \ell + 1\}$ when $k = 2\ell + 1$.

Table 1 details the β -blocks in all cases. A letter $b \in \Sigma_{k-1}$ is missing from β_j if b does not occur in β_j .

Let $\Sigma_{k,n} := \{k, \dots, n\}$ and $\Sigma_{A,n} := \Sigma_{k,n} \cup \{A\}$. The set of strings T_n^k is

$$\left\{ \tau_1 \beta_1 \tau_2 \dots \tau_{k-1} \beta_{k-1} \tau_k \mid \begin{array}{l} \tau_j \in P_{\Sigma_{A,n}} \text{ for } k \text{ odd and } j = \lceil \frac{k}{2} \rceil, \\ \tau_j \in P_{\Sigma_{k,n}} \text{ otherwise} \end{array} \right\}.$$

That is, strings in T_n^k are obtained by concatenating the β -blocks and inserting between them, before the first block, and after the last block, arbitrary permutations of the set $\Sigma_{k,n}$; with one exception: for k odd ($k = 2\ell + 1$), the block inserted in the middle (that is, $\tau_{\ell+1}$) is an arbitrary permutation of $\Sigma_{A,n}$.

The set we have just built is the focus of this paper. As examples, the following two strings are in T_{10}^{10} and respectively in T_{13}^{11} :

$$\begin{aligned}- & a \cdot 12345678A \cdot a \cdot 12345678 \cdot a \cdot A1234567 \cdot a \cdot 812345A6 \cdot a \cdot 7812345 \cdot a \cdot 6A781234 \cdot \\ & a \cdot 5678123A \cdot a \cdot 45678123 \cdot a \cdot A45678123 \cdot a \text{ and} \\ - & bcd \cdot 123456789A \cdot cbd \cdot 123456789 \cdot dbc \cdot A12345678 \cdot cbd \cdot 9123456A7 \cdot bcd \cdot 89123456 \cdot \\ & bAdc \cdot 78912345 \cdot dcb \cdot 6A7891234 \cdot dcb \cdot 56789123A \cdot dbc \cdot 456789123 \cdot bdc \cdot A456789123 \cdot \\ & bdc,\end{aligned}$$

where \cdot denotes concatenation and delimits the β -blocks, and a , b , c , and d denote the letters 10, 11, 12, and respectively 13. We recall that $A = 9$ in the first string, and $A = 10$ in the second.

5. Main result

This section is devoted to the proof of the following theorem.

Theorem 1. *Any string in T_n^k is L_n^k -complete and has length $k(n-2) + 3$.*

j	β_j	$ \beta_j $	missing	
1	123... $(k-4)(k-3)(k-2)A$	$k-1$	-	
2	123... $(k-4)(k-3)(k-2)$	$k-2$	A	
3	$A12...$ $(k-5)(k-4)(k-3)$	$k-2$	$k-2$	
4	$(k-2)12...$ $(k-5)A(k-4)$	$k-2$	$k-3$	
5	$(k-3)(k-2)1...$ $(k-6)A(k-5)$	$k-2$	$k-4$	
	\vdots			
j	$(k-j+2)...$ $(k-j-1)A(k-j)$	$k-2$	$k-j+1$	
	\vdots			
$k = 2\ell$	$\ell-1$	$(\ell+3)(\ell+4)(\ell+5)...$ $\ell A(\ell+1)$	$k-2$	$\ell+2$
	ℓ	$(\ell+2)(\ell+3)(\ell+4)...$ $(\ell-2)(\ell-1)\ell$	$k-3$	$\ell+1, A$
	$\ell+1$	$(\ell+1)A(\ell+2)...$ $(\ell-3)(\ell-2)(\ell-1)$	$k-2$	ℓ
	or			
$k = 2\ell + 1$	$\ell-1$	$(\ell+4)(\ell+5)(\ell+6)...$ $(\ell+1)A(\ell+2)$	$k-2$	$\ell+3$
	ℓ	$(\ell+3)(\ell+4)(\ell+5)...$ $(\ell-1)\ell(\ell+1)$	$k-2$	$\ell+2, A$
	$\ell+1$	$(\ell+2)(\ell+3)(\ell+4)...$ $(\ell-2)(\ell-1)\ell$	$k-3$	$\ell+1, A$
	$\ell+2$	$(\ell+1)A(\ell+2)...$ $(\ell-3)(\ell-2)(\ell-1)$	$k-2$	ℓ
	\vdots			
$k-5$	7A8...345	$k-2$	6	
$k-4$	6A6...234	$k-2$	5	
$k-3$	567...23A	$k-2$	4	
$k-2$	456...123	$k-2$	A	
$k-1$	AA56...123	$k-1$	-	

Table 1: The β -blocks.

The length of a string in T_n^k is $\sum_{j=1}^k |\tau_j| + \sum_{j=1}^{k-1} |\beta_j| = k(n-k+1) + 2(k-1) + 1(k-3) + (k-1-3)(k-2) = k(n-2) + 3$. When counting the length of $\beta_1\beta_2\dots\beta_k$, we note that for k even, there are 2 blocks of length $k-1$, 1 block of length $k-3$, and the rest of the blocks has length $k-2$. For k odd the same counting holds by moving the letter A from the $\tau_{\ell+1}$ -block to the β_ℓ -block.

We define recursively the following sets of strings:

$$S_1 := P_{\Sigma_{k,n}}\beta_1,$$

$$S_{j+1} := \begin{cases} S_j P_{\Sigma_{A,n}}\beta_{j+1} & \text{for } k \text{ odd and } j = \lceil \frac{k}{2} \rceil, \\ S_j P_{\Sigma_{k,n}}\beta_{j+1} & \text{otherwise,} \end{cases}$$

with $1 \leq j < k-1$. Note that any string in S_j is a prefix of a string in T_n^k , and that $S_{k-1}P_{\Sigma_{k,n}} = T_n^k$.

The first point of the following lemma is key in establishing the theorem. However, in order to prove it, its statement needs to be strengthened.

For a string γ , we let γ^{-i} be γ without the last i letters.

Lemma 1. *The following statements hold:*

- (1) For any $1 \leq j \leq k-1$, any $\gamma \in S_j$, γ is $L_{\Sigma_n}^j$ -complete.
- (2) For any $1 \leq j \leq \lfloor \frac{k}{2} \rfloor - 1$, any $\gamma \in S_j$, γ^{-2} is $L_{\Sigma_n - \{k-j-1, A\}}^j$ -complete.

Proof. We will often use the following property: if $\gamma = a_m a_{m-1} \dots a_1 \in \Sigma^*$ is L_{Σ}^j -complete, then γ^{-i} is $L_{\Sigma - \{a_1, \dots, a_i\}}^j$ -complete, where $i < m$ and $j \leq |\Sigma| - i$. In what follows, γ_j denotes an arbitrary element of S_j .

We proceed by induction on j .

Base cases. Clearly, γ_1 is $L_{\Sigma_n}^1$ -complete and γ_1^{-2} is $L_{\Sigma_n - \{k-2, A\}}^1$ -complete.

We also easily check that γ_2 is $L_{\Sigma_n}^2$ -complete and γ_2^{-2} is $L_{\Sigma_n - \{k-3, A\}}^2$ -complete.

Inductive case. Suppose now that $j \geq 3$. We let $\gamma_j = \gamma_{j-1}\tau_j\beta_j$ with $\gamma_{j-1} \in S_{j-1}$ and $\tau_j \in P_{\Sigma_{k,n}}$, and $\gamma_{j-1} = \gamma_{j-2}\tau_{j-1}\beta_{j-1}$, with $\gamma_{j-2} \in S_{j-2}$ and $\tau_{j-1} \in P_{\Sigma_{k,n}}$. By induction hypothesis, γ_{j-1} is $L_{\Sigma_n}^{j-1}$ -complete, γ_{j-2} is $L_{\Sigma_n}^{j-2}$ -complete, and, if $j \leq \lfloor \frac{k}{2} \rfloor - 1$ then γ_{j-1}^{-2} is $L_{\Sigma_n - \{k-j, A\}}^{j-1}$ -complete and γ_{j-2}^{-2} is $L_{\Sigma_n - \{k-j+1, A\}}^{j-2}$ -complete.

We consider the two statements in turn.

- (1) Let $\sigma = s_1 \dots s_j \in L_{\Sigma_n}^j$.

Suppose first that s_j occurs in $\tau_j\beta_j$. As γ_{j-1} is $L_{\Sigma_n}^{j-1}$ -complete, γ_{j-1} contains $s_1 \dots s_{j-1}$. Thus γ_j contains σ .

Suppose now that s_j does not occur in $\tau_j\beta_j$. Then $j \leq k-2$. Note that for k odd and $j = \lceil \frac{k}{2} \rceil$, the letter A is missing from β_j , but it is not missing from $\tau_j\beta_j$. Depending on the value of j , we can have one of the following cases:

- (a) $s_j = k - j + 1$, for $3 \leq j \leq k - 3$. We have that the last letter of γ_{j-1} is $(k - j + 1)$. Then γ_{j-1}^{-1} is $L_{\Sigma_n - \{k-j+1\}}^{j-1}$ -complete. Hence $s_1 \dots s_{j-1}$ is contained in γ_{j-1}^{-1} , and s_j equals the last letter of γ_{j-1} . Thus, σ is contained in γ_{j-1} , and moreover in γ_j .
- (b) $s_j = A$, for $j = k - 2$. As γ_{j-1} is $L_{\Sigma_n}^{j-1}$ -complete and the last letter of γ_{j-1} is A , we have that γ_{j-1}^{-1} is $L_{\Sigma_n - \{A\}}^{j-1}$ -complete. Hence σ is contained in γ_{j-1} .
- (c) $s_j = A$, for $j = \frac{k}{2}$, k even, and for $j = \lfloor \frac{k}{2} \rfloor$, k odd. Note that $j \geq 5$ and that s_j equals the second last letter of β_{j-1} . We can have:
 - (i) $s_{j-1} \notin \{k - j + 1, k - j + 2\}$. That is, s_{j-1} is neither the missing letter from β_{j-1} , nor the letter after A in β_{j-1} (i.e. the last letter of β_{j-1}). Then s_{j-1} is contained in $\tau_{j-1}\beta_{j-1}^{-2}$. As γ_{j-2} is $L_{\Sigma_n}^{j-2}$ -complete, $s_1 \dots s_{j-2}$ is contained in γ_{j-2} . Hence σ is contained in γ_{j-1} .
 - (ii) $s_{j-1} = k - j + 2$ (the missing letter from β_{j-1}). As γ_{j-2} is $L_{\Sigma_n}^{j-2}$ -complete and the last letter of β_{j-2} is $(k - j + 2)$, we have that γ_{j-2}^{-1} is $L_{\Sigma_n - \{k-j+2\}}^{j-2}$ -complete, hence also $L_{\Sigma_n - \{k-j+2, A\}}^{j-2}$ -complete. Hence $s_1 \dots s_{j-2}$ is contained in γ_{j-2}^{-1} , and s_{j-1} equals the last letter of γ_{j-2} . Thus σ is contained in γ_{j-1} .
 - (iii) $s_{j-1} = k - j + 1$ (the last letter of β_{j-1}). We distinguish two cases:
 - For $j - 2 \geq 4$, as γ_{j-2}^{-2} is $L_{\Sigma_n - \{k-j+1, A\}}^{j-2}$ -complete and the last letter of γ_{j-2}^{-2} is $(k - j + 1)$, it follows that γ_{j-2}^{-3} is $L_{\Sigma_n - \{k-j+1, A\}}^{j-2}$ -complete. Thus $s_1 \dots s_{j-2}$ is contained in γ_{j-2}^{-3} and s_{j-1} equals the third last letter of γ_{j-2} . Hence σ is contained in γ_{j-2} .

- If $j-2 < 4$ then $j-2 = 3$ (since $j \geq 5$). The last letter of γ_{j-2}^{-1} is $(k-j+1)$. It follows that γ_{j-2}^{-1} is $L_{\Sigma_n - \{k-j+1, A\}}^{j-2}$ -complete. Thus $s_1 \dots s_{j-2}$ is contained in γ_{j-2}^{-2} and s_{j-1} equals the second last letter of γ_{j-2} . Hence σ is contained in γ_{j-1} .

(2) Let $\sigma = s_1 \dots s_j \in L_{\Sigma_n - \{k-j-1, A\}}^j$. We can have:

- (a) $s_j \notin \{k-j+1, k-j\}$. That is, s_j is neither the missing letter of β_j nor the last letter of β_j . Then s_j is contained in $\tau_j \beta_j^{-2}$. And as γ_{j-1} is $L_{\Sigma_n}^{j-1}$ -complete, it follows that σ is contained in γ_j^{-2} .
- (b) $s_j = k-j+1$. As γ_{j-1} is $L_{\Sigma_n}^{j-1}$ -complete and $(k-j+1)$ is the last letter of γ_{j-1} we have that γ_{j-1}^{-1} is $L_{\Sigma_n - \{k-j+1\}}^{j-1}$ -complete. It follows that γ_{j-1}^{-1} contains $s_1 \dots s_{j-1}$, and thus σ is contained in γ_{j-1} , thus in γ_j^{-2} .
- (c) $s_j = k-j$. As γ_{j-1}^{-2} is $L_{\Sigma_n - \{k-j, A\}}^{j-1}$ -complete, we have that γ_{j-1}^{-2} contains $s_1 \dots s_{j-1}$. For $j-1 \leq 3$, $(k-j)$ is the second last letter of γ_{j-1} , hence γ_{j-1}^{-1} contains σ . For $j-1 > 3$, $(k-j)$ is the third last letter of γ_{j-1} , hence γ_{j-1}^{-3} is $L_{\Sigma_n - \{k-j, A\}}^{j-1}$ -complete, thus γ_{j-1}^{-2} contains σ .

□

The following lemma implies that the reverse of any string in T_n^k is isomorphic with a string in T_n^k . Let us denote by $\bar{\omega}$ the reverse of a string ω . Also, for $\gamma = \tau_1 \beta_1 \tau_2 \dots \tau_{k-1} \beta_{k-1} \tau_k \in T_n^k$, let $\gamma_j := \beta_j \tau_{j+1} \dots \beta_{k-1} \tau_k$ for any $1 \leq j \leq k-1$.

Let g be the bijection on Σ_n given by $g(a) := 1 + (k+1-a) \bmod (k-2)$ for $1 \leq a \leq k-2$, and $g(a) := a$ for $k-1 \leq a \leq n$.

Lemma 2. *For any $\gamma \in T_n^k$, for any $1 \leq j \leq k-1$, we have $\bar{\gamma}_j \in g(S_{k-j})$.*

Proof. Clearly, $\bar{\tau} \in P_{\Sigma_{k,n}}$ for any $\tau \in P_{\Sigma_{k,n}}$.

We have $\bar{\alpha}_j = g(\alpha_{k-j})$ for any $1 \leq j \leq k-1$. Hence also $\bar{\beta}_j = g(\beta_{k-j})$ for any $1 \leq j \leq k-1$. It follows that $\bar{\gamma}_j = \bar{\beta}_j \bar{\tau}_{j+1} \dots \bar{\beta}_{k-1} \bar{\tau}_k = \bar{\tau}_k \bar{\beta}_{k-1} \dots \bar{\tau}_{j+1} \bar{\beta}_j = \bar{\tau}_k g(\beta_1) \dots \bar{\tau}_{j+1} g(\beta_{k-j}) = g(\bar{\tau}_k \beta_1 \dots \bar{\tau}_{j+1} \beta_{k-j})$. As $\bar{\tau}_k \beta_1 \dots \bar{\tau}_{j+1} \beta_{k-j} \in S_{k-j}$, we have $\bar{\gamma}_j \in g(S_{k-j})$. □

We can now conclude the proof of Theorem 1.

Let γ be an arbitrary string in T_n^k and $\sigma = s_1 \dots s_k$ be an arbitrary string in L_n^k . Let j be such that $s_j \in \Sigma_{k,n}$, with $1 \leq j \leq k$. There is such a j by the pigeonhole principle, as $|\Sigma_n - \Sigma_{k,n}| = k-1$ and the k letters of σ are distinct. Let $\gamma' = \tau_1 \beta_1 \tau_2 \dots \tau_{j-1} \beta_{j-1}$ and $\gamma'' = \beta_j \tau_{j+1} \beta_{j+1} \dots \beta_{k-1} \tau_k$. Then $\gamma = \gamma' \tau_j \gamma''$. (If $j = 1$ then $\gamma' = \varepsilon$ and $\gamma = \tau_1 \gamma''$, while if $j = k$ then $\gamma'' = \varepsilon$ and $\gamma = \gamma' \tau_k$, where ε is the empty string.)

As $\gamma' \in S_{j-1}$, by Lemma 1(1), we have that γ' contains $s_1 \dots s_{j-1}$. By Lemma 2, we have that $\bar{\gamma}'' \in g(S_{k-j})$. Thus, again by Lemma 1(1), we have that $g^{-1}(\bar{\gamma}'')$ contains $g^{-1}(\bar{s}_k \dots \bar{s}_{j+1})$, and hence γ'' contains $s_{j+1} \dots s_k$. Clearly, τ_j contains s_j . Putting the three pieces together, we obtain that γ contains σ .

6. Conclusions

In this paper we have built a set T_n^k of strings, each string being of length $k(n-2)+3$ and containing all permutations of order k over an alphabet of size n , for $n \geq k \geq 10$. We thus improve by one unit the previous known upper bound on the length of the shortest such strings, which was $k(n-2)+4$. It remains open if further improvements are possible. We also do not know whether the set T_n^k is complete, that is, whether there exist other such strings, not isomorphic with the ones in T_n^k .

In the particular case when $k = n$, our construction shows that $f(n) \leq n^2 - 2n + 3$, for $n \geq 10$, where $f(n)$ denotes the length of the shortest strings that contain all permutations of order n (over an alphabet of size n). As M. Newey [7] proved that $f(n) = n^2 - 2n + 4$, for $3 \leq n \leq 7$, it is compelling to find the values of $f(8)$ and $f(9)$.

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